#### Edgar Filing: BOERGER KENNETH A - Form 4

#### **BOERGER KENNETH A**

Form 4

February 14, 2012

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

Number:

3235-0287

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *BOERGER KENNETH A	2. Issuer Name <b>and</b> Ticker or Trading Symbol LIBBEY INC [LBY]	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle)	3. Date of Earliest Transaction	(Check all applicable)			
LIBBEY INC, PO BOX 10060	(Month/Day/Year) 02/10/2012	Director 10% OwnerX Officer (give title Other (specify below)  Vice President & Treasurer			
(Street)	4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
TOLEDO, OH 43699-0060	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Tabl	e I - Non-I	Derivative	Secur	rities Acq	uired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securii or(A) or Di (Instr. 3,	spose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/10/2012		F	343 (1)	D	\$ 15.16	23,213.2724	D	
Common Stock							11,071.4337	I	by 401(k) plan
Common Stock							58.1594	I	by Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	s I		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	' (A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Shares
Non-Qualified Stock Option (right to buy)	\$ 1.07					02/12/2010(2)	02/12/2019	Common Stock	2,66
Non-Qualified Stock Option (right to buy)	\$ 10.13					02/11/2011(2)	02/11/2020	Common Stock	2,86
Non-Qualified Stock Option (right to buy)	\$ 11.79					12/08/2006(3)	12/08/2015	Common Stock	5,28
Non-Qualified Stock Option (right to buy)	\$ 12.8					<u>(4)</u>	02/17/2017	Common Stock	5,04
Non-Qualified Stock Option (right to buy)	\$ 15.35					02/15/2009(2)	02/15/2018	Common Stock	2,28
Non-Qualified Stock Option (right to buy)	\$ 17					02/10/2012(2)	02/10/2021	Common Stock	2,47
Non-Qualified Stock Option (right to buy)	\$ 20.39					12/10/2005(5)	12/11/2014	Common Stock	5,28
Non-Qualified Stock Option (right to buy)	\$ 23.93					11/20/2003(5)	11/21/2012	Common Stock	7,25
Non-Qualified Stock Option (right to buy)	\$ 28.53					12/15/2004(5)	12/16/2013	Common Stock	4,78

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BOERGER KENNETH A

LIBBEY INC

PO BOX 10060

TOLEDO, OH 43699-0060

Vice

President &
Treasurer

# **Signatures**

By: Anita D. Cramer, Attorney-in-Fact For: Kenneth A.

Boerger

02/14/2012

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects shares withheld to satisfy tax withholding obligations on restricted stock units that vested.
- (2) The options become exercisable for 25% of the shares on each of the first, second, third, and fourth anniversary dates.
- (3) The options become exercisable for 40% of the shares on the first anniversary and 20% of the shares on the second, third and fourth anniversary dates.
- There were two grants on February 16, 2007. The grant of 2,586 options become exercisable for 25% of the shares on each of the first,
- (4) second, third and fourth anniversary dates. The grant of 2,460 options become exercisable for 33% of the shares on the first, second and third anniversary dates.
- On December 6, 2005 the Board of Directors approved a motion to accelerate the vesting of all outstanding and unvested stock options that were awarded from 2002 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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