Great Ajax Corp. Form 4 May 07, 2015

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

Great Ajax Corp. [AJX]

Symbol

**OMB APPROVAL** OMB

3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

MENDELSOHN LAWRENCE

|                                     |                    |                                        |            |                                                      |            |          |           | ((                                           | Theck all appli                    | cable)          |  |  |
|-------------------------------------|--------------------|----------------------------------------|------------|------------------------------------------------------|------------|----------|-----------|----------------------------------------------|------------------------------------|-----------------|--|--|
| (Last)                              | (Middle)           | iddle) 3. Date of Earliest Transaction |            |                                                      |            |          |           |                                              |                                    |                 |  |  |
|                                     |                    | (Month/Day/Year)                       |            |                                                      |            | Director | 10% Owner |                                              |                                    |                 |  |  |
| 9400 SW 05/06/2                     |                    |                                        |            | 2015                                                 |            |          |           |                                              | Officer (give title Other (specify |                 |  |  |
| BEAVERT                             | ON-HILLSDAL        | Æ                                      |            |                                                      |            |          |           | below)                                       | below                              |                 |  |  |
| HWY, SUI                            |                    |                                        |            |                                                      |            |          |           | CHIEF                                        | EXECUTIVE                          | OFFICER         |  |  |
| 11 1, 501                           | 11.131             |                                        |            |                                                      |            |          |           |                                              |                                    |                 |  |  |
|                                     | (Street)           |                                        | 4. If Amo  | I. If Amendment, Date Original Filed(Month/Day/Year) |            |          |           | 6. Individual or Joint/Group Filing(Check    |                                    |                 |  |  |
|                                     |                    |                                        | Filed(Mo   |                                                      |            |          |           | Applicable Line)                             |                                    |                 |  |  |
|                                     |                    |                                        |            | ·                                                    |            |          |           | _X_ Form filed by One Reporting Person       |                                    |                 |  |  |
| BEAVERTON, OR 97005                 |                    |                                        |            |                                                      |            |          |           | Form filed by More than One Reporting Person |                                    |                 |  |  |
| (City)                              | (State)            | (Zip)                                  | Tab        | le I - Non-I                                         | Derivative | Secu     | rities A  | .cquired, Dispose                            | ed of, or Benef                    | icially Owned   |  |  |
| 1.Title of                          | 2. Transaction Dat | e 2A. Deer                             | ned        | 3.                                                   | 4. Securi  | ties     |           | 5. Amount of                                 | 6.                                 | 7. Nature of    |  |  |
| Security (Month/Day/Year) Execution |                    |                                        | n Date, if |                                                      |            |          |           | Securities                                   | Ownership                          | Indirect        |  |  |
| (Instr. 3)                          | •                  | any                                    |            | Code                                                 | Disposed   |          |           | Beneficially                                 | Form:                              | Beneficial      |  |  |
|                                     |                    | (Month/I                               | Day/Year)  | (Instr. 8)                                           | * ' '      |          |           | Owned                                        | Direct (D)                         | Ownership       |  |  |
|                                     |                    |                                        |            |                                                      |            |          |           | Following                                    | or Indirect                        | (Instr. 4)      |  |  |
|                                     |                    |                                        |            |                                                      |            | (A)      |           | Reported                                     | (I)<br>(Instr. 4)                  |                 |  |  |
|                                     |                    |                                        |            |                                                      | or         |          |           | Transaction(s)                               |                                    |                 |  |  |
|                                     |                    |                                        |            | Code V                                               | Amount     | (D)      | Price     | (Instr. 3 and 4)                             |                                    |                 |  |  |
| Common                              |                    |                                        |            |                                                      |            | ( )      |           |                                              |                                    |                 |  |  |
| Stock, par                          |                    |                                        |            |                                                      |            |          |           |                                              |                                    |                 |  |  |
|                                     | 05/06/2015         |                                        |            | D                                                    | 1 000      | ٨        | ¢ 11      | 1.070                                        | D                                  |                 |  |  |
| value                               | 03/06/2013         |                                        |            | P                                                    | 1,000      | A        | \$ 14     | 1,070                                        | D                                  |                 |  |  |
| \$0.01 per                          |                    |                                        |            |                                                      |            |          |           |                                              |                                    |                 |  |  |
| share                               |                    |                                        |            |                                                      |            |          |           |                                              |                                    |                 |  |  |
| Common                              |                    |                                        |            |                                                      |            |          |           |                                              |                                    | D.,             |  |  |
| Common                              |                    |                                        |            |                                                      |            |          |           |                                              |                                    | By              |  |  |
| Stock, par                          |                    |                                        |            | _                                                    |            |          |           | (1)                                          |                                    | Mendelsohn      |  |  |
| value                               | 05/06/2015         |                                        |            | P                                                    | 1,000      | A        | \$ 14     | $1,000 \frac{(1)}{}$                         | I                                  | Family          |  |  |
| \$0.01 per                          |                    |                                        |            |                                                      |            |          |           |                                              |                                    | Limted          |  |  |
| share                               |                    |                                        |            |                                                      |            |          |           |                                              |                                    | Partnership (2) |  |  |
|                                     |                    |                                        |            |                                                      |            |          |           |                                              |                                    | • —             |  |  |
|                                     |                    |                                        |            |                                                      |            |          |           | 70                                           | I                                  | By wife         |  |  |
|                                     |                    |                                        |            |                                                      |            |          |           |                                              |                                    |                 |  |  |

