

Edgar Filing: Clough Global Allocation Fund - Form N-PX

Clough Global Allocation Fund  
Form N-PX  
August 25, 2015

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT  
INVESTMENT COMPANY

Investment Company Act file number: 811-21583

CLOUGH GLOBAL ALLOCATION FUND  
(Exact name of registrant as specified in charter)

1290 Broadway, Suite 1100, Denver, Colorado 80203  
(Address of principal executive offices) (Zip code)

Abigail J. Murray, Esq.  
Clough Global Allocation Fund  
1290 Broadway, Suite 1100  
Denver, Colorado 80203  
(Name and address of agent for service)

Registrant's Telephone Number, including Area Code: (303) 623-2577

Date of fiscal year end: October 31

Date of reporting period: July 1, 2014 – June 30, 2015

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## Item 1 – Proxy Voting Record.

## Vote Summary

## MAN WAH HOLDINGS LTD

Security	G5800U107	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	03-Jul-2014
ISIN	BMG5800U1071	Agenda	705341270 - Management
Record Date	26-Jun-2014	Holding Recon Date	26-Jun-2014
City / Country	HONG KONG / Bermuda	Vote Deadline Date	27-Jun-2014
SEDOL(s)	B58VHF3 - B58YWF7 - B5WN7S8	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR-ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING.		Non-Voting	
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2014/0529/LTN20140529717.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2014/0529/LTN20140529717.pdf</a> -AND- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2014/0529/LTN20140529713.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2014/0529/LTN20140529713.pdf</a>		Non-Voting	
1	TO RECEIVE, CONSIDER AND ADOPT THE REPORTS OF THE DIRECTORS AND THE AUDITORS AND THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS OF THE COMPANY FOR THE YEAR ENDED 31 MARCH 2014	Management	For	For
2	TO DECLARE A FINAL DIVIDEND OF HK25.0 CENTS PER SHARE FOR THE YEAR ENDED 31 MARCH 2014	Management	For	For
3	TO APPROVE THE RE-ELECTION OF MR. WONG MAN LI AS AN EXECUTIVE DIRECTOR OF THE COMPANY AND THE TERMS OF HIS APPOINTMENT (INCLUDING REMUNERATION)	Management	For	For

4	TO APPROVE THE RE-ELECTION OF MS. HUI WAI HING AS AN EXECUTIVE DIRECTOR OF THE COMPANY AND THE TERMS OF HER APPOINTMENT (INCLUDING REMUNERATION)	Management	For
5	TO APPROVE THE RE-ELECTION OF MR. ALAN MARNIE AS AN EXECUTIVE DIRECTOR OF THE COMPANY AND THE TERMS OF HIS APPOINTMENT (INCLUDING REMUNERATION)	Management	For
6	TO APPROVE THE RE-ELECTION OF MR. CHAU SHING YIM, DAVID AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR OF THE COMPANY AND THE TERMS OF HIS APPOINTMENT (INCLUDING REMUNERATION)	Management	For
7	TO RE-APPOINT DELOITTE TOUCHE TOHMATSU AS AUDITORS OF THE COMPANY AND TO AUTHORISE THE BOARD OF DIRECTORS OF THE COMPANY TO FIX THEIR REMUNERATION	Management	For
8	TO GRANT A GENERAL MANDATE TO THE DIRECTORS OF THE COMPANY TO ALLOT, ISSUE AND DEAL WITH NEW SHARES OF THE COMPANY NOT EXCEEDING 20% OF ITS ISSUED SHARE CAPITAL	Management	For
9	TO GRANT A GENERAL MANDATE TO THE DIRECTORS OF THE COMPANY TO REPURCHASE SHARES OF THE COMPANY NOT EXCEEDING 10% OF ITS ISSUED SHARE CAPITAL	Management	For
10	TO EXTEND THE GENERAL MANDATE GRANTED TO THE DIRECTORS OF THE COMPANY TO ALLOT, ISSUE AND DEAL WITH NEW SHARES BY AN AMOUNT NOT EXCEEDING THE AMOUNT OF THE SHARES REPURCHASED BY THE COMPANY	Management	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK		408,065 0		31-May-2014	30-Jun-2014

## GRUPO FINANCIERO BANORTE SAB DE CV

Security	P49501201	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	04-Jul-2014
ISIN	MXP370711014	Agenda	705409464 - Management
Record Date	23-Jun-2014	Holding Recon Date	23-Jun-2014
City / Country	NUEVO LEON / Mexico	Vote Deadline Date	23-Jun-2014
SEDOL(s)	2421041 - B01DHK6 - B2Q3MD3 - B57YQ34 - B59G4P6 - BHZLH61	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
I	DISCUSSION AND, IF DEEMED APPROPRIATE, APPROVAL TO AMEND ARTICLE 2 OF THE CORPORATE BYLAWS OF THE COMPANY, FOR THE PURPOSE OF CHANGING THE CORPORATE NAME FROM SEGUROS BANORTE GENERALI, S.A. DE C.V., GRUPO FINANCIERO BANORTE, AND PENSIONES BANORTE GENERALI, S.A. DE C.V., GRUPO FINANCIERO BANORTE, TO SEGUROS BANORTE, S.A. DE C.V., GRUPO FINANCIERO BANORTE, AND PENSIONES BANORTE, S.A. DE C.V., GRUPO FINANCIERO BANORTE, RESPECTIVELY, AND, AS A CONSEQUENCE, AUTHORIZATION TO SIGN THE NEW SINGLE AGREEMENT ON RESPONSIBILITIES	Management	For	For
II	DISCUSSION AND, IF DEEMED APPROPRIATE, APPROVAL TO AMEND THE CORPORATE BYLAWS OF THE COMPANY, IN ORDER TO ADAPT THEM TO THE DECREE BY WHICH AMENDMENTS, ADDITIONS AND EXCLUSIONS ARE MADE TO VARIOUS PROVISIONS REGARDING FINANCIAL MATTERS AND UNDER WHICH IS ISSUED THE LAW TO GOVERN FINANCIAL GROUPINGS, WHICH WAS PUBLISHED IN THE OFFICIAL GAZETTE	Management	For	For

OF THE FEDERATION ON JANUARY 10, 2014, AND, AS A CONSEQUENCE, AUTHORIZATION TO SIGN THE NEW SINGLE AGREEMENT ON RESPONSIBILITIES, AS WELL AS TO APPROVE THE FULL EXCHANGE OF THE SHARE CERTIFICATES REPRESENTATIVE OF THE SHARE CAPITAL OF THE COMPANY, SO THAT THEY WILL CONTAIN THE REQUIREMENTS PROVIDED FOR IN ARTICLE 11 OF THE CORPORATE BYLAWS

DESIGNATION OF A DELEGATE OR DELEGATES TO FORMALIZE AND CARRY OUT, IF DEEMED APPROPRIATE, THE RESOLUTIONS THAT ARE PASSED BY THE GENERAL MEETING

III		Management	For
Account Number	Account Name	Internal Custodian	Ballot Shares
AL27	AL27	STATE STREET BANK	105,888 0
			17-Jun-2014 - Jul-2014

#### COMPANHIA DE LOCACAO DAS AMERICAS

Security	P2R93B103	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	01-Aug-2014
ISIN	BRLCAMACNOR3	Agenda	705472873 - Management
Record Date		Holding Recon Date	29-Jul-2014
City / Country	SAO PAULO / Brazil	Vote Deadline Date	24-Jul-2014
SEDOL(s)	B82CQN4	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF-ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING-INSTRUCTIONS IN THIS	Non-Voting		

MARKET. ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE- REPRESENTATIVE

PLEASE NOTE THAT VOTES 'IN FAVOR' AND 'AGAINST' IN THE SAME AGENDA ITEM ARE- NOT ALLOWED. ONLY VOTES IN FAVOR AND/OR ABSTAIN OR AGAINST AND/ OR ABSTAIN-ARE ALLOWED. THANK YOU

CMMT

Non-Voting

AMENDMENT OF THE WORDING A. OF ITEM 3 OF THE FIRST STOCK OPTION PLAN FOR SHARES OF THE COMPANY, WHICH WAS APPROVED ON SEPTEMBER 21, 2010, AND AMENDED ON FEBRUARY 23, 2012, AND ON MARCH 30, 2012, FROM HERE ONWARDS REFERRED TO AS PLAN I, AND B. OF ITEM 4.1 OF THE SECOND STOCK OPTION PLAN FOR SHARES OF THE COMPANY, WHICH WAS APPROVED ON NOVEMBER 23, 2012, AND AMENDED ON MARCH 30, 2012, AND ON OCTOBER 19, 2012, FROM HERE ONWARDS REFERRED TO AS PLAN II, IN ORDER TO ADJUST THE PERCENTAGE LIMITS IN RELATION TO THE SHARE CAPITAL OF THE COMPANY FOR THE GRANTING OF STOCK OPTIONS IT HAS ISSUED WITHIN THE FRAMEWORK OF PLANS I AND II

1

Management No Action

RESTATEMENT OF PLANS I AND II AS A RESULT OF THE RESOLUTION CONTAINED IN THE AGENDA ITEM ABOVE

2

Management No Action

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK		161,639 0		19-Jul-2014	19-Jul-2014

BHG SA - BRAZIL HOSPITALITY GROUP, SAO PAULO

Security	P1668A101	Meeting Type	ExtraOrdinary General Meeting
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Ticker Symbol		Meeting Date	04-Sep-2014
ISIN	BRBHGRACNOR9	Agenda	705519289 - Management
Record Date		Holding Recon Date	26-Aug-2014
City / Country	RIO DE JANEIRO / Brazil	Vote Deadline Date	27-Aug-2014
SEDOL(s)	B23CS02	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF AT-TORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING INSTRUCTION-S IN THIS MARKET. ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO BE REJECTED-. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE REPRESENTATIVE		Non-Voting	
CMMT	PLEASE NOTE THAT VOTES 'IN FAVOR' AND 'AGAINST' IN THE SAME AGENDA ITEM ARE NO-T ALLOWED. ONLY VOTES IN FAVOR AND/OR ABSTAIN OR AGAINST AND/ OR ABSTAIN ARE A-LLOWED. THANK YOU		Non-Voting	
CMMT	PLEASE NOTE THAT ALTHOUGH THERE ARE 3 COMPANIES TO BE ELECTED, THERE IS ONLY 1-VACANCY AVAILABLE TO BE FILLED AT THE MEETING. THE STANDING INSTRUCTIONS FOR-THIS MEETING WILL BE DISABLED AND, IF YOU CHOOSE, YOU ARE REQUIRED TO VOTE FOR-ONLY 1 OF THE 3 COMPANIES. THANK YOU.		Non-Voting	
I.A	TO CHOOSE, IN ACCORDANCE WITH ARTICLE 12, LINE IX, OF THE CORPORATE BYLAWS OF THE COMPANY, FROM AMONG THE COMPANIES NOMINATED BY THE BOARD OF DIRECTORS OF THE COMPANY, WHICH IS N. M.		Management	

ROTHSCHILD AND SONS, BRASIL, LTDA., THE SPECIALIZED COMPANY RESPONSIBLE FOR THE PREPARATION OF THE VALUATION REPORT FOR THE SHARES OF THE COMPANY, WITHIN THE FRAMEWORK OF THE PUBLIC TENDER OFFER THAT WAS THE SUBJECT OF THE NOTICE OF MATERIAL FACT THAT WAS RELEASED ON AUGUST 8, 2014

I.B TO CHOOSE, IN ACCORDANCE WITH ARTICLE 12, LINE IX, OF THE CORPORATE BYLAWS OF THE COMPANY, FROM AMONG THE COMPANIES NOMINATED BY THE BOARD OF DIRECTORS OF THE COMPANY, WHICH IS BANCO SANTANDER S.A., THE SPECIALIZED COMPANY RESPONSIBLE FOR

