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SEMTECH CORP

Form 3

November 22, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * 2. Date of Event 3. Issuer Name and Ticker or Trading Symbol Requiring Statement Brown Simon A SEMTECH CORP [SMTC] (Month/Day/Year) (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original 11/17/2016 Person(s) to Issuer Filed(Month/Day/Year) 200 FLYNN ROAD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% _X_ Form filed by One Reporting Owner Person CAMARILLO, Â CAÂ 93012-8790 X Officer Other Form filed by More than One (give title below) (specify below) Reporting Person Vice President (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 3. 4. Nature of Indirect Beneficial 1. Title of Security Ownership Beneficially Owned Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 15,127 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect	

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						(I) (Instr. 5)	
Stock Option (Right to Buy)	(1)	08/14/2019	Common Stock	500	\$ 29.21	D	Â
Stock Option (Right to Buy)	(2)	08/12/2021	Common Stock	2,000	\$ 17.14	D	Â
Stock Option (Right to Buy)	(3)	08/17/2022	Common Stock	3,900	\$ 25.85	D	Â
Stock Option (Right to Buy)	(4)	11/16/2022	Common Stock	7,500	\$ 27.25	D	Â
Restricted Stock Units	(1)	(1)	Common Stock	550	\$ <u>(6)</u>	D	Â
Restricted Stock Units	(5)	(5)	Common Stock	1,750	\$ <u>(6)</u>	D	Â
Restricted Stock Units	(2)	(2)	Common Stock	3,750	\$ <u>(6)</u>	D	Â
Restricted Stock Units	(3)	(3)	Common Stock	5,000	\$ <u>(6)</u>	D	Â
Restricted Stock Units	(4)	(4)	Common Stock	7,500	\$ <u>(6)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		
Brown Simon A	•	•	^ · ·	^		
200 FLYNN ROAD	Α	Α	Vice President	Α		
CAMARILLO, CA 93012-8790						

Signatures

Simon A. Brown by Charles B. Ammann under Power of Attorney dated November 18, 2016 (Copy Attached)

11/22/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This grant vests in four annual installments beginning on August 14, 2014.
- (2) This grant vests in four annual installments beginning on August 12, 2016.
- (3) This grant vests in four annual installments beginning on August 17, 2017.
- (4) This grant vests in four annual installments beginning on November 16, 2017.
- (5) This grant vests in four annual installments beginning on August 13, 2015.
- (6) Each Restricted Stock Unit represents the contingent right to one share of the company's common stock.

Reporting Owners 2

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Remarks:

The Board of Directors determined that Mr. Brown is subject to Section 16 as of November 17,Â

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.