## FORMFACTOR INC

Form 4 May 03, 2016

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

1(b).

(Last)

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \* St Dennis Thomas

(First)

(Street)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

FORMFACTOR INC [FORM]

(Check all applicable)

7005 SOUTHFRONT ROAD

3. Date of Earliest Transaction

(Month/Day/Year) 05/02/2016

X\_ Director 10% Owner Officer (give title Other (specify below)

(Month/Day/Year)

(Middle)

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

LIVERMORE, CA 94551

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4)

(A) or Code V Amount (D) Price

Transaction(s) (Instr. 3 and 4)

Common 05/02/2016 Stock

116,600 M (1)

\$0 144,720

Reported

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: FORMFACTOR INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	ionDe Sec Ac Dis	5. Number of on Derivative Expiration Date (Month/Day/Year)  Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code \	/ (A	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Performance Shares	\$ 0	05/02/2016		M		116,600	<u>(1)</u>	(2)	Common Stock	116,600

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting Owner Praint, Prautess	Director	10% Owner	Officer	Other			
St Dennis Thomas 7005 SOUTHFRONT ROAD LIVERMORE, CA 94551	X						

# **Signatures**

By: /s/ Jason Cohen, Attorney-in-Fact For: Thomas St. Dennis

05/03/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Represents the amount of performance-based restricted stock units (granted in May 2014) that were determined to be earned based on
- (1) TSR for the two-year performance period ended March 31, 2016, as previously reported on a Form 4. These units are fully vested, and the underlying shares were released on May 2, 2016.
- (2) The restricted stock units released were settled in shares of common stock and were immediately cancelled upon settlement.

#### **Remarks:**

THE CONFIRMING STATEMENT GRANTING THE ATTORNEY-IN-FACT THE AUTHORITY TO EXECUTE AND FI SECURITIES AND EXCHANGE COMMISSION.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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