Harvey Charles A Form 4 December 04, 2008

# December 04, 2008

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#### OMB APPROVAL

-OKM 4		OWIDALLHOVAL					
	UNITED STATES	OMB Number:	3235-	0287			
Check this box if no longer	STATEMENT O	F CHANGES IN BENEFICIAL OW	NEDCHID OF	Expires:	Januai	ry 31, 2005	
subject to Section 16. Form 4 or	STATEMENTO	Estimated average burden hours per response		0.5			
Form 5 obligations may continue. See Instruction 1(b).	Section 17(a) of the	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, ection 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940					
rint or Type Respons	ses)						
. Name and Address Harvey Charles A	of Reporting Person *	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of I Issuer	of Reporting Person(s) to			
		JOHNSON CONTROLS INC [JCI]	(Check all applicable)				

(Month/Day/Year)

5757 N. GREEN BAY

AVENUE, P.O. BOX 591

(Month/Day/Year)

12/03/2008

——Director
——10% Owner

——X\_\_Officer (give title ——Other (specify below)

Vice President

3. Date of Earliest Transaction

(Street)
4. If Amendment, Date Original
6. Individual or Joint/Group Filing(Check
Filed(Month/Day/Year)
Applicable Line)
\_X\_ Form filed by One Reporting Person

MILWAUKEE, WI 53201-0591 — For Person

Form filed by More than One Reporting
Person

(City)	(State)	(Zip) Tal	ble I - Non	-Derivative	Secur	ities Acquire	ed, Disposed of, o	r Beneficially	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securitie orDisposed o (Instr. 3, 4	f (D)	uired (A) or Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/03/2008		A	145.932	A	\$ 17.1313	30,813.972 (1)	D	
Common Stock							6,685.039 (2)	I	By 401(k) Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

### Edgar Filing: Harvey Charles A - Form 4

## $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun Number Shares
Phantom Stock Units/Annual Incentive Plan	(3)					<u>(4)</u>	<u>(4)</u>	Common Stock	2,674
Phantom Stock Units - Restricted Stock Plan	<u>(5)</u>					<u>(5)</u>	<u>(5)</u>	Common Stock	462.2
Employee Stock Option (Right to Buy)	\$ 17.5167					11/19/2005	11/19/2013	Common Stock	40,6
Employee Stock Option (Right to Buy)	\$ 20.5633					11/17/2006	11/17/2014	Common Stock	35,7
Employee Stock Option (Right to Buy)	\$ 22.5617					11/16/2007(6)	11/16/2015	Common Stock	72,0
Employee Stock Option (Right to Buy)	\$ 23.965					10/02/2008(6)	10/02/2016	Common Stock	60,0
Employee Stock Option (Right to Buy)	\$ 40.21					10/01/2009(6)	10/01/2017	Common Stock	42,0
Employee Stock Option (Right to	\$ 28.79					10/01/2010(6)	10/01/2018	Common Sstock	54,0

Buy)

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Harvey Charles A 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201-0591

Vice President

### **Signatures**

Arlene D. Gumm, Attorney-in-Fact for Charles A. Harvey

12/04/2008

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares of restricted stock grants: 4,500 vests on November 1, 2009, 7,500 vests on January 3, 2010, and 4,500 on November 1, 2011.
- The number of underlying securities is based on the stock fund balance on December 3, 2008. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on an December 3, 2008, stock fund price of \$17.68 per share.
- Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.
- (4) The phantom stock units were accrued under the Johnson Controls Annual Incentive Plan and are to be settled 100% in cash upon the reporting person's termination of employment with the company.
- Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The restricted stock units were accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock Grant.
- (6) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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