#### **COLUMBUS MCKINNON CORP**

Form 4 May 29, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

Stock

1. Name and Address of Reporting Person \* Paradowski Mark R

(First) (Middle)

140 JOHN JAMES AUDUBON **PARKWAY** 

(Street)

AMHERST, NY 14228

2. Issuer Name and Ticker or Trading

Symbol

COLUMBUS MCKINNON CORP [CMCO]

3. Date of Earliest Transaction (Month/Day/Year)

05/28/2014

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

Estimated average

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3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Director 10% Owner \_X\_\_ Officer (give title Other (specify below)

VP - Information Services

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

29.42

(City)	(State) (	Zip) Table	e I - Non-D	erivative :	Secur	ities Acqu	uired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4) 5,944 (1)	D	
Common Stock	05/28/2014		M	1,568	A	\$ 18.24	7,512 <u>(1)</u>	D	
Common Stock	05/28/2014		S	1,240	D	\$ 29.42	6,272 <u>(1)</u>	D	
Common Stock	05/28/2014		M	989	A	\$ 13.27	7,261 <u>(1)</u>	D	
Common	05/28/2014		S	682	D	\$ 29.42	6,579 <u>(1)</u>	D	

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 $\begin{array}{c} \text{Common} \\ \text{Stcok} \end{array} \qquad \begin{array}{c} 1{,}446.9352 \\ \\ \underline{^{(2)}} \end{array} \quad D$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
Non-Qualified Stock Option (Right to Buy)	\$ 28.45						05/19/2009	05/18/2018	Common Stock	30
Non-Qualified Stock Option (Right to Buy)	\$ 13.27	05/28/2014		M		989	05/18/2010	05/17/2019	Common Stock	98
Non-Qualified Stock Option (Right to Buy)	\$ 18.24	05/28/2014		M		1,568	05/17/2011	05/16/2020	Common Stock	1,5
Non-Qualified Stock Option (Right to Buy)	\$ 19.5						05/23/2012	05/22/2021	Common Stock	1,4
Non-Qualified Stock Options (Right to Buy)	\$ 13.43						05/21/2013	05/20/2022	Common Stock	2,1
Non-Qualified Stock Options (Right to Buy)	\$ 18.95						05/20/2014	05/19/2023	Common Stock	1,9
Non-Qualified Stock Options (Right to Buy)	\$ 27.12						05/19/2015	05/19/2024	Common Stock	3,1

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Paradowski Mark R 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228 VP Information
Services

## **Signatures**

Mark R.

Paradowski 05/29/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 2,695 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 191 shares become fully vested and non-forfeitable on 5/23/2015; 540 shares become fully vested and non-forfeitable 50% for two years beginning 5/21/2015; 705 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015, and 1,259 shares become vested 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (3) All exercisable, subject to IRS limitations.
- (4) The reporting person exercised 989 options on 5/28/2014.
- (5) The reporting person exercised 1,568 shares on 5/28/2014.
- (6) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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