

Edgar Filing: Firsthand Technology Value Fund, Inc. - Form 8-K

Firsthand Technology Value Fund, Inc.  
Form 8-K  
November 12, 2015

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934

Date of Report: November 11, 2015  
(Date of earliest event reported)

Firsthand Technology Value Fund, Inc.  
(Exact name of registrant as specified in its charter)

Maryland  
(State or other jurisdiction of incorporation) 814-00830  
(Commission File Number)

**27-3008946**  
(IRS Employer  
Identification Number)

150 Almaden Blvd., Suite 1250  
San Jose, CA 95113  
(Address of principal executive offices) (Zip Code)

(800) 976-8776  
(Registrant's telephone number, including area code)

Not Applicable  
(Former Name or Former Address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  - Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  - Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  - Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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Item 8.01. Other Events

On November 11, 2015 , Firsthand Technology Value Fund, Inc. issued a press release announcing its top portfolio holdings at the end of October 2015. The top holdings include IntraOp Medical, Pivotal, Turn, QMAT and Wrightspeed. The press release also announced that, as of October 31, 2015, the Fund's top holdings constituted 51.9% of the Fund's estimated net assets.

Item 9.01. Financial Statements and Exhibits

(a) Financial statements:

None

(b) Pro forma financial information:

None

(c) Shell company transactions:

None

(d) Exhibits

99.1 Press Release dated November 11, 2015.

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SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: November 11, 2015 **FIRSTHAND  
TECHNOLOGY  
VALUE FUND,  
INC.**

By: /s/ Kevin Landis  
Kevin Landis  
President

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Exhibit Index

Exhibit No. **Description**

99.1 Press release dated November 11, 2015