Management

THE PREPARATION OF THE VALUATION REPORT FOR THE SHARES OF THE COMPANY, WITHIN THE FRAMEWORK OF THE PUBLIC TENDER OFFER THAT WAS THE SUBJECT OF THE NOTICE OF MATERIAL FACT THAT WAS RELEASED ON AUGUST 8, 2014

I.C TO CHOOSE, IN ACCORDANCE WITH ARTICLE 12, LINE IX, OF THE CORPORATE BYLAWS OF THE COMPANY, FROM AMONG THE COMPANIES NOMINATED BY THE BOARD OF DIRECTORS OF THE COMPANY, WHICH IS BANCO DE INVESTIMENTOS CREDIT SUISSE, BRASIL, S.A., THE SPECIALIZED COMPANY RESPONSIBLE FOR THE PREPARATION OF THE VALUATION REPORT FOR THE SHARES OF THE COMPANY, WITHIN THE FRAMEWORK OF THE PUBLIC TENDER OFFER THAT WAS THE SUBJECT OF THE NOTICE OF MATERIAL FACT THAT WAS RELEASED ON AUGUST 8, 2014

Management

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27		STATE STREET	59,300	0		



## BANK

## VINDA INTERNATIONAL HOLDINGS LTD

Security	G9361V108	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	12-Sep-2014
ISIN	KYG9361V1086	Agenda	705515712 - Management
Record Date	11-Sep-2014	Holding Recon Date	11-Sep-2014
City / Country	HONG KONG / Cayman Islands	Vote Deadline Date	29-Aug-2014
SEDOL(s)	B1Z7648 - B235FQ6	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR-ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING		Non-Voting	
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2014/0822/LTN20140822242.PDF">http://www.hkexnews.hk/listedco/listconews/SEHK/2014/0822/LTN20140822242.PDF</a> - <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2014/0822/LTN20140822234.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2014/0822/LTN20140822234.pdf</a>		Non-Voting	
1	(A) THE SALE AND PURCHASE AGREEMENT DATED 17 JULY 2014 (THE "SALE AND PURCHASE AGREEMENT") ENTERED INTO BETWEEN THE COMPANY AND SCA GROUP HOLDING BV IN RESPECT OF THE ACQUISITION OF (1) THE ENTIRE ISSUED SHARE CAPITAL IN SCA TISSUE HONG KONG LIMITED, (2) THE ENTIRE ISSUED SHARE CAPITAL OF SCA HEALTHCARE MANAGEMENT PTE. LTD., (3) THE ENTIRE EQUITY INTEREST OF (AS SPECIFIED)(IN ENGLISH, FOR IDENTIFICATION PURPOSE ONLY, EVERBEAUTY INDUSTRY (FUJIAN) CO., LTD.), AND (4) THE PRC ASSETS (AS	Management	For	

DEFINED IN THE COMPANY'S  
CIRCULAR DATED 22 AUGUST 2014)  
FOR AN INITIAL PURCHASE PRICE OF  
HKD 1,144,000,000 AND THE  
TRANSACTIONS CONTEMPLATED  
THEREUNDER, ARE HEREBY  
APPROVED, CONFIRMED AND  
RATIFIED; AND (B) THE DIRECTORS OF  
THE COMPANY ARE HEREBY  
AUTHORISED TO DO ALL SUCH ACTS  
AND THINGS AND EXECUTE ALL SUCH  
DOCUMENTS WHICH THEY CONSIDERS  
CONTD

CONTD NECESSARY, DESIRABLE OR  
EXPEDIENT FOR THE PURPOSE OF, OR  
IN CONNECTION-WITH, THE  
IMPLEMENTATION OF AND GIVING  
EFFECT TO THE SALE AND  
PURCHASE-AGREEMENT AND THE  
TRANSACTIONS CONTEMPLATED  
THEREUNDER

CONT

Non-Voting

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27		STATE STREET BANK	480,498 0		23-Aug-2014	14-Sep-2014

BHG SA - BRAZIL HOSPITALITY GROUP, SAO PAULO

Security	P1668A101	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	19-Sep-2014
ISIN	BRBHGRACNOR9	Agenda	705517475 - Management
Record Date		Holding Recon Date	17-Sep-2014
City / Country	RIO DE JANEIRO / Brazil	Vote Deadline Date	11-Sep-2014
SEDOL(s)	B23CS02	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF-ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND		Non-Voting	

EXECUTE YOUR  
VOTING-INSTRUCTIONS IN THIS  
MARKET. ABSENCE OF A POA, MAY  
CAUSE YOUR INSTRUCTIONS TO-BE  
REJECTED. IF YOU HAVE ANY  
QUESTIONS, PLEASE CONTACT YOUR  
CLIENT SERVICE- REPRESENTATIVE

PLEASE NOTE THAT VOTES 'IN FAVOR'  
AND 'AGAINST' IN THE SAME AGENDA  
ITEM ARE- NOT ALLOWED. ONLY  
VOTES IN FAVOR AND/OR ABSTAIN OR  
AGAINST AND/ OR ABSTAIN-ARE  
ALLOWED. THANK YOU

CMMT

Non-Voting

TO APPROVE THE DELISTING OF THE  
COMPANY AS A PUBLICLY TRADED  
COMPANY AND, AS A CONSEQUENCE,  
ITS DELISTING FROM THE SPECIAL  
TRADING SEGMENT OF THE NOVO  
MERCADO OF THE BM AND FBOVESPA  
S.A., BOLSA DE VALORES,  
MERCADORIAS E FUTUROS,  
CONDITIONED ON THE PUBLIC  
TENDER OFFER THAT IS THE SUBJECT  
OF THE NOTICE OF MATERIAL FACT  
THAT WAS RELEASED ON AUGUST 8,  
2014, BEING CARRIED OUT BY RAZUYA  
EMPREENDIMENTOS E PARTICIPACOES  
S.A., IN ACCORDANCE WITH ITS TERMS  
AND CONDITIONS

1

Management  
No  
Action

26 AUG 2014: PLEASE NOTE THAT THIS  
IS A REVISION DUE TO RECEIPT OF  
MEETING LOC-ATION. IF YOU HAVE  
ALREADY SENT IN YOUR VOTES,  
PLEASE DO NOT VOTE AGAIN  
UNLESS-YOU DECIDE TO AMEND  
YOUR ORIGINAL INSTRUCTIONS.  
THANK YOU.

CMMT

Non-Voting

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date Confirmed
AL27	AL27	STATE STREET BANK		59,300 0		26-Aug-2014

DON QUIJOTE HOLDINGS CO.,LTD.

Security	J1235L108	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	26-Sep-2014

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ISIN	JP3639650005	Agenda	705553471 - Management
Record Date	30-Jun-2014	Holding Recon Date	30-Jun-2014
City / Country	TOKYO / Japan	Vote Deadline Date	10-Sep-2014
SEDOL(s)	5767753 - 6269861 - B021NZ6	Quick Code	75320

Item	Proposal	Proposed by	Vote	For/Against Management
	Please reference meeting materials.		Non-Voting	
1	Approve Appropriation of Surplus	Management	For	For
2.1	Appoint a Director	Management	For	For
2.2	Appoint a Director	Management	For	For
2.3	Appoint a Director	Management	For	For
2.4	Appoint a Director	Management	For	For
2.5	Appoint a Director	Management	For	For
2.6	Appoint a Director	Management	For	For
3	Appoint a Corporate Auditor	Management	For	For
4	Approve Payment of Accrued Benefits associated with Abolition of Retirement Benefit System for Current Directors	Management	For	For
5	Approve Payment of Accrued Benefits associated with Abolition of Retirement Benefit System for Current Corporate Auditors	Management	For	For
6	Approve Issuance of Share Acquisition Rights as Stock-Linked Compensation Type Stock Options for Directors	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK		6,900	0	10-Sep-2014	10-Sep-2014

GCL-POLY ENERGY HOLDINGS LTD

Security	G3774X108	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	15-Oct-2014

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ISIN	KYG3774X1088	Agenda	705577926 - Management
Record Date	14-Oct-2014	Holding Recon Date	14-Oct-2014
City / Country	HONG KONG / Cayman Islands	Vote Deadline Date	01-Oct-2014
SEDOL(s)	B28XTR4 - B2971P7 - B51NPM9 - BP3RTY9	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR-ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING		Non-Voting	
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2014/0923/LTN20140923433.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2014/0923/LTN20140923433.pdf</a> -AND- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2014/0923/LTN20140923426.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2014/0923/LTN20140923426.pdf</a>		Non-Voting	
1	TO APPROVE THE SHARE OPTION SCHEME OF GCL NEW ENERGY HOLDINGS LIMITED AND AUTHORIZE ITS BOARD TO GRANT OPTIONS	Management	For	For
2	TO RE-ELECT MR. YEUNG MAN CHUNG, CHARLES AS AN EXECUTIVE DIRECTOR OF THE COMPANY	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date Confirmed
AL27	AL27		STATE STREET BANK	1,501,000 0		24-Sep-2014

GRUPO FINANCIERO BANORTE SAB DE CV

Security	P49501201	Meeting Type	Ordinary General Meeting
Ticker Symbol		Meeting Date	22-Oct-2014
ISIN	MXP370711014	Agenda	705590253 - Management
Record Date	09-Oct-2014	Holding Recon Date	09-Oct-2014

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City /	Country	NUEVO LEON / Mexico	Vote Deadline Date	14-Oct-2014
SEDOL(s)		2421041 - B01DHK6 - B2Q3MD3 - B57YQ34 - B59G4P6 - BHZLH61	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.I	IT IS PROPOSED TO APPOINT CARLOS HANK GONZALEZ AS PROPRIETARY PATRIMONIAL MEMBER OF THE BOARD SUBSTITUTING GRACIELA GONZALEZ MORENO	Management	For	For
1.II	IT IS PROPOSED TO APPOINT GRACIELA GONZALEZ MORENO AS ALTERNATE MEMBER OF THE BOARD SUBSTITUTING ALEJANDRO HANK GONZALEZ, WHO IS RELIEVED FROM ALL RESPONSIBILITY FOR THE LEGAL PERFORMANCE OF HIS POSITION	Management	For	For
1.III	BASED ON THE ARTICLE FORTY OF THE CORPORATE BY-LAWS, IT IS PROPOSED THAT THE FORMERLY MENTIONED MEMBERS OF DE BOARD ARE EXEMPT FROM THE RESPONSIBILITY OF PROVIDING A BOND OR MONETARY GUARANTEE FOR BACKING THEIR PERFORMANCE WHEN CARRYING OUT THEIR DUTIES	Management	For	For
2	DISCUSSION, AND IF THE CASE, APPROVAL OF A PROPOSED CASH DIVIDEND PAYMENT EQUIVALENT TO PS 0.2435 PER SHARE. IT IS PROPOSED TO DISTRIBUTE A CASH DIVIDEND OF PS. 0.2435 PER SHARE, DERIVED FROM THE RETAINED EARNINGS OF PRIOR YEARS. THIS DIVIDEND CORRESPONDS TO THE FIRST OF FOUR PAYMENTS THAT WILL BE MADE FOR A TOTAL AMOUNT OF PS. 0.9740 PER SHARE. IT IS PROPOSED THAT THE FIRST DISBURSEMENT BE PAID ON OCTOBER 31, 2014. THE TOTAL AMOUNT OF THE DIVIDEND TO BE PAID IN FOUR DISBURSEMENTS REPRESENTS 20% OF THE RECURRING PROFITS GENERATED IN 2013	Management	For	For

