OFFICEMAX INC Form 4

June 19, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Barr Jim

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First)

(Middle)

OFFICEMAX INC [OMX] 3. Date of Earliest Transaction

(Check all applicable)

C/O OFFICEMAX INCORPORATED, 263 SHUMAN

BLVD.

(Month/Day/Year) 06/17/2013

Director 10% Owner X\_ Officer (give title Other (specify below)

EVP, Chief Digital Officer

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NAPERVILLE, IL 60563

(State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

(City)

(Month/Day/Year) Execution Date, if

2. Transaction Date 2A. Deemed

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I)

(Instr. 4) (Instr. 4)

or (Instr. 3 and 4) Code V Amount (D) Price

(A)

Transaction(s)

Common Stock

85,362 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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8. I Dei Sec (Ins

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transac<br>Code<br>(Instr. 8 | etio | 5. nNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exerc<br>Expiration D<br>(Month/Day/ | ate                | 7. Title and A Underlying S (Instr. 3 and | Securities                       | 8<br>1<br>3<br>( |
|---|---|--------------------------------------|---|------------------------------------|------|--|--|--------------------|---|----------------------------------|------------------|
|   |   |                                      |   | Code                               | V    | (A) (D)  | Date<br>Exercisable                          | Expiration<br>Date | Title                                     | Amount or<br>Number of<br>Shares |                  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 4.87 (2)   |                                      |   |                                    |      |  | (3)  | 11/14/2018         | Common<br>Stock                           | 141,563                          |                  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 4.92 (4)   |                                      |   |                                    |      |  | <u>(5)</u>                                   | 02/16/2019         | Common<br>Stock                           | 60,533                           |                  |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |
|--------------------------------|---------------|
| Reporting Owner Name / Address |               |

Director 10% Owner Officer Other

Barr Jim

C/O OFFICEMAX INCORPORATED

EVP, Chief Digital Officer 263 SHUMAN BLVD.

NAPERVILLE, IL 60563

## **Signatures**

/s/ Susan Wagner-Fleming by POA from 06/19/2013 Jim Barr

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The number of shares beneficially owned reflects an equitable adjustment on June 17, 2013 to the reporting person's restricted stock units, (1) made pursuant to the anti-dilutive provisions of the Company's OMIPP as a result of a special cash dividend to be paid to shareholders of record as of the close of business on June 12, 2013.
- This stock option was previously reported as covering 125,000 shares at an exercise price of \$5.51 per share. The exercise price and the number of shares underlying this stock option reflects an equitable adjustment made on June 17, 2013 pursuant to the anti-dilutive provisions of the Company's OMIPP as a result of a special cash dividend to be paid to shareholders of record as of the close of business on June 12, 2013.

Reporting Owners 2

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- The stock option vested with respect to 28,313 underlying shares of common stock on November 14, 2012; will vest with respect to 56,625 underlying shares of common stock on November 14, 2013; and will vest with respect to 56,625 underlying shares of common stock on November 14, 2014.
- This stock option was previously reported as covering 53,451 shares at an exercise price of \$5.57 per share. The exercise price and the number of shares underlying this stock option reflects an equitable adjustment made on June 17, 2013 pursuant to the anti-dilutive provisions of the Company's OMIPP as a result of a special cash dividend to be paid to shareholders of record as of the close of business on June 12, 2013.
- (5) The stock option vests in three equal annual installments beginning on February 16, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.