Harvey Charles A Form 4 October 03, 2008

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 3235-0287

**OMB APPROVAL** 

Number: January 31,

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person _ Harvey Charles A			2. Issuer Name and Ticker or Trading Symbol JOHNSON CONTROLS INC [JCI]					5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) 5757 N. GREE AVENUE, P.C	EN BAY	Middle)		of Earliest T Day/Year) 2008	ransaction			DirectorX Officer (give ti	10%	Owner r (specify	
MILWAUKE	(Street) E, WI 53201-0	)591		endment, D nth/Day/Yea	•	1		6. Individual or Joir Applicable Line) _X_ Form filed by On Form filed by Mo Person	e Reporting Pe	rson	
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Secui	rities Acqu	ired, Disposed of,	or Beneficial	y Owned	
	Transaction Date Ionth/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	3. Transactic Code (Instr. 8)	4. Securiti our Dispose (Instr. 3, 4)	ed of (		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	0/02/2008			A	91.497	A	\$ 27.3234	29,229.372 (1) (2)	D		
Common Stock								6,498.017 (3)	I	By 401(k) Plan Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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## $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed or (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and An Underlying Sec (Instr. 3 and 4)
				Code V	(A) (D	Date Exercisable	Expiration Date	Title 1
Phantom Stock Units/Annual Incentive Plan	<u>(4)</u>					<u>(5)</u>	<u>(5)</u>	Common Stock
Phantom Stock Units - Restricted Stock Plan	<u>(7)</u>					<u>(7)</u>	<u>(7)</u>	Common Stock
Employee Stock Option (Right to Buy)	\$ 17.5167					11/19/2005	11/19/2013	Common Stock
Employee Stock Option (Right to Buy)	\$ 20.5633					11/17/2006	11/17/2014	Common Stock
Employee Stock Option (Right to Buy)	\$ 22.5617					11/16/2007 <u>(9)</u>	11/16/2015	Common Stock
Employee Stock Option (Right to Buy)	\$ 23.965					10/02/2008 <sup>(9)</sup>	10/02/2016	Common Stock
Employee Stock Option (Right to Buy)	\$ 40.21					10/01/2009 <sup>(9)</sup>	10/01/2017	Common Stock
Employee Stock Option (Right to Buy)	\$ 28.79	10/01/2008		A	54,000	10/01/2010 <sup>(9)</sup>	10/01/2018	Common Sstock

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Harvey Charles A 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201-0591

Vice President

### **Signatures**

Arlene D. Gumm, Attorney-in-Fact for Charles A. Harvey

10/03/2008

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares of restricted stock grants: 7,500 vests on January 3, 2010, 4,500 vests on November 1, 2009, and 4,500 on November 1, 2011.
- (2) Includes 52.539 shares acquired through the reinvestment of dividends on October 2, 2008, at a price of \$27.3234 per share.
- The number of underlying securities is based on the stock fund balance on October 1, 2008. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on an October 1, 2008, stock fund price of \$28.79 per share.
- Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.
- (5) The phantom stock units were accrued under the Johnson Controls Annual Incentive Plan and are to be settled 100% in cash upon the reporting person's termination of employment with the company.
- (6) Includes 12.731 phantom stock units acquired through reinvestment of dividends on October 2, 2008, at a price of of \$27.18 per share.
- Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The restricted stock units were accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock Grant.
- (8) Includes 80.743 phantom stock units acquired through reinvestment of dividends on October 2, 2008, at a price of of \$27.18 per share.
- (9) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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