SEMTECH CORP Form 4 July 05, 2017

# FORM 4

### **OMB APPROVAL**

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average

Form 4 or Form 5 obligations

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

burden hours per response... 0.5

may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **EDWARDS BRUCE C** 

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

(Middle)

SEMTECH CORP [SMTC]

(Check all applicable)

(First) (Last)

3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner Other (specify Officer (give title

07/01/2017

below) **Board of Directors** 

200 FLYNN ROAD

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

6. Individual or Joint/Group Filing(Check

Person

CAMARILLO, CA 93012-8790

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I)

(Instr. 4)

Following Reported

Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Dav/Year)

Execution Date, if any

4. 5. Number Transaction of Derivative Expiration Date Code Securities

6. Date Exercisable and (Month/Day/Year)

7. Title and Amount of Underlying

1

8. Price

Derivat

Security

#### Edgar Filing: SEMTECH CORP - Form 4

| (Instr. 3)               | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. 8) |   | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     |                     |                    | Securities (Instr. 3 a |  | (Instr. 5 |
|--------------------------|------------------------------------|------------|------------------|------------|---|--|-----|---------------------|--------------------|------------------------|--|-----------|
|                          |                                    |            |                  | Code       | V | (A)  | (D) | Date<br>Exercisable | Expiration<br>Date | Title                  | Amount<br>or<br>Number<br>of<br>Shares |           |
| Restricted<br>Stock Unit | (1)                                | 07/01/2017 |                  | A          |   | 1,678  |     | (2)                 | (2)                | SMTC                   | 1,678                                  | \$ 0      |
| Restricted<br>Stock Unit | <u>(1)</u>                         | 07/01/2017 |                  | A          |   | 1,958  |     | (3)                 | (3)                | SMTC                   | 1,958                                  | \$ 0      |

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer

Director 10% Owner Officer Other EDWARDS BRUCE C

200 FLYNN ROAD CAMARILLO, CA 93012-8790

**Board of Directors** 

## **Signatures**

/s/Bruce C. Edwards by Charles B. Ammann under Power of Attorney dated February 25, 2014 (Copy On File)

07/05/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each stock unit is the economic equivalent of one share of Semtech common stock.
- (2) The stock units vest on the earlier of the one year anniversary of the award or the day immediately preceding the annual meeting of shareholders in the year following the grant and are payable in shares.
- (3) The stock units vest on the earlier of the one year anniversary of the award or the day immediately preceding the annual meeting of shareholders in the year following the grant and are payable in cash on the last date that the Director renders services to the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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