Edgar Filing: GAIN Capital Holdings, Inc. - Form 4

GAIN Capit	al Hol	dings, Inc.										
Form 4	015											
March 25, 2											PROVAL	
					URITIES AND EXCHANGE COMMISSIO					OMB	3235-0287	
Check th	is box	Washington, D.C. 20549								Number:	January 31,	
if no lon subject to Section 7 Form 4 c	o 16.	STATE	MENT OI	F CHAN	IGES IN BENEFICIAL OWNE SECURITIES				NERSHIP OF	Expires: Estimated a burden hour response	2005 verage	
Form 5 obligatio may con <i>See</i> Instr 1(b).	tinue. '	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type	Respons	ses)										
1. Name and Address of Reporting Person <u>*</u> Rotsztain Diego			2. Issuer Name and Ticker or Trading Symbol GAIN Capital Holdings, Inc.					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
				[GCAP]								
(Month				(Month/E	Date of Earliest Transaction onth/Day/Year)				Director 10% Owner X Officer (give title Other (specify below) below)			
INC., 135 U				03/23/2	015				General Co	ounsel and Secr	etary	
			nendment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
BEDMINS	TER, I	NJ 07921							Form filed by M Person			
(City)	(S	tate)	(Zip)	Tabl	e I - Non-E	Derivative	Secu	rities Acqu	uired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deen Execution any (Month/D					4. Securities Acquired or(A) or Disposed of (D) (Instr. 3, 4 and 5) (A)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock (1)	03/23	3/2015			S	3,443	D	\$ 9.6071	85,027	D		
Common Stock (1)	03/24	4/2015			S	1,690	D	\$ 9.5972	83,337	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Rotsztain Diego C/O GAIN CAPITAL HOLDINGS, INC. 135 U.S. RT. 202/206, SUITE 11 BEDMINSTER, NJ 07921			General Counsel and Secretary				

Signatures

/s/ Diego Rotsztain

03/25/2015

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were sold pursuant to a sales plan that complies with the requirements of Rule 10b5-1 under the Securities Exchange Act of 1934, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.