

Schroeder Christopher M
Form 3
September 13, 2018

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Schroeder Christopher M

(Last) (First) (Middle)

5627 POTOMAC AVENUE NW

(Street)

WASHINGTON,Â DCÂ 20016

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

09/11/2018

3. Issuer Name **and** Ticker or Trading Symbol
MEXCO ENERGY CORP [MXC]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative
Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Exercisable Expiration
Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

Amount or
Number of
Shares

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect
(I)
(Instr. 5)

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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| | | | | | | | |
|---|------------|--------|--------|---------|---|---|--|
| Stock Options to Buy | | MXC | | | | | |
| MXC Common Stock, 09/11/2019 ⁽²⁾ | 09/11/2028 | Common | 10,000 | \$ 4.84 | D | Â | |
| par value \$0.50 ⁽¹⁾ | | Stock | | | | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--------------------------------|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Schroeder Christopher M | | | | |
| 5627 POTOMAC AVENUE NW | X | | | |
| WASHINGTON, DC 20016 | | | | |

Signatures

| | |
|---------------------------------|------------|
| /s/ Christopher M. Schroeder | 09/13/2018 |
|---------------------------------|------------|

**Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Options granted pursuant to Mexco Energy Corporation 2009 Employee Incentive Stock Plan.

(2) The shares vest 1/4 each year for four consecutive years (1/4 in 2019, 1/4 in 2020, 1/4 in 2021 and 1/4 in 2022).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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