3	DISCUSSION, AND IF THE CASE, APPROVAL OF THE ESTABLISHMENT AND OPERATION OF A SHARE PURCHASE PLAN TO PAY THE INCENTIVE PLANS, ACCORDING TO THE AUTHORIZATION OF THE BOARD OF DIRECTORS. IT IS PROPOSED TO ESTABLISH AN INCENTIVE PLAN FOR THE EMPLOYEES OF THE COMPANY AND ITS SUBSIDIARIES TO BE PAID THROUGH REPRESENTATIVE SHARES OF THE COMPANY'S EQUITY ACCORDING TO ARTICLES 57, 366 AND 367 OF THE SECURITIES MARKET LAW. THE OBJECTIVE OF THIS PLAN IS TO CONTINUE	Management	For
	ALIGNING THE INCENTIVES BETWEEN THE MANAGEMENT OF THE FINANCIAL GROUP AND ITS SHAREHOLDERS, GRANTING STOCK PLANS TO EXECUTIVES AS PART OF THEIR TOTAL COMPENSATION IN ORDER TO PROMOTE THE ACHIEVEMENT OF THE INSTITUTIONS' STRATEGIC GOALS. TO OPERATE THE PLAN, IT IS REQUIRED TO ALLOCATE FUNDS FOR THE ACQUISITION OF REPRESENTATIVE SHARES OF THE COMPANY'S EQUITY. THIS MAY BE CONTD		
CONT	CONTD OPERATED THROUGH THE SHARE REPURCHASE FUND. IT IS PROPOSED TO DELEGATE-TO THE HUMAN RESOURCES COMMITTEE, ACTING THROUGH THE ASSIGNATIONS' COMMITTEE,-THE FACULTY TO ESTABLISH THE TERMS AND CONDITIONS OF THE PLAN. FURTHERMORE,-IT IS REQUESTED TO RATIFY CERTAIN RESOLUTIONS AGREED FORMERLY BY THE BOARD OF-DIRECTORS RELATED TO THE IMPLEMENTATION OF THE PLAN	Non-Voting	
4	EXTERNAL AUDITOR'S REPORT ON THE COMPANY'S TAX SITUATION	Management	For
5	DESIGNATION OF DELEGATE(S) TO FORMALIZE AND EXECUTE THE RESOLUTIONS PASSED BY THE	Management	For

## ASSEMBLY

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK		105,888 0		04-Oct-2014	17-Oct-2014

## SEMICONDUCTOR MANUFACTURING INTERNATIONAL CORP, GE

Security	G8020E101	Meeting Type	Extraordinary General Meeting
Ticker Symbol		Meeting Date	05-Nov-2014
ISIN	KYG8020E1017	Agenda	705618811 - Management
Record Date	31-Oct-2014	Holding Recon Date	31-Oct-2014
City / Country	SHANGHAI / Cayman Islands	Vote Deadline Date	28-Oct-2014
SEDOL(s)	6743473 - B02QTC0 - B1BJS42 - BP3RX47	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR-ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING		Non-Voting	
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1017/LTN20141017316.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1017/LTN20141017316.pdf</a> -AND- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1017/LTN20141017303.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1017/LTN20141017303.pdf</a>		Non-Voting	
1.a	TO APPROVE, CONFIRM AND RATIFY THE DATANG PRE-EMPTIVE SHARE SUBSCRIPTION AGREEMENT IN RELATION TO THE ISSUE OF THE DATANG PRE- EMPTIVE SHARES AND THE TRANSACTIONS CONTEMPLATED THEREBY	Management	For	For
1.b	TO APPROVE THE ISSUE OF THE	Management	For	For



	DATANG PRE-EMPTIVE SHARES TO DATANG PURSUANT TO THE TERMS AND CONDITIONS OF THE DATANG PRE-EMPTIVE SHARE SUBSCRIPTION AGREEMENT		
1.c	TO APPROVE, CONFIRM AND RATIFY THE DATANG PRE-EMPTIVE BOND SUBSCRIPTION AGREEMENT IN RELATION TO THE ISSUE OF THE DATANG PRE- EMPTIVE BONDS AND THE TRANSACTIONS CONTEMPLATED THEREBY	Management	For
1.d	TO APPROVE, SUBJECT TO THE COMPLETION OF THE DATANG PRE- EMPTIVE BOND SUBSCRIPTION AGREEMENT, THE CREATION AND ISSUE OF THE DATANG PRE-EMPTIVE BONDS TO DATANG PURSUANT TO THE TERMS AND CONDITIONS OF THE DATANG PRE-EMPTIVE BOND SUBSCRIPTION AGREEMENT	Management	For
1.e	TO APPROVE THE DATANG SUPPLEMENTAL AGREEMENT IN RELATION TO AMENDMENTS OF THE PRE-EMPTIVE RIGHTS PROVISION IN THE DATANG SHARE PURCHASE AGREEMENT	Management	For
1.f	TO AUTHORISE AND GRANT A SPECIFIC MANDATE TO THE DIRECTORS OF THE COMPANY TO ALLOT, ISSUE AND DEAL WITH THE DATANG PRE-EMPTIVE SHARES AND THE DATANG CONVERSION SHARES UPON EXERCISE OF THE CONVERSION RIGHTS ATTACHING TO THE DATANG PRE-EMPTIVE BONDS ON AND SUBJECT TO THE TERMS AND CONDITIONS OF THE DATANG PREEMPTIVE SHARE SUBSCRIPTION AGREEMENT, THE DATANG PRE-EMPTIVE BOND SUBSCRIPTION AGREEMENT AND THE DATANG PRE-EMPTIVE BONDS	Management	For
1.g	TO AUTHORISE ANY DIRECTOR(S) OF THE COMPANY TO ENTER INTO ANY AGREEMENT, DEED OR INSTRUMENT AND/OR TO EXECUTE AND DELIVER ALL SUCH DOCUMENTS AND/OR DO	Management	For

ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER TO BE NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH (I) THE IMPLEMENTATIONS AND COMPLETION OF THE DATANG PRE-EMPTIVE SHARE SUBSCRIPTION AGREEMENT, THE DATANG PRE-EMPTIVE BOND SUBSCRIPTION AGREEMENT, THE DATANG SUPPLEMENTAL AGREEMENT AND TRANSACTIONS CONTEMPLATED THEREUNDER AND/OR (II) ANY AMENDMENT, VARIATION OR MODIFICATION OF THE DATANG PREEMPTIVE SHARE SUBSCRIPTION AGREEMENT, THE DATANG PRE-EMPTIVE BOND SUBSCRIPTION AGREEMENT, THE DATANG SUPPLEMENTAL AGREEMENT AND THE TRANSACTIONS CONTEMPLATED THEREUNDER

2.a	TO APPROVE, CONFIRM AND RATIFY THE COUNTRY HILL PRE-EMPTIVE SUBSCRIPTION AGREEMENT IN RELATION TO THE ISSUE OF THE COUNTRY HILL PRE- EMPTIVE SHARES AND THE TRANSACTIONS CONTEMPLATED THEREBY	Management	For
2.b	TO APPROVE THE ISSUE OF THE COUNTRY HILL PRE-EMPTIVE SHARES TO COUNTRY HILL PURSUANT TO THE TERMS AND CONDITIONS OF THE COUNTRY HILL PRE- EMPTIVE SUBSCRIPTION AGREEMENT	Management	For
2.c	TO AUTHORISE AND GRANT A SPECIFIC MANDATE TO THE DIRECTORS OF THE COMPANY TO ALLOT, ISSUE AND DEAL WITH COUNTRY HILL PRE-EMPTIVE SHARES, ON AND SUBJECT TO THE TERMS AND CONDITIONS OF THE COUNTRY HILL PREEMPTIVE SUBSCRIPTION AGREEMENT	Management	For
2.d	TO AUTHORISE ANY DIRECTOR(S) OF THE COMPANY TO ENTER INTO ANY AGREEMENT, DEED OR INSTRUMENT AND/OR TO EXECUTE AND DELIVER	Management	For

ALL SUCH DOCUMENTS AND/OR DO  
ALL SUCH ACTS ON BEHALF OF THE  
COMPANY AS HE/SHE MAY CONSIDER  
TO BE NECESSARY, DESIRABLE OR  
EXPEDIENT FOR THE PURPOSE OF, OR  
IN CONNECTION WITH (I)

THE IMPLEMENTATIONS AND  
COMPLETION OF THE COUNTRY HILL  
PRE-EMPTIVE SUBSCRIPTION  
AGREEMENT AND TRANSACTIONS  
CONTEMPLATED THEREUNDER  
AND/OR (II) ANY AMENDMENT,  
VARIATION OR MODIFICATION OF THE  
COUNTRY HILL PREEMPTIVE  
SUBSCRIPTION AGREEMENT AND THE  
TRANSACTIONS CONTEMPLATED  
THEREUNDER

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date Confirmed
AL27	AL27		STATE STREET BANK	3,641,000 0	18-Oct-2014	18-Oct-2014
WELLPOINT, INC.						
Security	94973V107			Meeting Type	Special	
Ticker Symbol	WLP			Meeting Date	05-Nov-2014	
ISIN	US94973V1070			Agenda	934077834 - Management	
Record Date	12-Sep-2014			Holding Recon Date	12-Sep-2014	
City /	Country	/	United States	Vote Deadline Date	04-Nov-2014	
SEDOL(s)				Quick Code		

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO AMEND THE ARTICLES OF INCORPORATION TO CHANGE THE NAME OF THE COMPANY FROM WELLPOINT, INC. TO ANTHEM, INC.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date Confirmed
997AL27	GLV	997AL27	STATE	4,010 0	24-Sep-2014	24-Sep-2014

STREET  
BANK  
&  
TRUST  
CO

LAM RESEARCH CORPORATION

Security	512807108	Meeting Type	Annual
Ticker Symbol	LRCX	Meeting Date	06-Nov-2014
ISIN	US5128071082	Agenda	934078191 - Management
Record Date	08-Sep-2014	Holding Recon Date	08-Sep-2014
City / Country	/ United States	Vote Deadline Date	05-Nov-2014
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 MARTIN B. ANSTICE		For	For
	2 ERIC K. BRANDT		For	For
	3 MICHAEL R. CANNON		For	For
	4 YOUSSEF A. EL-MANSY		For	For
	5 CHRISTINE A. HECKART		For	For
	6 GRANT M. INMAN		For	For
	7 CATHERINE P. LEGO		For	For
	8 STEPHEN G. NEWBERRY		For	For
	9 KRISHNA C. SARASWAT		For	For
	10 WILLIAM R. SPIVEY		For	For
	11 ABHIJIT Y. TALWALKAR		For	For
2.	ADVISORY VOTE ON THE COMPENSATION OF THE NAMED EXECUTIVE OFFICERS OF LAM RESEARCH ("SAY ON PAY").	Management	For	For
3.	RATIFICATION OF THE APPOINTMENT OF THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR 2015.	Management	For	For

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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27	STATE STREET BANK & TRUST CO	16,000	0	24-Sep-2014	24-Sep-2014

CITIC SECURITIES CO LTD

Security	Y1639N117	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	22-Dec-2014
ISIN	CNE1000016V2	Agenda	705691524 - Management
Record Date	21-Nov-2014	Holding Recon Date	21-Nov-2014
City / Country	BEIJING / China	Vote Deadline Date	11-Dec-2014
SEDOL(s)	B6SPB49 - B76VCF4 - B7WHGP4 - BP3RTD8	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1105/LTN20141105623.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1105/LTN20141105623.pdf</a> -AND- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1105/LTN20141105585.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1105/LTN20141105585.pdf</a>		Non-Voting	
1	THAT: THE COMPANY BE AND IS HEREBY APPROVED, RATIFIED AND CONFIRMED TO ABSORB AND MERGE WITH CITIC SECURITIES (ZHEJIANG) IN ACCORDANCE WITH THE PROPOSAL SET OUT IN THE SECTION HEADED "2. PROPOSED ABSORPTION AND MERGER OF THE WHOLLY-OWNED SUBSIDIARY CITIC SECURITIES (ZHEJIANG)" IN THE LETTER FROM THE BOARD CONTAINED IN THE CIRCULAR, AND TO AUTHORIZE ANY ONE OF THE DIRECTORS OR THE MANAGEMENT OF	Management	For	For

