Great Ajax Corp. Form 4 May 04, 2016

## FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Ao<br>Doyle Mary                     | •        | orting Person * | 2. Issuer Name <b>and</b> Ticker or Trading Symbol   | 5. Relationship of Reporting Person(s) to Issuer   |  |  |
|--|----------|-----------------|--|--|--|--|
|  |          |                 | Great Ajax Corp. [AJX] (Check all applie             |  |  |  |
| (Last)   | (First)  | (Middle)        | 3. Date of Earliest Transaction                      |  |  |  |
| 9400 SW<br>BEAVERTON-HILLSDALE<br>HWY, SUITE 131 |          | DALE            | (Month/Day/Year)<br>05/04/2016                       | Director 10% Owner Officer (give title Other (specification) below)  CHIEF FINANCIAL OFFICER |  |  |
|  | (Street) |                 | 4. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line)                                   |  |  |

BEAVERTON, OR 97005

(State)

(City)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

| (City)   | (State)                              | (Zip) Tab   | le I - Non-l                           | Derivative   | Secu | rities Acqui         | red, Disposed of,  | or Beneficiall   | y Owned   |
|--|--------------------------------------|---|--|--|------|----------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3)                 | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securities Acquired (A) onor Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price |      |                      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 05/04/2016                           |   | P                                      | 3,148  | A    | \$<br>13.9145<br>(1) | 3,148  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: Great Ajax Corp. - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Title  | and      | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|-----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | Expiration D  | ate         | Amoun     | t of     | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Underly   | ying     | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Securit   | ies      | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |             | (Instr. 3 | 3 and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |               |             |           |          |             | Follo  |
|             | •           |                     |                    |            | (A) or     |               |             |           |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |           |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |           |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |           |          |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |             |           |          |             |        |
|             |             |                     |                    |            |            |               |             |           | A manuat |             |        |
|             |             |                     |                    |            |            |               |             |           | Amount   |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  |           | Or       |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date        |           | Number   |             |        |
|             |             |                     |                    | C + V      | (A) (D)    |               |             |           | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             |           | Shares   |             |        |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                               |       |  |  |  |
|--|---------------|-----------|-------------------------------|-------|--|--|--|
|  | Director      | 10% Owner | Officer                       | Other |  |  |  |
| Doyle Mary B<br>9400 SW BEAVERTON-HILLSDALE HWY<br>SUITE 131<br>BEAVERTON OR 97005 |               |           | CHIEF<br>FINANCIAL<br>OFFICER |       |  |  |  |

# **Signatures**

/s/ Judd Roberts for Mary B. Doyle, Attorney-in-Fact

05/04/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This price is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$13.902 to \$13.9158 inclusive. The reporting person undertakes to provide Great Ajax Corp. (the "Company"), any security holder of the Company, or the **(1)** staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2