Edgar Filing: Strayer Jacqueline F - Form 4

Strayer Jacq Form 4	ueline F										
October 10,	2012										
										PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							N OMB Number:	3235-0287			
Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,						Expires: January 3 200 Estimated average burden hours per response 0					
obligatio may con <i>See</i> Instr 1(b).	tinue. Section 1	7(a) of the		ility Hold	ing Com	pany	Act o	of 1935 or Section	on		
(Print or Type]	Responses)										
Strayer Jacqueline F Symbol			Symbol	er Name and Ticker or Trading SON CONTROLS INC [JCI]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Check				ck all applicabl				
				Month/Day/Year) 0/05/2012				Director 10% Owner X Officer (give title Other (specify below) Vice President			
	(Street)		Filed(Month/Day/Year) Appl _X_1			Applicable Line) _X_ Form filed by	ual or Joint/Group Filing(Check Line) iled by One Reporting Person led by More than One Reporting				
MILWAUK	KEE, WI 53201							Person	More than One R	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	any		emed on Date, if /Day/Year)	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)))	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	10/05/2012			А	3,400	А	\$0	15,581.979	D		
Common Stock								3,214.836 <u>(1)</u>	I	By 401(k) Plan Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Numbe iorDerivative Securities Acquired or Dispose (D) (Instr. 3, 4 and 5)	re s I (A) sed of	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and	Securi
				Code V	7 (A)	(D)	Date Exercisable	Expiration Date	Title	Amo Nun Shai
Employee Stock Option (Right to Buy)	\$ 27.85	10/05/2012		А	10,100		10/05/2014 <u>(2)</u>	10/05/2022	Common Stock	1
Phantom Stock Units - Restricted Stock	<u>(3)</u>						<u>(4)</u>	<u>(4)</u>	Common Stock	31
Phantom Stock Units - Annual Incentive Plan	<u>(3)</u>						<u>(5)</u>	(5)	Common Stock	9,1
Phantom Stock Units - Retirement Restoration	<u>(3)</u>						<u>(6)</u>	<u>(6)</u>	Common Stock	2,8
Employee Stock Option (Right to Buy)	\$ 28.79						10/01/2010	10/01/2018	Common Stock	2:
Employee Stock Option (Right to Buy)	\$ 24.87						10/01/2011	10/01/2019	Common Stock	2:
Employee Stock Option (Right to Buy)	\$ 30.54						10/01/2012 <u>(2)</u>	10/01/2020	Common Stock	1

Employee Stock Option \$ 28.54 (Right to Buy)

10/07/2013(2) 10/07/2021 Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships							
Reporting O when Plante, Plantess	Director	10% Owner	Officer	Other				
Strayer Jacqueline F 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201			Vice President					
Signatures								
Angela M. Blair, Attorney-in-Fact f Strayer	10/10/2012							

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The number of underlying securities is based on the stock fund balance on October 2, 2012. The actual number of shares issuable upon
 (1) the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on an October 2, 2012, stock fund price of \$27.55 per share.

- (2) Fifty percent of the options vest after two years and the remaining 50% vests after three years.
- (3) Each unit of phantom stock is the economic equivalent of one share of Johnson Controls common stock.
- (4) The restricted stock units accrue under the Johnson Controls Restricted Stock Plan. The balance includes phantom stock and dividend equivalent units that settle 100% in cash and relate to restricted stock awards.
- (5) The phantom stock units were accrued under the Johnson Controls Annual Incentive Plan, and are to be settled 100% in cash following the reporting person's retirement or termination of employment with the issuer.
- (6) The phantom stock units were accrued under the Johnson Controls Retirement Restoration Plan, and are to be settled 100% in cash following the reporting person's retirment or termination of employment with the issuer. This plan mirrors the company's 401(k) Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.