THE COMPANY TO IMPLEMENT ALL MATTERS RELATING TO THIS ABSORPTION AND MERGER, INCLUDING WITHOUT LIMITATION TO, THE AUDIT, ASSESSMENTS (IF NECESSARY), APPROVALS, EXECUTION OF AN ABSORPTION AND MERGER AGREEMENT, TRANSFER OF ASSETS AND THE OWNERSHIP CHANGE, AND REGISTRATION FORMALITIES WITH COMPETENT INDUSTRY AND COMMERCE AUTHORITIES, AS WELL AS TO TAKE ALL OTHER NECESSARY ACTIONS

THAT: (A) SUBJECT TO THE REQUIRED APPROVAL OR ENDORSEMENT FROM OR REGISTRATION WITH THE RELEVANT REGULATORY AUTHORITIES IN THE PRC, THE PROPOSED CHANGE OF BUSINESS SCOPE OF THE COMPANY AND THE PROPOSED AMENDMENTS TO THE ARTICLES OF ASSOCIATION (DETAILS OF WHICH ARE SET OUT IN THE SECTION HEADED "3. PROPOSED CHANGE OF BUSINESS SCOPE OF THE COMPANY AND AMENDMENTS TO THE ARTICLES OF ASSOCIATION" IN THE LETTER FROM THE BOARD CONTAINED IN THE CIRCULAR) BE AND ARE HEREBY APPROVED AND CONFIRMED; (B) ANY ONE OF THE DIRECTORS OR THE MANAGEMENT OF THE

COMPANY BE AND IS HEREBY AUTHORIZED TO IMPLEMENT AND TAKE ALL STEPS AND TO DO ALL ACTS AND THINGS AS MAY BE NECESSARY OR DESIRABLE TO GIVE EFFECT TO THE PROPOSED CHANGE OF BUSINESS SCOPE OF THE COMPANY AND THE PROPOSED AMENDMENTS TO THE ARTICLES OF ASSOCIATION, INCLUDING BUT NOT CONTD

CONTD LIMITED TO OBTAINING ALL NECESSARY APPROVALS FROM, AND UNDERTAKING-RELEVANT REGISTRATION AND FILING

Management

For

Non-Voting

PROCEDURES WITH THE RELEVANT REGULATORY-AUTHORITIES IN THE PRC, AND SIGNING AND EXECUTING SUCH FURTHER DOCUMENTS, OR-DOING ANY OTHER MATTERS INCIDENTAL THERETO AND/OR AS CONTEMPLATED THEREUNDER,-AS SUCH DIRECTOR OR MANAGEMENT OF THE COMPANY MAY IN HIS/HER ABSOLUTE-DISCRETION DEEM FIT; AND (C) ANY ONE OF THE DIRECTORS OR THE MANAGEMENT OF-THE COMPANY BE AND IS HEREBY AUTHORIZED TO MAKE SUCH OTHER MODIFICATIONS TO-THE PROPOSED AMENDMENTS TO THE ARTICLES OF ASSOCIATION AS MAY BE REQUIRED BY-THE RELEVANT REGULATORY AUTHORITIES IN THE PRC

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27		STATE STREET BANK	319,500 0		06-Nov-2014	17-Dec-2014
HYPERMARCAS SA, SAO PAULO							
Security	P5230A101		Meeting Type	ExtraOrdinary General Meeting			
Ticker Symbol			Meeting Date	22-Dec-2014			
ISIN	BRHYPEACNOR0		Agenda	705741634 - Management			
Record Date			Holding Recon Date	18-Dec-2014			
City / Country	SAO PAULO / Brazil		Vote Deadline Date	12-Dec-2014			
SEDOL(s)	B2QY968		Quick Code				

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF-ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING-INSTRUCTIONS IN THIS		Non-Voting	

MARKET. ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE- REPRESENTATIVE

CMMT	PLEASE NOTE THAT VOTES 'IN FAVOR' AND 'AGAINST' IN THE SAME AGENDA ITEM ARE- NOT ALLOWED. ONLY VOTES IN FAVOR AND/OR ABSTAIN OR AGAINST AND/ OR ABSTAIN-ARE ALLOWED. THANK YOU	Non-Voting
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I	TO RATIFY THE PROTOCOL AND JUSTIFICATION OF SPIN OFF FROM HYPERMARCAS S.A. WITH THE MERGER OF THE SPUN OFF PORTION INTO COSMED INDUSTRIA DE COSMETICOS E MEDICAMENTOS S.A., WHICH WAS PREPARED BY THE EXECUTIVE COMMITTEES OF THE COMPANY AND OF COSMED AND WHICH ESTABLISHES, AMONG OTHER THINGS, THE TERMS AND CONDITIONS OF THE SPIN OFF FROM THE COMPANY, FROM HERE ONWARDS REFERRED TO AS THE SPIN OFF, FOLLOWED BY THE MERGER OF THE SPUN OFF PORTION OF ITS EQUITY, WHICH CONSISTS OF CERTAIN ASSETS AND LIABILITIES RELATED TO THE MANUFACTURE AND SALE OF CERTAIN MEDICATIONS, FROM HERE ONWARDS REFERRED TO AS THE SPUN OFF PORTION, INTO ITS WHOLLY OWNED SUBSIDIARY COSMED INDUSTRIA DE COMETICOS E MEDICAMENTOS S.A., A SHARE CORPORATION, WITH ITS HEAD OFFICE IN THE CITY OF BARUERI, STATE OF SAO PAULO, AT AVENIDA CECI 282, MODULE 1, CENTRO CONTD	Management No Action
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CONT	CONTD EMPRESARIAL TAMBORE, TAMBORE, ZIP CODE 06460.120, WITH CORPORATE- TAXPAYER ID NUMBER, CNPJ.MF, 61.082.426.0002.07, FROM HERE ONWARDS REFERRED-TO AS COSMED, FROM HERE ONWARDS REFERRED TO AS THE MERGER OF THE SPUN OFF-PORION, FROM HERE	Non-Voting
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ONWARDS REFERRED TO AS THE SPIN  
OFF PROTOCOL

TO RATIFY THE APPOINTMENT AND  
HIRING OF CCA CONTINUITY  
AUDITORES INDEPENDENTES S.S., A  
SIMPLE PARTNERSHIP, WITH ITS HEAD  
OFFICE IN THE CITY OF SAO PAULO,  
STATE OF SAO PAULO, AT ALAMEDA  
SANTOS 2313, 2ND FLOOR, JARDIM  
PAULISTA, WHICH IS DULY  
REGISTERED WITH THE SAO PAULO  
REGIONAL ACCOUNTING COMMITTEE,  
CRC.SP, UNDER NUMBER 2SP025430.O.2,  
WITH CORPORATE TAXPAYER ID  
NUMBER, CNPJ.MF, 10.686.276.0001.29,  
FROM HERE ONWARDS REFERRED TO  
AS CCA, AS THE SPECIALIZED  
COMPANY RESPONSIBLE FOR THE  
PREPARATION OF THE VALUATION  
REPORT, IN REGARD TO THE  
VALUATION AT BOOK VALUE OF THE  
SPUN OFF PORTION, FOR THE  
PURPOSES OF THE SPIN OFF FROM THE  
COMPANY, OF THE MERGER OF THE  
SPUN OFF PORTION AND OF THE  
SHARE MERGER, AS DEFINED BELOW,  
ON THE BASIS DATE OF SEPTEMBER 30,  
2014, FROM HERE ONWARDS  
REFERRED TO AS THE VALUATION  
REPORT

Management ~~No~~  
Action

II

TO APPROVE THE VALUATION  
REPORT, IN REGARD TO THE SPIN OFF

Management ~~No~~  
Action

III

TO CONSIDER AND APPROVE THE  
PROPOSAL OF THE SPIN OFF FROM THE  
COMPANY, IN ACCORDANCE WITH  
THE SPIN OFF PROTOCOL AND IN  
ACCORDANCE WITH THE TERMS OF  
ARTICLE 229 OF THE BRAZILIAN  
CORPORATE LAW, WITH THE  
CONSEQUENT REDUCTION OF THE  
SHARE CAPITAL OF THE COMPANY, IN  
THE AMOUNT OF BRL 48,631,228.79,  
THROUGH THE CANCELLATION OF  
4,150,727 COMMON, NOMINATIVE,  
BOOK ENTRY SHARES, THAT HAVE NO  
PAR VALUE, ISSUED BY THE  
COMPANY, PROPORTIONALLY TO THE  
EQUITY INTERESTS HELD BY THE

Management ~~No~~  
Action

IV

SHAREHOLDERS OF THE COMPANY

V	<p>TO CONSIDER AND APPROVE THE PROPOSAL FOR THE MERGER OF THE SPUN OFF PORTION INTO COSMED, IN ACCORDANCE WITH THE SPIN OFF PROTOCOL AND IN ACCORDANCE WITH THE TERMS OF ARTICLE 227 OF THE BRAZILIAN CORPORATE LAW, WITH THE CONSEQUENT INCREASE OF THE SHARE CAPITAL OF COSMED, IN THE AMOUNT OF BRL 48,631,228.79, THROUGH THE ISSUANCE OF</p> <p>144,448,632 COMMON, NOMINATIVE SHARES THAT HAVE NO PAR VALUE BY COSMED, TO BE SUBSCRIBED FOR AND PAID IN BY THE SHAREHOLDERS OF THE COMPANY, AS A RESULT OF THE SPIN OFF, IN PROPORTION TO THEIR CURRENT EQUITY INTEREST IN THE SHARE CAPITAL OF THE COMPANY</p>	<p>Management No Action</p>
VI	<p>TO RATIFY THE PROTOCOL AND JUSTIFICATION OF THE MERGER OF SHARES OF COSMED INDUSTRIA DE COSMETICOS E MEDICAMENTOS S.A. INTO HYPERMARCAS S.A., THAT WAS PREPARED BY THE EXECUTIVE COMMITTEES OF THE COMPANY AND OF COSMED IN ACCORDANCE WITH THE TERMS OF ARTICLE 252 OF THE BRAZILIAN CORPORATE LAW, WHICH ESTABLISHES THE TERMS AND CONDITIONS OF THE SHARE MERGER, AS DEFINED BELOW, AND OF THE ACTS AND MEASURES CONTEMPLATED IN IT, FROM HERE ONWARDS REFERRED TO AS THE SHARE MERGER PROTOCOL</p>	<p>Management No Action</p>
VII	<p>TO RATIFY THE APPOINTMENT AND HIRING OF CCA AS THE SPECIALIZED COMPANY THAT IS RESPONSIBLE FOR THE PREPARATION OF THE VALUATION REPORT, IN REGARD TO THE BOOK VALUATION OF THE SHARES OF COSMED FOR THE PURPOSES OF THE SHARE MERGER, AS DEFINED BELOW, ON THE BASIS DATE OF SEPTEMBER 30, 2014</p>	<p>Management No Action</p>

VIII TO APPROVE THE VALUATION REPORT, IN REGARD TO THE SHARE MERGER, AS DEFINED BELOW Management No Action

IX TO CONSIDER AND APPROVE THE PROPOSAL FOR THE MERGER, INTO THE COMPANY, OF THE SHARES ISSUED BY COSMED, AS A RESULT OF THE SHARE CAPITAL INCREASE THAT OCCURRED DUE TO THE MERGER OF THE SPUN OFF PORTION INTO COSMED, FROM HERE ONWARDS REFERRED TO AS THE SHARE MERGER, IN ACCORDANCE WITH THE TERMS OF THE SHARE MERGER PROTOCOL, WITH THE CONSEQUENT INCREASE OF THE SHARE CAPITAL OF THE COMPANY IN THE AMOUNT OF BRL 48,631,228.79, THROUGH THE ISSUANCE OF 4,150,727 NEW, COMMON, NOMINATIVE, BOOK ENTRY SHARES THAT HAVE NO PAR VALUE, TO BE SUBSCRIBED FOR BY THE SHAREHOLDERS OF THE COMPANY IN PROPORTION TO THE EQUITY INTEREST THAT THEY CURRENTLY HAVE IN THE SHARE CAPITAL OF THE COMPANY Management No Action

