

Edgar Filing: MORIARTY TIMOTHY E - Form SC 13D/A

MORIARTY TIMOTHY E
Form SC 13D/A
October 06, 2010

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13D/A
Under the Securities Exchange Act of 1934

NEWSTAR FINANCIAL INC
(Name of Issuer)

COMMON
(Title of Class of Securities)

65251F105
(CUSIP Number)

TIMOTHY E. MORIARTY, c/o McGrath, Doyle & Phair
150 BROADWAY - SUITE 1915
NEW YORK, NY 10038
TEL: 212-571-2300
(Name, Address and Telephone Number of Person Authorized to
Receive Notices and Communications)

September 8 2010
(Date of Event which Requires Filing of this Statement)

1 Names of Reporting Persons.
IRS Identification Nos. of above persons (entities only)
TIMOTHY E. MORIARTY

2 Check the Appropriate Box if a Member of a Group (See Instructions)
(a) X
(b)

3 SEC Use Only

4 Source of Funds (See Instructions) PF

5 Ck if Disclosure of Legal Proceedings Is Required Pursuant to
Items 2(d) or 2(e) N/A

6 Citizenship or Place of Organization
USA

Number of Shares Beneficially Owned by Each Reporting Person with

7	Sole Voting Power	3,637,234 SHARES
8	Shared Voting Power	
9	Sole Dispositive Power	3,637,234 SHARES
10	Shared Dispositive Power	
11	Aggregate Amount Beneficially Owned by Each Reporting Person=	3,637,234 shs
12	Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions)	N/A
13	Percent of Class Represented by Amount in Row (11)	7.0722 %
14	Type of Reporting Person (See Instructions)	IN

Edgar Filing: MORIARTY TIMOTHY E - Form SC 13D/A

SPECIAL INSTRUCTIONS FOR COMPLYING WITH SCHEDULE 13D

Item 1

Security and Issuer
NEWSTAR FINANCIAL INC
500 BOYLSTON STREET
SUITE 1600
BOSTON, MA 02116

Class of Securities = common

Item 2

Identity and Background

- (a) N/A
- (b) N/A
- c N/A
- (d) N/A
- (e) N/A
- (f) N/A

Item 3

Source and Amount of Funds or Other Consideration
PERSONAL FUNDS

Item 4

Purpose of Transaction
MATERIAL ACQUISITION FOR INVESTMENT PURPOSES

Item 5

Interest in Securities of the Issuer

- (a) 3,637,234 SHARES 7.0722 PERCENT OF CLASS
- (b) 3,637,234 SHARES
- c N/A
- (d) N/A
- (e) N/A

Item 6

Contracts, Arrangements, Understandings or Relationships with
Respect to Securities of the Issuer = N/A

Item 7

Material to Be Filed as Exhibits
N/A

Signature

After reasonable inquiry and to the best of my knowledge
and belief, I certify that the information set forth in
this statement is true, complete and correct.

Date 10/06/2010

Signature TIMOTHY E MORIARTY

Edgar Filing: MORIARTY TIMOTHY E - Form SC 13D/A

Name/Title TIMOTHY E MORIARTY