#### Edgar Filing: OPPENHEIMER HOLDINGS INC - Form 3

**OPPENHEIMER HOLDINGS INC** Form 3 May 13, 2016 UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549 OMB

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

#### OMB APPROVAL

3235-0104 Number: January 31, Expires: 2005 Estimated average burden hours per response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Dwyer Timothy Martin			Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol OPPENHEIMER HOLDINGS INC [OPY]					
(Last)	(First)	(Middle)	05/09/2016		4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)			
60 BEACON	HILL RO	AD				× ×				
	(Street)			(Check	(Check all applicable) 6. Individual or Joint/Group		*			
NEW CANA	AN, CT.	06840		X_ Director Officer (give title below	<ul> <li> 10% Ov</li> <li> Other</li> <li>w) (specify below)</li> </ul>	_X_Form ) Person	eck Applicable Line) filed by One Reporting filed by More than One Person			
(City)	(State)	(Zip)	Tab	le I - Non-Derivat	ive Securities	Beneficially	y Owned			
1.Title of Securi (Instr. 4)	ty			mount of Securities eficially Owned r. 4)	Ownership O	. Nature of Indi Ownership Instr. 5)	irect Beneficial			
Reminder: Repo owned directly o		ate line for ea	ch class of securities	beneficially S	EC 1473 (7-02)					
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.										
Ta	able II - Der	ivative Secu	rities Beneficially O	wned (e.g., puts, calls,	warrants, optio	ns, convertible	e securities)			
1. Title of Deriv (Instr. 4)	ative Securit	Expin (Month	te Exercisable and ration Date /Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	f 4. Conversion or Exercise Price of Derivative	- · · · · ·	6. Nature of Indirect Beneficial Ownership (Instr. 5)			
		Date	Expiration		Constant	$\mathbf{D}_{interval}^{i}(\mathbf{D})$				

Exercisable

Date

Title

Direct (D)

or Indirect

(Instr. 5)

(I)

Security

Amount or

Number of

Shares

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
Dwyer Timothy Martin 60 BEACON HILL ROAD NEW CANAAN, CT 06840	ÂX	Â	Â	Â		
Signatures						
/s/ Timothy 05/1 Dwyer	3/2016					
<u>**</u> Signature of I Reporting Person	Date					

## **Explanation of Responses:**

### No securities are beneficially owned

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.