X TO AUTHORIZE THE MANAGERS OF THE COMPANY TO DO ALL THE ACTS THAT ARE NECESSARY TO CARRY OUT THE RESOLUTIONS THAT ARE PROPOSED AND APPROVED BY THE SHAREHOLDERS OF THE COMPANY Management No Action

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK		62,500 0		06-Dec-2006	06-Dec-2014

MAN WAH HOLDINGS LTD

Security	G5800U107	Meeting Type	Special General Meeting
Ticker Symbol		Meeting Date	29-Dec-2014
ISIN	BMG5800U1071	Agenda	705741951 - Management
Record Date	23-Dec-2014	Holding Recon Date	23-Dec-2014
City / Country	HONG KONG / Bermuda		22-Dec-2014

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Vote Deadline  
Date

SEDOL(s) B58VHF3 - B58YWF7 - B5WN7S8 - Quick Code  
BS7K5D6

Item	Proposal	Proposed by	Vote	For/Against Management
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CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/sehk/2014/1208/LTN20141208853.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2014/1208/LTN20141208853.pdf</a> -AND- <a href="http://www.hkexnews.hk/listedco/listconews/sehk/2014/1208/LTN20141208847.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2014/1208/LTN20141208847.pdf</a>		Non-Voting	
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CMMT	PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR-ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING		Non-Voting	
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1	TO APPROVE THE INCREASE IN AUTHORISED SHARE CAPITAL OF THE COMPANY FROM HKD 500,000,000 DIVIDED INTO 1,250,000,000 SHARES TO HKD 2,000,000,000 DIVIDED INTO 5,000,000,000 SHARES	Management	For	For
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2	TO APPROVE THE BONUS ISSUE OF SHARES ON THE BASIS OF ONE (1) BONUS SHARE FOR EVERY ONE (1) EXISTING SHARE	Management	For	For
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CMMT	12-DEC-2014: PLEASE NOTE THAT THIS IS A REVISION DUE TO CHANGE IN RECORD DATE-FROM 26-DEC-2014 TO 23-DEC-14. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE-DO NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK-YOU.		Non-Voting	
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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK		408,065	0	09-Dec-2014	23-Dec-2014

HAITONG SECURITIES CO LTD

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Security	Y2988F101	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	30-Dec-2014
ISIN	CNE1000019K9	Agenda	705698617 - Management
Record Date	28-Nov-2014	Holding Recon Date	28-Nov-2014
City / Country	SHANGHA I / China	Vote Deadline Date	22-Dec-2014
SEDOL(s)	B71SXC4 - B8GNHM9 - BP3RVF4	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1111/LTN20141111669.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1111/LTN20141111669.pdf</a> -AND- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1111/LTN20141111683.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1111/LTN20141111683.pdf</a>	Non-Voting		
O.1.1	ELECTION OF MR. WANG KAIGUO AS AN EXECUTIVE DIRECTOR OF THE COMPANY	Management	For	For
O.1.2	ELECTION OF MR. QU QIUPING AS AN EXECUTIVE DIRECTOR OF THE COMPANY	Management	For	For
O.1.3	ELECTION OF MR. ZHUANG GUOWEI AS A NON-EXECUTIVE DIRECTOR OF THE COMPANY	Management	For	For
O.1.4	ELECTION OF MR. CHEN BIN AS A NON-EXECUTIVE DIRECTOR OF THE COMPANY	Management	For	For
O.1.5	ELECTION OF MR. XU CHAO AS A NON-EXECUTIVE DIRECTOR OF THE COMPANY	Management	For	For
O.1.6	ELECTION OF MR. WANG HONGXIANG AS A NON-EXECUTIVE DIRECTOR OF THE COMPANY	Management	For	For
O.1.7	ELECTION OF MS. ZHANG XINMEI AS A NON- EXECUTIVE DIRECTOR OF THE COMPANY	Management	For	For

O.1.8	ELECTION MR. HE JIANYONG AS A NON- EXECUTIVE DIRECTOR OF THE COMPANY	Management	For
O.1.9	ELECTION OF MR. LIU CHEE MING AS AN INDEPENDENT NONEXECUTIVE DIRECTOR OF THE COMPANY	Management	For
O.1.10	ELECTION OF MR. XIAO SUINING AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR OF THE COMPANY	Management	For
O.1.11	ELECTION OF MR. LI GUANGRONG AS AN INDEPENDENT NONEXECUTIVE DIRECTOR OF THE COMPANY	Management	For
O.1.12	ELECTION OF MR. LV CHANGJIANG AS AN INDEPENDENT NONEXECUTIVE DIRECTOR OF THE COMPANY	Management	For
O.1.13	ELECTION OF MR. FENG LUN AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR OF THE COMPANY	Management	For
O.2.1	ELECTION OF MR. LI LIN AS A SHAREHOLDER REPRESENTATIVE SUPERVISOR OF THE COMPANY	Management	For
O.2.2	ELECTION OF MR. DONG XIAOCHUN AS A SHAREHOLDER REPRESENTATIVE SUPERVISOR OF THE COMPANY	Management	For
O.2.3	ELECTION OF MR. CHEN HUIFENG AS A SHAREHOLDER REPRESENTATIVE SUPERVISOR OF THE COMPANY	Management	For
O.2.4	ELECTION OF MR. CHENG FENG AS A SHAREHOLDER REPRESENTATIVE SUPERVISOR OF THE COMPANY	Management	For
O.2.5	ELECTION OF MR. XU QI AS A SHAREHOLDER REPRESENTATIVE SUPERVISOR OF THE COMPANY	Management	For
O.2.6	ELECTION OF MR. HU JINGWU AS A SHAREHOLDER REPRESENTATIVE SUPERVISOR OF THE COMPANY	Management	For
O.2.7	ELECTION OF MR. FENG HUANG AS A SHAREHOLDER REPRESENTATIVE SUPERVISOR OF THE COMPANY	Management	For
S.1	TO CONSIDER AND APPROVE THE PROPOSAL ON AMENDMENTS TO THE ARTICLES OF ASSOCIATIONS AND RULES AND PROCEDURES FOR	Management	For

## GENERAL MEETINGS

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK		224,000 0		12-Nov-2014	14-Dec-2014

## BRASIL PHARMA SA

Security	P1815Q108	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	07-Jan-2015
ISIN	BRBPHAACNOR6	Agenda	705747434 - Management
Record Date		Holding Recon Date	05-Jan-2015
City / Country	SAO PAULO / Brazil	Vote Deadline Date	29-Dec-2014
SEDOL(s)	B3Q1YB6 - B99P0H5	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF-ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING-INSTRUCTIONS IN THIS MARKET. ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE- REPRESENTATIVE		Non-Voting	
CMMT	PLEASE NOTE THAT VOTES 'IN FAVOR' AND 'AGAINST' IN THE SAME AGENDA ITEM ARE- NOT ALLOWED. ONLY VOTES IN FAVOR AND/OR ABSTAIN OR AGAINST AND/ OR ABSTAIN-ARE ALLOWED. THANK YOU		Non-Voting	
I	UPDATING THE AMOUNT OF THE SHARE CAPITAL OF THE COMPANY AND THE RESPECTIVE NUMBER OF SHARES THAT HAVE BEEN ISSUED, TO BRING ABOUT THE FULFILLMENT OF THE RESOLUTIONS THAT WERE	Management	No Action	

APPROVED AT THE MEETINGS OF THE BOARD OF DIRECTORS OF THE COMPANY THAT WERE HELD ON MAY 6, 2014, AND JUNE 24, 2014, THROUGH WHICH WERE APPROVED AND RATIFIED, RESPECTIVELY, AN INCREASE IN THE SHARE CAPITAL OF THE COMPANY AND THE ISSUANCE OF NEW SHARES, WHICH WERE CARRIED OUT WITHIN THE AUTHORIZED CAPITAL LIMIT OF THE COMPANY, WITH THE CONSEQUENT AMENDMENT OF ARTICLE 5 OF THE CORPORATE BYLAWS OF THE COMPANY

THE AMENDMENT OF PARAGRAPH 2 OF ARTICLE 6 OF THE CORPORATE BYLAWS OF THE COMPANY TO STATE THAT THE BOARD OF DIRECTORS OF THE COMPANY CAN GRANT STOCK PURCHASE OR SUBSCRIPTION OPTIONS FOR ITS SHARES TO ITS MANAGERS IN ACCORDANCE WITH THE TERMS OF THE STOCK OPTION PLAN THAT WAS PREVIOUSLY APPROVED BY THE GENERAL MEETING OF THE COMPANY, DIRECTLY OR AUTHORIZING A COMMITTEE

CREATED IN ACCORDANCE WITH THE TERMS OF THE CORPORATE BYLAWS OF THE COMPANY FOR THAT PURPOSE, EXCEPT THROUGH THE ISSUANCE OF SHARES WITHIN THE AUTHORIZED CAPITAL, WHICH CANNOT BE DELEGATED TO A COMMITTEE

THE RESTATEMENT OF THE CORPORATE BYLAWS OF THE COMPANY IN LIGHT OF THE AMENDMENTS THAT ARE PROPOSED HERE

THE APPROVAL OF A NEW STOCK OPTION PLAN FOR SHARES ISSUED BY THE COMPANY, FROM HERE ONWARDS REFERRED TO AS THE SECOND PLAN

AUTHORIZATION FOR THE EXECUTIVE COMMITTEE TO DO ALL THE ACTS THAT ARE NECESSARY TO CARRY OUT THE RESOLUTIONS THAT ARE

II

Management No  
Action

III

Management No  
Action

IV

Management No  
Action

V

Management No  
Action



DESCRIBED IN ITEMS I THROUGH IV  
ABOVE

29 DEC 2014: PLEASE NOTE THAT THIS  
IS A REVISION DUE TO  
POSTPONEMENT OF MEETIN-G DATE  
FROM 26 DEC 2014 TO 07 JAN 2015. IF  
YOU HAVE ALREADY SENT IN YOUR  
VOTES-, PLEASE DO NOT VOTE AGAIN  
UNLESS YOU DECIDE TO AMEND YOUR  
ORIGINAL INSTRUCTIO-NS. THANK  
YOU.

CMMT

Non-Voting

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailabl Shares	Vote Date Date Confirmed
AL27	AL27		STATE STREET BANK	135,374 0		12-Dec-2004-Jan-2015
MICRON TECHNOLOGY, INC.						
Security	595112103		Meeting Type	Annual		
Ticker Symbol	MU		Meeting Date	22-Jan-2015		
ISIN	US5951121038		Agenda	934108588 - Management		
Record Date	21-Nov-2014		Holding Recon Date	21-Nov-2014		
City /	Country	/ United States	Vote Deadline Date	21-Jan-2015		
SEDOL(s)			Quick Code			

Item	Proposal	Proposed by	Vote	For/Against Management
1.1	ELECTION OF DIRECTOR: ROBERT L. BAILEY	Management	For	For
1.2	ELECTION OF DIRECTOR: RICHARD M. BEYER	Management	For	For
1.3	ELECTION OF DIRECTOR: PATRICK J. BYRNE	Management	For	For
1.4	ELECTION OF DIRECTOR: D. MARK DURCAN	Management	For	For
1.5	ELECTION OF DIRECTOR: D. WARREN A. EAST	Management	For	For
1.6	ELECTION OF DIRECTOR: MERCEDES JOHNSON	Management	For	For

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- |     |  |            |     |
|-----|--|------------|-----|
| 1.7 | ELECTION OF DIRECTOR: LAWRENCE N. MONDRY   | Management | For |
| 1.8 | ELECTION OF DIRECTOR: ROBERT E. SWITZ  | Management | For |
| 2.  | TO APPROVE AMENDED & RESTATED 2007 EQUITY INCENTIVE PLAN & INCREASE SHARES RESERVED FOR ISSUANCE THEREUNDER BY 30,000,000.           | Management | For |
| 3.  | TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.                        | Management | For |
| 4.  | TO AMEND THE COMPANY'S RESTATED CERTIFICATE OF INCORPORATION TO ELIMINATE CUMULATIVE VOTING.   | Management | For |
| 5.  | TO APPROVE THE MATERIAL TERMS OF THE PERFORMANCE GOALS UNDER THE COMPANY'S EXECUTIVE OFFICER PERFORMANCE INCENTIVE PLAN.             | Management | For |
| 6.  | TO APPROVE A NON-BINDING RESOLUTION TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS AS DESCRIBED IN THE PROXY STATEMENT. | Management | For |

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27	STATE STREET BANK & TRUST CO	15,600	0	13-Dec-2014	13-Dec-2014

WESCO AIRCRAFT HOLDINGS, INC.