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| Common<br>Stock, par<br>value<br>\$0.01 per<br>share |                    |   |                                                           |
|------------------------------------------------------|--------------------|---|-----------------------------------------------------------|
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 70                 | I | By daughter                                               |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 70                 | I | By daughter                                               |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 70                 | I | By son                                                    |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 124,588 (1)        | I | By Thetis<br>Asset<br>Management<br>LLC                   |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 274,667 <u>(1)</u> | I | By Gregory<br>Funding LLC                                 |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 7,018 (1)          | I | By Flanders<br>Street Capital<br>Partners I.,<br>L.P. (3) |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 399,355 <u>(4)</u> | I | By Aspen Yo<br>LLC                                        |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 16,842 (1)         | I | By Aspen<br>Uranus                                        |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 1474** 

(9-02)

9. Nu Deriv Secur Bene

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of         | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.         | 6. Date Exerc                    | isable and   | 7. Titl    | e and    | 8. Price of | 9 |
|---------------------|-------------|---------------------|--------------------|-------------------|------------|----------------------------------|--------------|------------|----------|-------------|---|
| Derivative          | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |            | Expiration Date A                |              | Amou       | nt of    | Derivative  | ] |
| Security            | or Exercise |                     | any                | Code              | of         | (Month/Day/Year)                 |              | Underlying |          | Security    | 5 |
| (Instr. 3) Price of |             |                     | (Month/Day/Year)   | (Instr. 8)        | Derivative | e                                |              | Securi     | ities    | (Instr. 5)  | ] |
|                     | Derivative  |                     |                    | Securities        |            | (Instr                           |              |            | 3 and 4) | 4)          |   |
|                     | Security    |                     |                    |                   | Acquired   |                                  |              |            |          |             | J |
| ·                   |             |                     |                    |                   | (A) or     |                                  |              |            |          |             | J |
|                     |             |                     |                    |                   | Disposed   |                                  |              |            |          |             | - |
|                     |             |                     |                    |                   | of (D)     |                                  |              |            |          |             | ( |
|                     |             |                     |                    |                   | (Instr. 3, |                                  |              |            |          |             |   |
|                     |             |                     |                    |                   | 4, and 5)  |                                  |              |            |          |             |   |
|                     |             |                     |                    |                   |            |                                  |              |            |          |             |   |
|                     |             |                     |                    |                   |            |                                  |              |            | Amount   |             |   |
|                     |             |                     |                    |                   |            | Date Expiration Exercisable Date | Expiration   |            | or       |             |   |
|                     |             |                     |                    |                   |            |                                  | Title Number |            |          |             |   |
|                     |             |                     |                    |                   |            |                                  |              |            | of       |             |   |
|                     |             |                     |                    | Code V            | (A) (D)    |                                  |              |            | Shares   |             |   |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MENDELSOHN LAWRENCE 9400 SW BEAVERTON-HILLSDALE HWY SUITE 131 BEAVERTON, OR 97005

CHIEF EXECUTIVE OFFICER

## **Signatures**

/s/ Joanne Sur for Lawrence Mendelsohn, Attorney-in-Fact

05/07/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed an admission that the reporting person is the beneficial owner of all of the reported shares for purposes of Section 16 or for any other purpose.
- (2) Mr. Mendelsohn and certain members of his family are partners of Mendelsohn Family Limited Partnership.
- (3) Flanders Street Capital Partners I., L.P. is managed by Flanders Street Capital Management which is wholly owned by Mr. Mendelsohn. Mr. Mendelsohn and his wife are general partners or agents of certain members of Flanders Street Capital Partners I., L.P.
- (4) Includes 274,557 shares of Gregory Funding LLC and 124,667 shares of Thetis Asset Management LLC reported above.

Reporting Owners 3

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.