### Edgar Filing: Crimson Wine Group, Ltd - Form 4

Crimson Wine Group, Ltd Form 4 September 04, 2015

#### **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading CUMMING IAN M. Issuer Symbol Crimson Wine Group, Ltd [CWGL] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction X\_ Director (Month/Day/Year) 10% Owner Officer (give title Other (specify C/O CRIMSON WINE 09/03/2015 below) below) **GROUP. 2700 NAPA VALLEY** CORPORATE DRIVE, STE B (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting NAPA, CA 94558 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	ion Date, if Transaction(A) or Disposed of Code (D)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	09/03/2015		P <u>(1)</u>	500	А	\$ 9.25	2,130,392	D	
Common Stock	09/04/2015		P <u>(1)</u>	600	А	\$ 9.21 (2)	2,103,992	D	
Common Stock							21,600	Ι	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

### Edgar Filing: Crimson Wine Group, Ltd - Form 4

# required to respond unless the form displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. 6. Date Exercisable tionNumber Expiration Date of (Month/Day/Year) ) Derivative Securities Acquired (A) or Disposed of (D)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4	<ul><li>8. Price of Derivative Security (Instr. 5)</li></ul>	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Amoun or Title Numbo of Shares	er	

## **Reporting Owners**

C 2 N

S

/ A

Reporting Owner Name / Address			Relationships					
		Director	10% Owner	Officer	Other			
CUMMING IAN M. C/O CRIMSON WINE GROUP 2700 NAPA VALLEY CORPORATE DR NAPA, CA 94558	IVE, STE B	Х						
Signatures								
/s/ Patrick DeLong as Attorney-in-Fact	09/04/2015							
**Signature of Reporting Person	Date							

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to a Rule 10b5-1 plan entered into by the reporting person.

The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$9.20 to \$9.25, inclusive. The reporting person undertakes to provide to Crimson Wine Group, Ltd., any security holder of the Company,

(2) <sup>59,20</sup> to <sup>59,25</sup>, inclusive. The reporting person undertakes to provide to Crimison while Group, Ed., any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.