Security	950814103	Meeting Type	Annual
Ticker Symbol	WAIR	Meeting Date	27-Jan-2015
ISIN	US9508141036	Agenda	934109819 - Management
Record Date	03-Dec-2014	Holding Recon Date	03-Dec-2014
City / Country	/ United States	Vote Deadline Date	26-Jan-2015

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SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 DAYNE A. BAIRD		For	For
	2 JAY L. HABERLAND		For	For
	3 JENNIFER M. POLLINO		For	For
2.	APPROVE, BY A NON-BINDING ADVISORY VOTE, THE COMPANY'S EXECUTIVE COMPENSATION.	Management	For	For
3.	APPROVE THE WESCO AIRCRAFT HOLDINGS, INC. 2014 INCENTIVE AWARD PLAN.	Management	For	For
4.	RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2015.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27	STATE STREET BANK & TRUST CO	10,600	0	19-Dec-2014	19-Dec-2014

GOLUB CAPITAL BDC, INC.

Security	38173M102	Meeting Type	Annual
Ticker Symbol	GBDC	Meeting Date	03-Feb-2015
ISIN	US38173M1027	Agenda	934112703 - Management
Record Date	10-Dec-2014	Holding Recon Date	10-Dec-2014
City / Country	/ United States	Vote Deadline Date	02-Feb-2015
SEDOL(s)		Quick Code	

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Item	Proposal	Proposed by	Vote	For/Against Management
1.1	ELECTION OF TRUSTEE: KENNETH F. BERNSTEIN	Management	For	For
1.2	ELECTION OF TRUSTEE: JOHN T. BAILY	Management	For	For
2)	TO RATIFY THE SELECTION OF MCGLADREY LLP TO SERVE AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2015.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27	STATE STREET BANK & TRUST CO	73,800	0	23-Dec-2014	23-Dec-2014

HAITONG SECURITIES CO LTD

Security	Y2988F101	Meeting Type	Class Meeting
Ticker Symbol		Meeting Date	09-Feb-2015
ISIN	CNE1000019K9	Agenda	705756914 - Management
Record Date	09-Jan-2015	Holding Recon Date	09-Jan-2015
City / Country	SHANGHAI / China	Vote Deadline Date	03-Feb-2015
SEDOL(s)	B71SXC4 - B8GNHM9 - BP3RVF4 - BTFRPB4	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEWS/SEHK/2014/1223/LTN20141223420.PDF-AND- HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEWS/SEHK/2014/1223/LTN20141223438.P	Non-Voting		

DF

1.1	TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: TYPE AND NOMINAL VALUE	Management	For
1.2	TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ISSUE METHOD	Management	For
1.3	TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ISSUE TARGETS	Management	For
1.4	TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ISSUE SIZE	Management	For
1.5	TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ISSUE PRICE	Management	For
1.6	TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: SUBSCRIPTION METHOD	Management	For
1.7	TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ACCUMULATED UNDISTRIBUTED PROFIT	Management	For
1.8	TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: USE OF PROCEEDS	Management	For
1.9	TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: VALIDITY PERIOD OF RESOLUTION	Management	For
1.10	TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: AUTHORISATION FOR ISSUE OF NEW H SHARES	Management	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
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AL27	AL27	STATE STREET BANK	140,400 0	24-Dec-2004-Feb-2015
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HAITONG SECURITIES CO LTD

Security	Y2988F101	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	09-Feb-2015
ISIN	CNE1000019K9	Agenda	705756926 - Management
Record Date	09-Jan-2015	Holding Recon Date	09-Jan-2015
City / Country	SHANGHA I / China	Vote Deadline Date	03-Feb-2015
SEDOL(s)	B71SXC4 - B8GNHM9 - BP3RVF4 - BTFRPB4	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
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CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1223/LTN20141223351.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1223/LTN20141223351.pdf</a> -AND- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1223/LTN20141223375.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1223/LTN20141223375.pdf</a>	Non-Voting		
S.1.1	TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: TYPE AND NOMINAL VALUE	Management	For	For
S.1.2	TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ISSUE METHOD	Management	For	For
S.1.3	TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ISSUE TARGETS	Management	For	For
S.1.4	TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ISSUE SIZE	Management	For	For
S.1.5	TO CONSIDER AND APPROVE THE	Management	For	For

	PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ISSUE PRICE		
S.1.6	TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: SUBSCRIPTION METHOD	Management	For
S.1.7	TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ACCUMULATED UNDISTRIBUTED PROFIT	Management	For
S.1.8	TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: USE OF PROCEEDS	Management	For
S.1.9	TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: VALIDITY PERIOD OF RESOLUTION	Management	For
S.1.10	TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: AUTHORISATION FOR ISSUE OF NEW H SHARES	Management	For
S.2	TO CONSIDER AND APPROVE THE PROPOSED AMENDMENTS TO THE ARTICLES OF ASSOCIATION OF THE COMPANY	Management	For
S.3.1	TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: TYPE	Management	For
S.3.2	TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: TERM	Management	For
S.3.3	TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: INTEREST RATE	Management	For
S.3.4		Management	For

	TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: ISSUER, ISSUE SIZE AND ISSUE METHOD		
S.3.5	TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: ISSUE PRICE	Management	For
S.3.6	TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: SECURITY AND OTHER CREDIT ENHANCEMENT ARRANGEMENTS	Management	For
S.3.7	TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: USE OF PROCEEDS	Management	For
S.3.8	TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: ISSUE TARGET AND ARRANGEMENTS ON PLACEMENT TO SHAREHOLDERS OF THE COMPANY	Management	For
S.3.9	TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: GUARANTEE MEASURES FOR REPAYMENT	Management	For
S3.10	TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: LISTING OF DEBT FINANCING INSTRUMENTS	Management	For
S3.11	TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS,	Management	For



INCLUDING: VALIDITY PERIOD OF  
RESOLUTION

S3.12	TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: AUTHORISATION FOR ISSUANCE OF OTHER ONSHORE DEBT FINANCING INSTRUMENTS OF THE COMPANY	Management	For
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O.1	TO CONSIDER AND APPROVE THE REPORT ON USE OF PROCEEDS FROM PREVIOUS FUNDS RAISING ACTIVITY OF THE COMPANY	Management	For
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O.2	TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE INCREASE IN QUOTA OF EXTERNAL GUARANTEE OF THE COMPANY	Management	For
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O.3	TO CONSIDER AND APPROVE THE PROPOSAL REGARDING INVESTMENT ASSET ALLOCATION OF EQUITY, FIXED INCOME SECURITIES AND DERIVATIVE PRODUCTS OF THE COMPANY FOR THE YEAR 2015	Management	For
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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK		140,400 0		24-Dec-2004	Feb-2015

VARIAN MEDICAL SYSTEMS, INC.

Security	92220P105	Meeting Type	Annual
Ticker Symbol	VAR	Meeting Date	12-Feb-2015
ISIN	US92220P1057	Agenda	934113248 - Management
Record Date	15-Dec-2014	Holding Recon Date	15-Dec-2014
City / Country	/ United States	Vote Deadline Date	11-Feb-2015
SEDOL(s)	Quick Code		

Item	Proposal	Proposed by	Vote	For/Against Management
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1.	DIRECTOR	Management		
1	TIMOTHY E. GUERTIN	For	For	
2	DAVID J. ILLINGWORTH	For	For	
3	R. NAUMANN-ETIENNE	For	For	

2.	TO APPROVE THE COMPENSATION OF THE VARIAN MEDICAL SYSTEMS, INC. NAMED EXECUTIVE OFFICERS AS DESCRIBED IN THE PROXY STATEMENT.	Management	For	For
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3.	TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS VARIAN MEDICAL SYSTEMS, INC.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR 2015.	Management	For	For
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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL60	GLV MS PLEDGE	997AL60	STATE STREET BANK & TRUST CO	14,500	0	31-Dec-2014	31-Dec-2014

CITIC SECURITIES CO LTD

Security	Y1639N117	Meeting Type	Class Meeting
Ticker Symbol		Meeting Date	16-Feb-2015
ISIN	CNE1000016V2	Agenda	705764125 - Management
Record Date	16-Jan-2015	Holding Recon Date	16-Jan-2015
City / Country	BEIJING / China	Vote Deadline Date	05-Feb-2015
SEDOL(s)	B6SPB49 - B76VCF4 - B7WHGP4 - BP3RTD8	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-	Non-Voting		

<http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1230/LTN20141230448.pdf>-AND-  
<http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1230/LTN20141230437.pdf>

- |     |   |            |     |
|-----|---|------------|-----|
| 1.1 | <p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF H SHAREHOLDERS CLASS MEETING) : CLASS OF SHARES TO BE ISSUED</p> | Management | For |
| 1.2 | <p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF H SHAREHOLDERS CLASS MEETING) : TIME OF ISSUANCE</p>             | Management | For |
| 1.3 | <p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF H SHAREHOLDERS CLASS MEETING) : METHOD OF ISSUANCE</p>           | Management | For |
| 1.4 |   | Management | For |

TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF H SHAREHOLDERS CLASS MEETING) : TARGET PLACEES

- |     |   |                   |            |
|-----|---|-------------------|------------|
| 1.5 | <p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF H SHAREHOLDERS CLASS MEETING) : PRICING MECHANISM</p>      | <p>Management</p> | <p>For</p> |
| 1.6 | <p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF H SHAREHOLDERS CLASS MEETING) : METHOD OF SUBSCRIPTION</p> | <p>Management</p> | <p>For</p> |
| 1.7 | <p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE</p>  | <p>Management</p> | <p>For</p> |

HONG KONG STOCK EXCHANGE  
(RELEVANT DETAILS OF THE  
RESOLUTIONS ARE SET OUT IN THE  
PARAGRAPH HEADED "PROPOSED  
SPECIFIC MANDATE TO ISSUE NEW H  
SHARES" IN THE ANNOUNCEMENT  
PRODUCED IN THE APPENDIX TO THE  
NOTICE OF H SHAREHOLDERS CLASS  
MEETING) : SIZE OF ISSUANCE

TO CONSIDER AND APPROVE THE  
FOLLOWING RESOLUTIONS ON THE  
SPECIFIC MANDATE FOR THE  
PROPOSED NEW H SHARE ISSUE AND  
LISTING OF NEW H SHARES ON THE  
HONG KONG STOCK EXCHANGE

1.8

(RELEVANT DETAILS OF THE  
RESOLUTIONS ARE SET OUT IN THE  
PARAGRAPH HEADED "PROPOSED  
SPECIFIC MANDATE TO ISSUE NEW H  
SHARES" IN THE ANNOUNCEMENT  
PRODUCED IN THE APPENDIX TO THE  
NOTICE OF H SHAREHOLDERS CLASS  
MEETING) : ACCUMULATED PROFITS

Management

For

TO CONSIDER AND APPROVE THE  
FOLLOWING RESOLUTIONS ON THE  
SPECIFIC MANDATE FOR THE  
PROPOSED NEW H SHARE ISSUE AND  
LISTING OF NEW H SHARES ON THE  
HONG KONG STOCK EXCHANGE

