

TOMPKINS FINANCIAL CORP
Form 8-K
July 22, 2013

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d) of The Securities Exchange Act of 1934

Date of Report (Date of earliest event
reported) July 19, 2013

Tompkins Financial Corporation

(Exact name of registrant as specified in its charter)

New York (State or other jurisdiction of incorporation)	1-12709 (Commission File Number)	16-1482357 (IRS Employer Identification No.)
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The Commons, PO Box 460, Ithaca, New York (Address of Principal executive offices)	14851 (Zip Code)
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Date of Report (Date of earliest event
reported) (607) 273-3210

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17CFR 240.13e-4(c))

Item 2.02 Results of Operations and Financial Condition

On July 19, 2013, the Company issued a press release announcing its earnings for the calendar quarter ended June 30, 2013. A copy of the press release is attached to this Report on Form 8-K as Exhibit 99.1 and is incorporated herein by reference.

Item 8.01 Other Events

On July 19, 2013, the Company issued a press release announcing that its Board of Directors approved payment of a regular quarterly cash dividend of \$0.38 per share, payable on August 15, 2013, to common shareholders of record on July 29, 2013. A copy of the press release is attached to this Report on Form 8-K as Exhibit 99.2 and is incorporated herein by reference.

Item 9.01 Financial Statements and Exhibits

- (a) Not applicable.
- (b) Not applicable.
- (c) Not applicable.
- (d) Exhibits.

Exhibit No.	Description
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99.1	Press Release of Tompkins Financial Corporation dated July 19, 2013
99.2	Press Release of Tompkins Financial Corporation dated July 19, 2013

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

TOMPKINS FINANCIAL CORPORATION

Date: July 22, 2013

By:	/s/ Stephen S. Romaine
Name:	Stephen S. Romaine
Title:	President and CEO

INDEX TO EXHIBITS

EXHIBIT
NUMBERDESCRIPTION

- | | |
|------|--|
| 99.1 | <u>Press Release of Tompkins Financial Corporation dated July 19, 2013</u> |
| 99.2 | <u>Press Release of Tompkins Financial Corporation dated July 19, 2013</u> |
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