Kreh Susan M Form 4 December 02, 2009

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

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if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Kreh Susan M

2. Issuer Name and Ticker or Trading Symbol

JOHNSON CONTROLS INC [JCI]

5. Relationship of Reporting Person(s) to

Issuer

(First) (Last)

(Street)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 11/03/2009

(Check all applicable)

5757 N. GREEN BAY AVENUE, P.O. BOX 591

Filed(Month/Day/Year)

Director 10% Owner X\_ Officer (give title \_ \_ Other (specify below)

Vice Pres. & Corp. Controller

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

MILWAUKEE, WI 53201

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secu	rities Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	(mou. 1)
Common Stock	11/03/2009		A	10.151	A	\$ 24.6286	12,105.151 (1)	D	
Common Stock	12/01/2009		A	18.264	A	\$ 27.377	12,123.415 (1)	D	
Common Stock							329.294 (2)	I	By 401(k) Plan - Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Edgar Filing: Kreh Susan M - Form 4

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and A Underlying S (Instr. 3 and	Securi
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo Nun Shai
Phantom Stock Units/Restricted Stock Grant	<u>(3)</u>					<u>(4)</u>	<u>(4)</u>	Common Stock	9,4
Employee Stock Option (Right to Buy)	\$ 32.01					03/21/2009(5)	03/21/2017	Common Stock	4.
Employee Stock Option (Right to Buy)	\$ 40.21					10/01/2009(5)	10/01/2017	Common Stock	3(
Employee Stock Option (Right to Buy)	\$ 28.79					10/01/2010 <u>(5)</u>	10/01/2018	Common Stock	4'
Employee Stock Option (Right to Buy)	\$ 24.87					10/01/2011 <u>(5)</u>	10/01/2019	Common Stock	4'

# **Reporting Owners**

Kreh

Angela M. Blair, Attorney-in-Fact for Susan M.

Reporting Owner Name / Address	Relationships						
<b>2</b> 0	Director	10% Owner	Officer	Other			
Kreh Susan M 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201			Vice Pres. & Corp. Controller				
Signatures							

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12/02/2009

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 6,500 shares of restricted stock granted on November 2, 2009, which vest as follows: 3,250 on November 2, 2011, and 3,250 on November 2, 2013, subject to continuous employment with the issuer.
  - The number of underlying securities is based on the stock fund balance on December 1, 2009. The actual number of shares issuable upon
- (2) the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a December 1, 2009, stock fund price of \$27.51 per share.
- (3) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock.
  - The restricted stock units were awarded under the Johnson Controls Executive Deferred Restricted Plan and are to be settled 100% in
- (4) cash upon the reporting person's termination of employment with the issuer, subject to vesting provisions. Vested units may be transferred by the reporting person into an alternative investment account.
- (5) Fifty percent of the option vests after two years and the remaining fifty percent after three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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