1.9

(RELEVANT DETAILS OF THE  
RESOLUTIONS ARE SET OUT IN THE  
PARAGRAPH HEADED "PROPOSED  
SPECIFIC MANDATE TO ISSUE NEW H  
SHARES" IN THE ANNOUNCEMENT  
PRODUCED IN THE APPENDIX TO THE  
NOTICE OF H SHAREHOLDERS CLASS  
MEETING) : USE OF PROCEEDS

Management

For

1.10

TO CONSIDER AND APPROVE THE  
FOLLOWING RESOLUTIONS ON THE  
SPECIFIC MANDATE FOR THE  
PROPOSED NEW H SHARE ISSUE AND  
LISTING OF NEW H SHARES ON THE  
HONG KONG STOCK EXCHANGE  
(RELEVANT DETAILS OF THE  
RESOLUTIONS ARE SET OUT IN THE  
PARAGRAPH HEADED "PROPOSED  
SPECIFIC MANDATE TO ISSUE NEW H  
SHARES" IN THE ANNOUNCEMENT

Management

For

PRODUCED IN THE APPENDIX TO THE NOTICE OF H SHAREHOLDERS CLASS MEETING) : VALIDITY PERIOD OF THE RESOLUTIONS

1.11	<p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF H SHAREHOLDERS CLASS MEETING) : AUTHORIZATION OF THE CONSEQUENTIAL AMENDMENTS TO THE ARTICLES OF ASSOCIATION UPON COMPLETION OF THE PROPOSED NEW H SHARE ISSUE</p>	Management	For
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1.12	<p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE</p>	Management	For
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ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF H SHAREHOLDERS CLASS MEETING) : OTHER RELEVANT AUTHORIZATION TO THE BOARD AND THE PERSONS AUTHORIZED BY THE BOARD TO DEAL WITH ALL MATTERS RELATING TO THE PROPOSED NEW H SHARE ISSUE

CMMT	<p>31 DEC 2014: PLEASE NOTE THAT THIS IS A REVISION DUE TO MODIFICATION TO TEXT O-F RESOLUTION 1.4. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE A-GAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU</p>	Non-Voting	
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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27		STATE STREET BANK	219,500 0		31-Dec-2014	Feb-2015
CITIC SECURITIES CO LTD							
Security	Y1639N117			Meeting Type		ExtraOrdinary General Meeting	
Ticker Symbol				Meeting Date		16-Feb-2015	
ISIN	CNE1000016V2			Agenda		705764187 - Management	
Record Date	16-Jan-2015			Holding Recon Date		16-Jan-2015	
City / Country	BEIJING / China			Vote Deadline Date		05-Feb-2015	
SEDOL(s)	B6SPB49 - B76VCF4 - B7WHGP4 - BP3RTD8			Quick Code			
Item	Proposal	Proposed by	Vote	For/Against Management			
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEWS/SEHK/2014/1230/LTN20141230410.PDF-AND- HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEWS/SEHK/2014/1230/LTN20141230404.PDF		Non-Voting				
1.1	TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): CLASS OF SHARES TO BE ISSUED	Management	For	For			

1.2	<p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): TIME OF ISSUANCE</p>	Management	For
1.3	<p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): METHOD OF ISSUANCE</p>	Management	For
1.4	<p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): TARGET PLACERS</p>	Management	For
1.5	<p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE</p>	Management	For



PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): PRICING MECHANISM

TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE

1.6

(RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): METHOD OF SUBSCRIPTION

Management

For

TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE

1.7

(RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): SIZE OF ISSUANCE

Management

For

TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE

1.8

(RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): ACCUMULATED PROFITS

Management

For

1.9

Management

For

TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): USE OF PROCEEDS

TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): VALIDITY PERIOD OF THE RESOLUTIONS

1.10

Management

For

TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): AUTHORIZATION OF THE CONSEQUENTIAL AMENDMENTS TO THE ARTICLES OF ASSOCIATION UPON COMPLETION OF THE PROPOSED NEW H SHARE ISSUE

1.11

Management

For

1.12

Management

For

TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND

LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM); OTHER RELEVANT AUTHORIZATION TO THE BOARD AND THE PERSONS AUTHORIZED BY THE BOARD TO DEAL WITH ALL MATTERS RELATING TO THE PROPOSED NEW H SHARE ISSUE

TO CONSIDER AND APPROVE THE REPORT ON USE OF PROCEEDS FROM PREVIOUS FUNDS RAISING ACTIVITY OF THE COMPANY (RELEVANT DETAILS OF THIS RESOLUTION ARE SET OUT IN THE PARAGRAPH HEADED "REPORT ON USE OF PROCEEDS FROM PREVIOUS FUNDS RAISING ACTIVITY" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM)

2 Management For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK		219,500 0		31-Dec-2014	Feb-2015

#### TRANSDIGM GROUP INCORPORATED

Security	893641100	Meeting Type	Annual
Ticker Symbol	TDG	Meeting Date	05-Mar-2015
ISIN	US8936411003	Agenda	934120712 - Management
Record Date	05-Jan-2015	Holding Recon Date	05-Jan-2015
City / Country	/ United States	Vote Deadline Date	04-Mar-2015
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		

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1	WILLIAM DRIES	For	For
2	W. NICHOLAS HOWLEY	For	For
3	RAYMOND LAUBENTHAL	For	For
4	ROBERT SMALL	For	For

2.	TO APPROVE (IN AN ADVISORY VOTE) COMPENSATION PAID TO THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management	For
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3.	TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT ACCOUNTANTS FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2015.	Management	For
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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27	STATE STREET BANK & TRUST CO	3,100	0	24-Jan-2015	24-Jan-2015

ACTAVIS PLC

Security	G0083B108	Meeting Type	Special
Ticker Symbol	ACT	Meeting Date	10-Mar-2015
ISIN	IE00BD1NQJ95	Agenda	934122499 - Management
Record Date	22-Jan-2015	Holding Recon Date	22-Jan-2015
City / Country	/ United States	Vote Deadline Date	09-Mar-2015
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	APPROVING THE ISSUANCE OF ORDINARY SHARES PURSUANT TO THE AGREEMENT AND PLAN OF MERGER, DATED NOVEMBER 16, 2014, AMONG ACTAVIS PLC ("ACTAVIS"), AVOCADO ACQUISITION INC. AND ALLERGAN, INC. (THE "ACTAVIS SHARE ISSUANCE	Management	For	For

PROPOSAL").

APPROVING ANY MOTION TO  
ADJOURN THE ACTAVIS  
EXTRAORDINARY GENERAL MEETING  
(THE "ACTAVIS EGM"), OR ANY  
ADJOURNMENTS THEREOF, TO  
ANOTHER TIME OR PLACE IF  
NECESSARY OR APPROPRIATE TO,  
AMONG OTHER THINGS, SOLICIT  
ADDITIONAL PROXIES IF THERE ARE  
INSUFFICIENT VOTES AT THE TIME OF  
THE ACTAVIS EGM TO APPROVE THE  
ACTAVIS SHARE ISSUANCE PROPOSAL.

2. Management For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27	STATE STREET BANK & TRUST CO	1,500	0	30-Jan-2015	30-Jan-2015
997AL60	GLV MS PLEDGE	997AL60	STATE STREET BANK & TRUST CO	5,400	0	30-Jan-2015	30-Jan-2015

SPANSION INC.

Security	84649R200	Meeting Type	Special
Ticker Symbol	CODE	Meeting Date	12-Mar-2015
ISIN	US84649R2004	Agenda	934125902 - Management
Record Date	05-Feb-2015	Holding Recon Date	05-Feb-2015
City / Country	/ United States	Vote Deadline Date	11-Mar-2015
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO ADOPT THE AGREEMENT AND PLAN OF MERGER AND REORGANIZATION, DATED AS OF	Management	For	For

DECEMBER 1, 2014 (THE "MERGER AGREEMENT"), BY AND AMONG SPANSION INC., CYPRESS SEMICONDUCTOR CORPORATION AND MUSTANG ACQUISITION CORPORATION, AND APPROVE THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT.

TO APPROVE ON AN ADVISORY (NON-BINDING) BASIS THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO SPANSION INC. NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER AGREEMENT AND MERGER.

2. Management For

TO APPROVE THE ADJOURNMENT OF THE SPANSION INC. SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE NOT SUFFICIENT VOTES TO ADOPT THE MERGER AGREEMENT AND APPROVE THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT.

3. Management For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27	STATE STREET BANK & TRUST CO	15,000	0	11-Feb-2015	11-Feb-2015
997AL60	GLV MS PLEDGE	997AL60	STATE STREET BANK & TRUST CO	36,000	0	11-Feb-2015	11-Feb-2015

SAMSUNG ELECTRONICS CO LTD, SUWON

Security	Y74718100	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	13-Mar-2015
ISIN	KR7005930003	Agenda	705825137 - Management
Record Date	31-Dec-2014	Holding Recon Date	31-Dec-2014

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City /	Country	SEOUL	/	Korea, Republic Of	Vote Deadline Date	03-Mar-2015
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SEDOL(s)	6771720 - B19VC15	Quick Code
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Item	Proposal	Proposed by	Vote	For/Against Management
1	APPROVAL OF FINANCIAL STATEMENTS	Management	For	For
2.1.1	ELECTION OF OUTSIDE DIRECTOR GIM HAN JUNG	Management	For	For
2.1.2	ELECTION OF OUTSIDE DIRECTOR I BYEONG GI	Management	For	For
2.2	ELECTION OF INSIDE DIRECTOR GWON O HYEON	Management	For	For
2.3	ELECTION OF AUDIT COMMITTEE MEMBER GIM HAN JUNG	Management	For	For
3	APPROVAL OF REMUNERATION FOR DIRECTOR	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK		1,114	0	14-Feb-2015	05-Mar-2015

SUNTORY BEVERAGE & FOOD LIMITED

Security	J78186103	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	27-Mar-2015
ISIN	JP3336560002	Agenda	705863783 - Management
Record Date	31-Dec-2014	Holding Recon Date	31-Dec-2014
City /	Country	TOKYO	/ Japan
		Vote Deadline Date	11-Mar-2015
SEDOL(s)	BBD7Q84 - BBT3GD1 - BLRLZP2	Quick Code	25870

Item	Proposal	Proposed by	Vote	For/Against Management
	Please reference meeting materials.	Non-Voting		

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1	Approve Appropriation of Surplus	Management	For
2.1	Appoint a Director Torii, Nobuhiro	Management	For
2.2	Appoint a Director Kakimi, Yoshihiko	Management	For
2.3	Appoint a Director Kogo, Saburo	Management	For
2.4	Appoint a Director Kurihara, Nobuhiro	Management	For
2.5	Appoint a Director Tsuchida, Masato	Management	For
2.6	Appoint a Director Kamada, Yasuhiko	Management	For
2.7	Appoint a Director Hizuka, Shinichiro	Management	For
2.8	Appoint a Director Inoue, Yukari	Management	For
3	Amend Articles to: Transition to a Company with Supervisory Committee, Adopt Reduction of Liability System for Non-Executive Directors	Management	For
4.1	Appoint a Director except as Supervisory Committee Members Torii, Nobuhiro	Management	For
4.2	Appoint a Director except as Supervisory Committee Members Kakimi, Yoshihiko	Management	For
4.3	Appoint a Director except as Supervisory Committee Members Kogo, Saburo	Management	For
4.4	Appoint a Director except as Supervisory Committee Members Kurihara, Nobuhiro	Management	For
4.5	Appoint a Director except as Supervisory Committee Members Tsuchida, Masato	Management	For
4.6	Appoint a Director except as Supervisory Committee Members Kamada, Yasuhiko	Management	For
4.7	Appoint a Director except as Supervisory Committee Members Hizuka, Shinichiro	Management	For
4.8	Appoint a Director except as Supervisory Committee Members Inoue, Yukari	Management	For
5.1	Appoint a Director as Supervisory Committee Members Hattori, Seiichiro	Management	For
5.2	Appoint a Director as Supervisory Committee Members Uehara, Yukihiko	Management	For
5.3	Appoint a Director as Supervisory Committee Members Uchida, Harumichi	Management	For
6	Appoint a Substitute Director as Supervisory Committee Members Amitani, Mitsuhiro	Management	For



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7	Amend the Compensation to be received by Directors except as Supervisory Committee Members	Management	For	For			
8	Amend the Compensation to be received by Directors as Supervisory Committee Members	Management	For	For			
Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
AL27	AL27	STATE STREET BANK		10,600	0	05-Mar-2015	05-Mar-2015

APPLIED MATERIALS, INC.

