RELM WIRELESS CORP

Form 4

December 30, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

OMB Number:

3235-0287

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January 31, 2005

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OMB APPROVAL

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Fundamental Global Investors, LLC

2. Issuer Name and Ticker or Trading Symbol

RELM WIRELESS CORP [RWC]

5. Relationship of Reporting Person(s) to

Issuer

(First)

(Middle)

3. Date of Earliest Transaction

_X__ Director

(Check all applicable)

4201 CONGRESS STREET, SUITE

(Street)

140.

(Last)

(Month/Day/Year) 12/29/2016

Officer (give title below)

X__ 10% Owner Other (specify

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person X_ Form filed by More than One Reporting

CHARLOTTE, NC 28209

(City)	(State)	(Zip) Tal	ole I - Non-	Derivative S	Securi	ities Acc	quired, Disposed	of, or Benefi	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date, if any Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8) (A) or		of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	12/29/2016		Р	106,383	A	\$ 4.71 (3)	106,383 <u>(1)</u> <u>(2)</u>	I	FGI Global Asset Allocation Master Fund,

1,147,087 (1) (2)

Ballantyne Strong, Inc.

LP

Common Stock

Common Stock

680,140 (1)

Global Partners, LP

Fundamental

Common

1,541,621 (1) I

I

Fundamental

Stock (2) Global Partners Master Fund,

LP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amor Unde Secur	le and ant of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	, ,	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
reporting of the Fundament	Director	10% Owner	Officer	Other				
Fundamental Global Investors, LLC 4201 CONGRESS STREET, SUITE 140 CHARLOTTE, NC 28209	X	X						
BALLANTYNE STRONG, INC. 11422 MIRACLE HILLS DRIVE, SUITE 300 OMAHA, NE 68154	X	X						
Cerminara Kyle C/O FUNDAMENTAL GLOBAL INVESTORS, LLC 4201 CONGRESS STREET, SUITE 140 CHARLOTTE, NC 28209	X	X						
Johnson Lewis M C/O FUNDAMENTAL GLOBAL INVESTORS, LLC 4201 CONGRESS STREET, SUITE 140 CHARLOTTE, NC 28209	X	X						

Reporting Owners 2 MOGLIA JOSEPH H C/O FUNDAMENTAL GLOBAL INVESTORS, LLC 4201 CONGRESS STREET, SUITE 140 CHARLOTTE, NC 28209

X

Signatures

FUNDAMENTAL GLOBAL INV Officer, Partner and Manager	VESTORS LLC /s/ D. Kyle Cerminara, Chief Executive	12/30/2016		
	**Signature of Reporting Person	Date		
BALLANTYNE STRONG, INC. /s/ D. Kyle Cerminara, Chief Executive Officer				
	**Signature of Reporting Person	Date		
/s/ D. Kyle Cerminara		12/30/2016		
	**Signature of Reporting Person	Date		
/s/ Lewis M. Johnson		12/30/2016		
	**Signature of Reporting Person	Date		
/s/ Joseph H. Moglia		12/30/2016		
	**Signature of Reporting Person	Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Reporting Persons beneficially own in the aggregate 3,832,107 shares of Common Stock, which represent approximately 27.9% of the Company's outstanding shares of Common Stock. Fundamental Global Investors, LLC may be deemed to be a beneficial owner of the shares of Common Stock that are directly owned by Fundamental Global Partners, LP ("FGPP"), Fundamental Global Partners Master

- (1) Shares of Common Stock that are directly owned by Fundamental Global Partners, LP (FGPP), Fundamental Global Partners Master Fund, LP ("FGPM"), FGI Global Asset Allocation Master Fund, LP (FGGM"), and Ballantyne Strong, Inc. ("BTN"). In addition, CWA Asset Management Group, LLC holds 690,483 shares of Common Stock for accounts of individual investors, which represent approximately 5.0% of the Company's outstanding shares of Common Stock.
 - Due to their positions with Fundamental Global Investors, LLC and affiliated entities, Messrs. D. Kyle Cerminara, Lewis M. Johnson and Joseph H. Moglia may be deemed to be beneficial owners of the shares of Common Stock disclosed as directly owned by FGPP, FGPM
- (2) and FGGM. Due to their positions with BTN, Fundamental Global Investors, LLC and affiliated entities, Messrs. Cerminara and Johnson may be deemed to be beneficial owners of the shares of Common Stock disclosed as directly owned by BTN. Each Reporting Person disclaims beneficial ownership of the shares referred to herein except to the extent of his or its pecuniary interest therein.
- (3) Includes brokerage commission of \$0.01 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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