COLUMBUS MCKINNON CORP

Form 4 May 21, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

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Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

SECURITIES

1(b).

(Last)

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person *

Rustowicz Gregory P

(First) (Middle)

140 JOHN JAMES AUDUBON **PARKWAY**

(Street)

(State)

AMHERST, NY 14228

2. Issuer Name and Ticker or Trading

Symbol

COLUMBUS MCKINNON CORP [CMCO]

3. Date of Earliest Transaction

(Month/Day/Year) 05/19/2014

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner X_ Officer (give title Other (specify below)

VP - Finance and CFO

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

(City) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 1. Title of 4. Securities 5. Amount of 6. 7. Nature of TransactionAcquired (A) or Security (Month/Day/Year) Execution Date, if Securities Ownership Indirect (Instr. 3) Code Disposed of (D) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 3, 4 and 5) Owned Following Direct (D) Ownership (Instr. 8) Reported or Indirect (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) (Instr. 4) (D) Price Code V Amount Common

22,451 D Stock Common 3,682 05/19/2014 \$0 A 26,133 D

Common 2,896 05/19/2014 \$0 $29,029 \stackrel{(3)}{=}$ D A (2) Stcok

Common 186.8352 (4) D Stock

Additional Common 471,543.1648 Stock shares held by

(1)

ESOP; reporting person is 1 of 3 trustees; DISCLAIMS beneficial ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Non-Qualified Stock Options (Right to Buy)	\$ 13.1					10/24/2014	10/24/2021	Common Stock	6,0
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	11,9
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/20/2023	Common Stock	10,1
Non-Qualified Stock Options (Right to Buy)	\$ 27.12	05/19/2014		A	9,330	05/19/2015	05/19/2024	Common Stock	9,3

Reporting Owners

Reporting Owner Name / Address	Keiationsinps						
	Director	10% Owner	Officer	Other			

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Rustowicz Gregory P 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228

VP-**Finance** and CFO

Signatures

Gregory 05/21/2014 Rustowicz

**Signature of Date Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part, become fully vested and non-forfeitable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- Represents performance shares issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan **(2)** dated as of July 26, 2010. Units become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
 - Includes 22,501 shares of restricted stock units issued to reporting person, subject to forfeiture in whole or part; 6,000 units become fully vested and non-forfeitable 33.33% per year for three years beginning 10/24/2014, 2,978 units become fully vested and non-forfeitable
- 50% per year for two years beginning 5/21/2015; 3,619 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015; 6,222 shares become fully vested and non-forfeitable on 5/21/2015 and the remaining 3,682 shares become fully vested and non-forfeitable 25% for four years beginning 5/19/2015, if the reporting person remains an employee of issuer. Also includes 2,896 shares which become fully vested and non-forfeiture on 5/20/201, if reporting person remains an employee of issuer.
- Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (5) Exercisable 33.33% per year for three years beginning 10/24/2014, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; options become exercisable 25% per year for four years beginning

5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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