Security	038222105	Meeting Type	Annual
Ticker Symbol	AMAT	Meeting Date	02-Apr-2015
ISIN	US0382221051	Agenda	934127108 - Management
Record Date	11-Feb-2015	Holding Recon Date	11-Feb-2015
City / Country	/ United States	Vote Deadline Date	01-Apr-2015
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: AART J. DE GEUS	Management	For	For
1B.	ELECTION OF DIRECTOR: GARY E. DICKERSON	Management	For	For
1C.	ELECTION OF DIRECTOR: STEPHEN R. FORREST	Management	For	For
1D.	ELECTION OF DIRECTOR: THOMAS J. IANNOTTI	Management	For	For
1E.	ELECTION OF DIRECTOR: SUSAN M. JAMES	Management	For	For
1F.	ELECTION OF DIRECTOR: ALEXANDER A. KARSNER	Management	For	For
1G.	ELECTION OF DIRECTOR: DENNIS D. POWELL	Management	For	For
1H.	ELECTION OF DIRECTOR: WILLEM P. ROELANDTS	Management	For	For

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1I.	ELECTION OF DIRECTOR: MICHAEL R. SPLINTER	Management	For
1J.	ELECTION OF DIRECTOR: ROBERT H. SWAN	Management	For
2.	TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF APPLIED MATERIALS' NAMED EXECUTIVE OFFICERS.	Management	For
3.	TO RATIFY THE APPOINTMENT OF KPMG LLP AS APPLIED MATERIALS' INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR 2015.	Management	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27	STATE STREET BANK & TRUST CO	7,700	0	19-Feb-2015	19-Feb-2015

LENNAR CORPORATION

Security	526057104	Meeting Type	Annual
Ticker Symbol	LEN	Meeting Date	08-Apr-2015
ISIN	US5260571048	Agenda	934127879 - Management
Record Date	12-Feb-2015	Holding Recon Date	12-Feb-2015
City / Country	/ United States	Vote Deadline Date	07-Apr-2015
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
1	IRVING BOLOTIN		For	For
2	STEVEN L. GERARD		For	For
3	THERON I. "TIG" GILLIAM		For	For
4	SHERRILL W. HUDSON		For	For

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5	SIDNEY LAPIDUS	For	For
6	TERI P. MCCLURE	For	For
7	STUART A. MILLER	For	For
8	ARMANDO OLIVERA	For	For
9	JEFFREY SONNENFELD	For	For

2.	RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS LENNAR'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING NOVEMBER 30, 2015.	Management	For
3.	APPROVAL, ON AN ADVISORY BASIS, OF THE COMPENSATION OF LENNAR'S NAMED EXECUTIVE OFFICERS.	Management	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL27	GLV	997AL27	STATE STREET BANK & TRUST CO	5,200	0	25-Feb-2015	25-Feb-2015

GEA GROUP AG, BOCHUM

Security	D28304109	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	16-Apr-2015
ISIN	DE0006602006	Agenda	705882579 - Management
Record Date	25-Mar-2015	Holding Recon Date	25-Mar-2015
City / Country	OBERHAUSEN / Germany	Vote Deadline Date	31-Mar-2015
SEDOL(s)	4557104 - B02NSV3 - B28HB58 - BHZLGS6	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
	ACCORDING TO GERMAN LAW, IN CASE OF SPECIFIC CONFLICTS OF INTEREST IN CONNECTION WITH SPECIFIC ITEMS OF THE AGENDA FOR THE GENERAL MEETING YOU ARE NOT	Non-Voting		

ENTITLED TO EXERCISE YOUR VOTING RIGHTS. FURTHER, YOUR VOTING RIGHT MIGHT BE EXCLUDED WHEN YOUR SHARE IN VOTING RIGHTS HAS REACHED CERTAIN THRESHOLDS AND YOU HAVE NOT COMPLIED WITH ANY OF YOUR MANDATORY VOTING RIGHTS NOTIFICATIONS PURSUANT TO THE GERMAN SECURITIES TRADING ACT (WHPG). FOR QUESTIONS IN THIS REGARD PLEASE CONTACT YOUR CLIENT SERVICE REPRESENTATIVE FOR CLARIFICATION. IF YOU DO NOT HAVE ANY INDICATION REGARDING SUCH CONFLICT OF INTEREST, OR ANOTHER EXCLUSION FROM VOTING, PLEASE SUBMIT YOUR VOTE AS USUAL. THANK YOU.

PLEASE NOTE THAT THE TRUE RECORD DATE FOR THIS MEETING IS 26 MAR 2015, WHEREAS THE MEETING HAS BEEN SETUP USING THE ACTUAL RECORD DATE-1 BUSINESS DAY. THIS IS DONE TO ENSURE THAT ALL POSITIONS REPORTED ARE IN CONCURRENCE WITH THE GERMAN LAW. THANK YOU.

Non-Voting

COUNTER PROPOSALS MAY BE SUBMITTED UNTIL 01 APR 2015. FURTHER INFORMATION ON COUNTER PROPOSALS CAN BE FOUND DIRECTLY ON THE ISSUER'S WEBSITE (PLEASE REFER TO THE MATERIAL URL SECTION OF THE APPLICATION). IF YOU WISH TO ACT ON THESE ITEMS, YOU WILL NEED TO REQUEST A MEETING ATTEND AND VOTE YOUR SHARES DIRECTLY AT THE COMPANY'S MEETING. COUNTER PROPOSALS CANNOT BE REFLECTED IN THE BALLOT ON PROXYEDGE.

Non-Voting

1. PRESENTATION OF THE ADOPTED ANNUAL FINANCIAL STATEMENTS OF GEA GROUP AKTIENGES-ELLSCHAFT AND OF THE APPROVED CONSOLIDATED FINANCIAL STATEMENTS AS OF DECEMBER-31,

Non-Voting

2014, OF THE GROUP MANAGEMENT  
REPORT COMBINED WITH THE  
MANAGEMENT

REPORT-OF GEA GROUP  
AKTIENGESELLSCHAFT FOR THE  
FISCAL YEAR 2014 INCLUDING THE  
EXPLANATORY REPORT OF THE  
EXECUTIVE BOARD ON THE  
INFORMATION PROVIDED IN  
ACCORDANCE WITH S. 289 PARA. 4  
AND PARA. 5, S. 315 PARA. 2 NO. 5 AND  
PARA. 4 HGB (GERMAN COMMERCIAL  
CODE) AS WELL AS THE REPORT OF  
THE SUPERVISORY BOARD FOR THE  
FISCAL-YEAR 2014

- |    |   |            |     |
|----|---|------------|-----|
| 2. | APPROPRIATION OF NET EARNINGS:<br>PAYMENT OF A DIVIDEND OF EUR 0.70<br>PER NO-PAR SHARE   | Management | For |
| 3. | RATIFICATION OF THE ACTS OF THE<br>MEMBERS OF THE EXECUTIVE BOARD<br>IN THE FISCAL YEAR 2014  | Management | For |
| 4. | RATIFICATION OF THE ACTS OF THE<br>MEMBERS OF THE SUPERVISORY<br>BOARD IN THE FISCAL YEAR 2014  | Management | For |
| 5. | ELECTION OF THE AUDITOR FOR THE<br>FISCAL YEAR 2015: KPMG AG<br>WIRTSCHAFTSPRÜFUNGSGESELLSCHAFT,<br>BERLIN  | Management | For |
| 6. | CANCELLATION OF AUTHORIZED<br>CAPITAL II IN ACCORDANCE WITH S. 4<br>PARA. 4 OF THE ARTICLES OF<br>ASSOCIATION, CREATION OF A NEW<br>AUTHORIZED CAPITAL II AND<br>AUTHORIZATION TO DECIDE ON THE<br>EXCLUSION OF SHAREHOLDERS'<br>SUBSCRIPTION RIGHTS, AND THE<br>CORRESPONDING AMENDMENT TO S. 4<br>PARA. 4 OF THE ARTICLES OF<br>ASSOCIATION | Management | For |
| 7. | CREATION OF A NEW AUTHORIZED<br>CAPITAL III GRANTING<br>AUTHORIZATION TO EXCLUDE<br>SHAREHOLDERS' SUBSCRIPTION<br>RIGHTS AND CORRESPONDING<br>AMENDMENT TO THE ARTICLES OF<br>ASSOCIATION   | Management | For |

8.	RESOLUTION ON THE AUTHORIZATION TO ISSUE CONVERTIBLE OR WARRANT BONDS, PROFIT PARTICIPATION RIGHTS OR INCOME BONDS, THE CREATION OF CONTINGENT CAPITAL AS WELL AS THE RESPECTIVE AMENDMENT TO THE ARTICLES OF ASSOCIATION WHILE SIMULTANEOUSLY CANCELLING THE RESPECTIVE AUTHORIZATION GIVEN IN 2010 AND THE CONTINGENT CAPITAL IN ACCORDANCE WITH S. 4 PARA. 5 OF THE ARTICLES OF ASSOCIATION				Management	For
9.	AUTHORIZATION TO ACQUIRE AND USE TREASURY STOCK AS WELL AS EXCLUSION OF THE RIGHT TO TENDER AND OF THE SUBSCRIPTION RIGHT				Management	For
Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date Confirmed
AL27	AL27		STATE STREET BANK	32,615 0	11-Mar-2015	15-Apr-2015
OWENS CORNING						
Security	690742101			Meeting Type	Annual	
Ticker Symbol	OC			Meeting Date	16-Apr-2015	
ISIN	US6907421019			Agenda	934137666 - Management	
Record Date	25-Feb-2015			Holding Recon Date	25-Feb-2015	
City /	Country	/	United States	Vote Deadline Date	15-Apr-2015	
SEDOL(s)				Quick Code		
Item	Proposal			Proposed by	Vote	For/Against Management
1.	DIRECTOR			Management		
	1	JAMES J. MCMONAGLE			For	For
	2	W. HOWARD MORRIS			For	For
	3	SUZANNE P. NIMOCKS			For	For
2.				Management	For	For

TO RATIFY THE SELECTION OF  
PRICEWATERHOUSECOOPERS LLP AS  
OUR INDEPENDENT REGISTERED  
PUBLIC ACCOUNTING FIRM FOR 2015.

TO APPROVE, ON AN ADVISORY BASIS,  
2014 NAMED EXECUTIVE OFFICER  
COMPENSATION.

3.			Management	For		
Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date Confirmed
997AL27	GLV	997AL27	STATE STREET BANK & TRUST CO	2,700	0	13-Mar-2015
STARWOOD PROPERTY TRUST INC						
Security	85571B105			Meeting Type	Annual	
Ticker Symbol	STWD			Meeting Date	21-Apr-2015	
ISIN	US85571B1052			Agenda	934157620 - Management	
Record Date	03-Mar-2015			Holding Recon Date	03-Mar-2015	
City /	Country	/	United States	Vote Deadline Date	20-Apr-2015	
SEDOL(s)				Quick Code		

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
1	RICHARD D. BRONSON		For	For
2	JEFFREY G. DISHNER		For	For
3	CAMILLE J. DOUGLAS		For	For
4	SOLOMON J. KUMIN		For	For
5	BARRY S. STERNLICHT		For	For
6	STRAUSS ZELNICK		For	For
2.				