Woon Eric Form 4 May 22, 2013

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

may continue.

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Woon Eric

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

COLUMBUS MCKINNON CORP

[CMCO]

Director 10% Owner

(Check all applicable)

Vice President - APAC

05/20/2013

140 JOHN JAMES AUDUBON

(First)

(Street)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Officer (give title below)

\_ Other (specify

**PARKWAY** 

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

AMHERST, NY 14228

(City)	(State) (Z	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi onAcquired Disposed (Instr. 3,	d (A) od of (D) 4 and (A) or	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock							4,895	D		
Common Stock	05/20/2013		A	2,356 (1)	A	\$0	7,251	D		
Common Stock	05/20/2013		A	2,874 (2)	A	\$0	10,125 (3)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

**SEC 1474** (9-02)

#### Edgar Filing: Woon Eric - Form 4

# displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Non-Qualified Stock Options (Right to Buy)	\$ 14.8					01/25/2013	01/24/2020	Common Stock	10,0
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	1,7
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	2,3
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	5,5
Non-Qualified Stock Options (Right to Buy)	\$ 18.95	05/20/2013		A	4,970	(8)	05/20/2023	Common Stock	4,9 (8

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Woon Eric

140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228

AMES AUDUBON PARKWAY Vice President - APAC

## **Signatures**

Eric Woon 05/22/2013

\*\*Signature of Reporting Person Date

Reporting Owners 2

Edgar Filing: Woon Eric - Form 4

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan (1) dated as of July 26, 2010, subject to forfeiture in whole or part; units become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- Represents performance shares issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan (2) dated as of July 26, 2010, shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer
  - Includes 9,135 shares of restricted stock units issued to reporting person, subject to forfeiture in whole or part; 227 shares become fully fested and non-forfeitable on 5/17/2014, 927 shares become fully vested and non-forfeitable 33.33% per year for three years beginning
- (3) 5/23/2013, 2,751 units become fully vested and non-forfeitable 25% per year for four years beginning 5/21/2013, 2,356 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, and the remaining 2,874 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.
- (4) Exercisable 33.33% per year for three years beginning 1/25/2013, if reporting person remains an employee of issuer.
- (5) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation Long Term Incentive Plan (7) dated as of July 26, 2010; options become exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation Long Term Incentive Plan (8) dated as of July 26, 2010; options become exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.