FANANDAKIS NICHOLAS C

Form 4

September 05, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16.

SECURITIES Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * FANANDAKIS NICHOLAS C

2. Issuer Name and Ticker or Trading Symbol

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

5. Relationship of Reporting Person(s) to

Issuer

DUPONT E I DE NEMOURS & CO

(Check all applicable)

Executive Vice President & CFO

[DD]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner Other (specify X_ Officer (give title below)

CHESTNUT RUN PLAZA 730, 974 **CENTRE ROAD**

(Street)

08/31/2017

08/31/2017

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

WILMINGTON, DE 19805

Common

Stock

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) or 5. Amount of 6. Security (Month/Day/Year) Execution Date, if TransactiorDisposed of (D) Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: (Month/Day/Year) (Instr. 8) Owned Direct (D) or Indirect Following Reported (A) Transaction(s)

(I) (Instr. 4) (Instr. 3 and 4)

or Amount Price (D)

Code V 157,590,8855 D D

(1)

D (1) 0

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

7. Nature

of Indirect

Beneficial

Ownership

(Instr. 4)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of stiorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (Right to Buy)	\$ 49.9015	08/31/2017		D	4	49,487 (2)	(2)	02/05/2019	Common Stock	49,487
Employee Stock Options (Right to Buy)	\$ 45.7189	08/31/2017		D	4	57,312 (<u>3)</u>	(3)	02/05/2020	Common Stock	57,312
Employee Stock Options (Right to Buy)	\$ 59.6543	08/31/2017		D	2	47,407 (4)	<u>(4)</u>	02/04/2021	Common Stock	47,407
Employee Stock Options (Right to Buy)	\$ 71.0648	08/31/2017		D	(50,380 (5)	<u>(5)</u>	02/03/2022	Common Stock	60,380
Employee Stock Options (Right to Buy)	\$ 58.76	08/31/2017		D	8	84,296 (6)	<u>(6)</u>	02/02/2026	Common Stock	84,296
Employee Stock Options (Right to Buy)	\$ 76.17	08/31/2017		D	7	72,073 (7)	<u>(7)</u>	02/01/2027	Common Stock	72,073

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

Reporting Owners 2

FANANDAKIS NICHOLAS C CHESTNUT RUN PLAZA 730 974 CENTRE ROAD WILMINGTON, DE 19805

Executive
Vice President
& CFO

Signatures

Erik T. Hoover by Power of Attorney

09/05/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Disposed of pursuant to merger agreement between issuer and Dow Chemical Company in exchange for 202,028.8433 shares or stock
- (1) units, as applicable, of DowDuPont common stock having a market value of \$67.18 per share or stock unit, as applicable, on the effective date of the merger.
- (2) This option, which provided for vesting in three equal annual installments beginning February 6, 2013, was assumed by DowDuPont in the merger and exchanged for 63,422 options at a grant price of \$38.93.
- (3) This option, which provided for vesting in three equal annual installments beginning February 6, 2014, was assumed by DowDuPont in the merger and exchanged for 73,473 options at a grant price of \$35.67.
- (4) This option, which provided for vesting in three equal annual installments beginning February 5, 2015, was assumed by DowDuPont in the merger and exchanged for 60,775 options at a grant price of \$46.54.
- (5) This option, which provided for vesting in three equal annual installments beginning February 4, 2016, was assumed by DowDuPont in the merger and exchanged for 77,407 options at a grant price of \$55.44.
- (6) This option, which provided for vesting in three equal annual installments beginning February 3, 2017, was assumed by DowDuPont in the merger and exchanged for 108,875 options at a grant price of \$45.84.
- (7) This option, which provided for vesting in three equal annual installments beginning February 2, 2018, was assumed by DowDuPont in the merger and exchanged for 92,397 options at a grant price of \$59.42.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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