

Tricon Capital Group Inc.  
Form 4  
May 25, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
TCN/TNHC LP

(Last) (First) (Middle)  
1067 YONGE STREET  
(Street)

TORONTO, A6 M4W2L2

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
New Home Co Inc. [NWHM]

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/22/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_X\_\_\_ Other (specify below)

See "Remarks" below.

6. Individual or Joint/Group Filing(Check Applicable Line)

\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Code V Amount (D) Price		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying	8. Price of Derivative Security	9. Nu Deriv Secur
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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Securities (Instr. 3 and 4)	(Instr. 5)	Bene Own Follo Repo Trans (Instr
Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TCN/TNHC LP 1067 YONGE STREET TORONTO, A6 M4W2L2				See "Remarks"below.
TCN/TNHC GP LLC 1067 YONGE STREET TORONTO, A6 M4W2L2		X		
TRICON HOUSING PARTNERS US II EQUITY HOLDINGS LP 1067 YONGE STREET TORONTO, A6 M4W2L2			X	
TRICON HOUSING PARTNERS US II GP LLC 1067 YONGE STREET TORONTO, A6 M4W2L2		X		
TRICON USA INC. 1067 YONGE STREET TORONTO, A6 M4W2L2				See "Remarks" below.
TRICON HOLDINGS USA LLC 1067 YONGE STREET TORONTO, A6 M4W2L2				See Remarks
TRICON US TOPCO LLC 1067 YONGE STREET TORONTO, A6 M4W2L2				See remarks
TRICON HOLDINGS CANADA INC. 1067 YONGE STREET TORONTO, A6 M4W2L2				See remarks
Tricon Capital Group Inc. 1067 YONGE STREET TORONTO, A6 M4W2L2				See remarks

## Signatures

/s/ Miek Harbur, Attorney-in-Fact for TCN/TNHC LP	05/25/2018
<u>    </u> **Signature of Reporting Person	Date
/s/ Miek Harbur, Attorney-in-Fact for TCN/TNHC GP LLC	05/25/2018
<u>    </u> **Signature of Reporting Person	Date
/s/ Miek Harbur, Attorney-in-Fact for Tricon Housing Partners US II Equity Holdings LP	05/25/2018
<u>    </u> **Signature of Reporting Person	Date
/s/ Miek Harbur, Attorney-in-Fact for Tricon Housing Partners US II GP LLC	05/25/2018
<u>    </u> **Signature of Reporting Person	Date
/s/ Miek Harbur, Attronee-in-Fact for Tricon USA Inc.	05/25/2018
<u>    </u> **Signature of Reporting Person	Date
/s/ Miek Harbur, Attorney-in-Fact for Tricon Holdings USA LLC	05/25/2018
<u>    </u> **Signature of Reporting Person	Date
/s/ Miek Harbur, Attorney-in-Fact for Tricon US Topco LLC	05/25/2018
<u>    </u> **Signature of Reporting Person	Date
/s/ Miek Harbur, Attorney-in-Fact for Tricon Holdings Canada Inc.	05/25/2018
<u>    </u> **Signature of Reporting Person	Date
/s/ Miek Harbur, Attorney-in-Fact for Tricon Capital Group Inc.	05/25/2018
<u>    </u> **Signature of Reporting Person	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

### Remarks:

This "exit" Form 4 is filed solely to report that the Reporting Persons are no longer subject to Section 16 with respect to the Issu

TCN/TNHC LP formerly was a party to an Investor Rights Agreement with Joseph Davis, H. Lawrence Webb, Wayne Stelma

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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