

Rock Stacey G
Form 3
March 01, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Rock Stacey G (Last) (First) (Middle) 10680 TREENA STREET, SUITE 600 (Street) SAN DIEGO, CA 92131 (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 02/27/2019	3. Issuer Name and Ticker or Trading Symbol KRATOS DEFENSE & SECURITY SOLUTIONS, INC. [KTOS] 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) ☐ Director ☐ 10% Owner ☒ Officer ☐ Other (give title below) (specify below) President, KTT Division	5. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person
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Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	27,870 ⁽¹⁾	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) Title	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date		Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units ⁽²⁾	Â ⁽³⁾	Â ⁽³⁾	Common Stock	5,000	\$ 0	D	Â
Restricted Stock Units ⁽²⁾	Â ⁽⁴⁾	Â ⁽⁴⁾	Common Stock	15,000	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Rock Stacey G 10680 TREENA STREET, SUITE 600 SAN DIEGO, CA 92131	Â	Â	Â President, KTT Division	Â

Signatures

Stacey G. Rock, by Eva Yee,
Attorney-In-Fact

03/01/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes approximately 9,232 shares held through Issuer's 401(k) Plan.
- (2) Each restricted stock unit (RSU) represents a contingent right to receive one share of Issuer's common stock.
- (3) Reporting Person was granted 5,000 RSUs on January 4, 2018, which vest 100% on January 4, 2023, unless earlier vested or forfeited pursuant to the terms of the RSU agreement.
- (4) Reporting Person was granted 15,000 RSUs on February 27, 2019, which vest 100% on February 27, 2024, unless earlier vested or forfeited pursuant to the terms of the RSU agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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