

McDonough Edward P.
Form 4
November 13, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
McDonough Edward P.

(Last) (First) (Middle)

1226 WASHINGTON AVENUE

(Street)

PARKERSBURG, WV 26101

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
ProtoKinetix, Inc. [PKTX]

3. Date of Earliest Transaction
(Month/Day/Year)
11/09/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify
below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)			
			Code	V	Amount		Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying (Instr. 3 and 4)

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Derivative Security	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Stock Option	\$ 0.09	11/09/2018	A	1,000,000	(1)	11/08/2023	Common Stock
Stock Option	\$ 0.1	06/30/2017	J(2)	1,000,000	12/31/2015	06/30/2017	Common Stock
Stock Option	\$ 0.06				(3)	08/31/2021	Common Stock
Stock Option	\$ 0.05				(4)	12/31/2020	Common Stock
Stock Option	\$ 0.08				(5)	12/31/2019	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
McDonough Edward P. 1226 WASHINGTON AVENUE PARKERSBURG, WV 26101	X			

Signatures

/s/ Edward P.
McDonough

11/12/2018

Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Options issued pursuant to the Company's Amended 2017 Stock Option and Stock Bonus Plan. 250,000 shares of common stock vest on March 31, 2019; thereafter 250,000 shares vest every three months.
- (2) Expiration of options with no value received.
- (3) Options issued pursuant to the Company's 2017 Stock Option and Stock Bonus Plan. 250,000 shares of common stock vested on December 31, 2017; thereafter 250,000 shares vested every three months.
- (4) Options issued pursuant to the Company's 2017 Stock Option and Stock Bonus Plan. 250,000 shares of common stock vested on March 31, 2017; thereafter 250,000 shares vested every three months.
- (5) Options issued pursuant to the Company's 2015 Stock Option and Stock Bonus Plan. 250,000 shares of common stock vested on March 31, 2016; thereafter 250,000 shares vested every three months.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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