## Edgar Filing: Augustin Jeffrey G - Form 4

Augustin Jeffrey Form 4											
FORM 4	1	) STATES	SECUR	ITIES AI	ND EXC	HANG	<b>GE COMM</b>	ISSION	OMB AI	PPROVAL	
Check this bo if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	x STATE Filed pu Section 17	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response 0.5	
1. Name and Address of Reporting Person <u>*</u> Augustin Jeffrey G			2. Issuer Name <b>and</b> Ticker or Trading Symbol JOHNSON CONTROLS INC [JCI]				Issuer	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
<sup>(Last)</sup> 5757 N GREEN AVENUE, P.O.	BAY	(Middle)	3. Date of (Month/Da 12/18/20	•	nsaction			irector Officer (give	10%	9 Owner er (specify	
				. If Amendment, Date Original ïled(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
MILWAUKEE,	WI 53201 (State)	(Zip)	Tabla	I Non De	<b>ri</b> votivo S	ocuritio	Person	·	fore than One Re		
	Transaction D Ionth/Day/Yea	r) Executio any		3. Transactio Code (Instr. 8) Code V	4. Securit nAcquired Disposed (Instr. 3, 4	ies (A) or of (D) 4 and 5) (A) or	5. Amou Securitie Benefici Owned Followir Reportec Transact (Instr. 3	nt of es ally ng i on(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	•	
Common Stock					mount		85 <u>(1)</u>		I	By 401K Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Augustin

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title a Underlyi (Instr. 3
				Code V	(A) (D	Date Exercisable	Expiration Date	Title
Phantom Stock Units/Long-Term Incentive Plan	<u>(2)</u>	12/18/2006		А	2,684.64	(2)	(2)	Comm Stocl
Phantom Stock Units/Excess Benefit Plan-Common	<u>(3)</u>					(3)	<u>(3)</u>	Comm Stocl
Phantom Stock Units/Restricted Stock Grant	<u>(4)</u>					<u>(4)</u>	(4)	Comm Stocl
Stock Option	\$ 67.685					11/16/2007 <u>(5)</u>	11/16/2015	Comm Stocl
Stock Option	\$ 71.895					10/02/2008 <u>(5)</u>	10/02/2016	Comm Stocl

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer Vice President	Other			
Augustin Jeffrey G 5757 N GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201							
Signatures							
Arlene D. Gumm Attorney-in-Fact	for Jeffrey	G.	12/20/2	2006			

# <u>\*\*Signature of Reporting Person</u> Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The number of underlying securities is based on the stock fund balance on December 19, 2006. The actual number of shares issuable
(1) upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on an December 19, 2006, stock fund price of \$83.44 per share.

Date

(2) Each unit of phantom stock is the economic equivalent of one share of Johnson Controls common stock. These phantom share units were accrued in the Johnson Controls Long-Term Incentive Plan and are payable in cash following the reporting person's termination of

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employment with the company. The units may be transferred by the reporting person into an alternative investment account at any time.

Each unit of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The phantom stock units were accrued under the Johnson Controls Equalization 401(k) Benefit Plan. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.

Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The restricted stock units were(4) accrued under the Johnson Controls Restricted Stock Plan. The units will be settled 100% in cash following the reporting person's termination of employment with the company, subject to a vesting schedule.

(5) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.