Edgar Filing: ODYSSEY MARINE EXPLORATION INC - Form 3

ODYSSEY MARINE EXPLORATION INC Form 3 October 08, 2014 UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Longley John D Jr			2. Date of Event Requiring Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol ODYSSEY MARINE EXPLORATION INC [OMEX]				
(Last)	(First)	(Middle)	10/06/2014		4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
5215 WEST I	LAUREL	STREET						(
(Street)					(Check all applicable)			6. Individual or Joint/Group	
TAMPA, FI	LÂ 33607				Director X Officer (give title below Chief Op	(specify belo) (specify belo perating Office	w)	Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	1	Table I - N	on-Derivati	ive Securiti	es Be	neficially Owned	
1.Title of Securit (Instr. 4)	у			2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	1	
Common Stor	ck			13,466		D	Â		
Reminder: Repor owned directly or		ate line for ea	ch class of secu	rities benefici	ially SI	EC 1473 (7-02)		
	inform require	ation conta ed to respo	oond to the co lined in this fo nd unless the //B control nu	orm are not form displa					
Та	ble II - Deri	ivative Secur	ities Beneficial	lly Owned (e.	g., puts, calls,	warrants, opt	ions, c	onvertible securities)	

1. Title of Derivative Security (Instr. 4)	y 2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

OMB 3235-0104 Number: January 31, Expires: 2005 Estimated average burden hours per 0.5 response...

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				Shares		(I) (Instr. 5)	
RSA (Restricted Stock Awards) (1)	(2)	12/20/2016	Common stock	12,500	\$ 0	D	Â
Stock Options (Right to Buy)	(3)	09/23/2015	Common stock	20,000	\$ 1.74	D	Â
Stock Options (Right to Buy	(4)	12/26/2016	Common stock	15,000	\$ 2.73	D	Â
Stock Options (Right to Buy)	(5)	12/31/2017	Common stock	29,352	\$ 2.89	D	Â
Stock Options (Right to Buy)	(6)	12/31/2023	Common stock	23,841	\$ 2.2	D	Â
Stock Options (Right to Buy)	(7)	10/06/2024	Common stock	50,000	\$ 1.07	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Longley John D Jr 5215 WEST LAUREL STREET TAMPA, FL 33607	Â	Â	Chief Operating Officer	Â			

Signatures

/s/ John D. Longley, Jr.	10/08/2014		
<u>**</u> Signature of Reporting Person	Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock award represents a contingent right to receive one share of OMEX common stock.
- (2) RSA vests in three annual installments on 12/20/2014, 12/20/2015 and 12/20/2016.
- (3) Stock options vested in full on 09/30/2012.
- (4) Stock options vest in three annual installments on 12/26/2012, 12/26/2013 and 12/26/2014.
- (5) Stock options vest in three annual installments on 12/31/2013, 12/31/2014 and 12/31/2015.
- (6) Stock options vest in three annual installments on 12/31/2014, 12/31/2015 and 12/31/2016.
- (7) Stock options vest in three annual installments on 10/06/2015, 10/06/2016 and 10/06/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.