Edwards Jeffrey S Form 4 January 05, 2006

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Edwards Jeffrey S

> (First) (Middle)

49200 HALYARD DRIVE, P.O.

**BOX 8010** 

(Street)

2. Issuer Name and Ticker or Trading Symbol

JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction (Month/Day/Year) 01/03/2006

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner X\_ Officer (give title \_ Other (specify below)

Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

PLYMOUTH, MI 48170

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	(A)			5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	01/03/2006		A	1.377	A	\$ 72.6081	14,017.986 (1)	D	
Common Stock	01/03/2006		A	15,000 (2)	A	\$ 74.1	29,017.986	D	
Common Stock	01/03/2006		F	1,596	D	\$ 74.1	27,421.986	D	
Common Stock	01/03/2006		M	165.807	A	\$ 74.1	27,587.793	D	
Common Stock	01/03/2006		D	165.807	D	\$ 74.1	27,421.986	D	

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Common Stock	5,184.779 (3) I	By 401(k) Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	iorDerivative Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amor Underlying Secur (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am Nui Sha
Phantom Stock Units - Excess Benefit Plan	<u>(4)</u>						<u>(5)</u>	<u>(5)</u>	Common Stock	14
Phantom Stock Units - EICP	<u>(4)</u>						<u>(7)</u>	<u>(7)</u>	Common Stock	6,3
Phantom Stock Units - Restricted Stock Plan	<u>(4)</u>	01/03/2006		M		165.807	<u>(9)</u>	<u>(9)</u>	Common Stock	1
Stock Option	\$ 28.4219						11/15/2002	11/15/2010	Common Stock	1
Stock Option	\$ 40.115						11/14/2003	11/14/2011	Common Stock	1
Stock Option	\$ 40.2975						11/20/2004(11)	11/20/2012	Common Stock	2
Stock Option	\$ 52.55						11/19/2005(11)	11/19/2013	Common Stock	2

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 Stock Option
 \$ 61.69
 11/17/2006(11) Stock
 11/17/2014
 Common Stock

 Stock Option
 \$ 67.685
 11/16/2007(11) Stock
 11/16/2007(11) Stock

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Edwards Jeffrey S 49200 HALYARD DRIVE P.O. BOX 8010 PLYMOUTH, MI 48170

Vice President

## **Signatures**

Arlene D. Gumm, Attorney-in-Fact for Jeffrey S.
Edwards
01/05/2006

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 2.253 shares of stock acquired through the reinvestment of dividends on January 3, 2006, at a price of \$72.6081 per share.
- (2) Award of a restricted stock grant. Fifty percent is released from restriction in two years and 50% in four years.
- The number of underlying securities is based on the stock fund balance on January 4, 2006. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a January 4, 2006 stock fund price of \$75.17 per share.
- (4) The phantom stock units convert to the common stock's cash value on a one-for-one basis.
- (5) The phantom stock units were accrued under the Johnson Controls Equalization 401(k) Benefit Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service.
- (6) Includes 0.55 phantom stock units acquired through the reinvestment of dividends on January 4, 2006, at a price of \$75.17 per phantom unit.
- (7) The phantom stock units were accrued under the Johnson Controls Executive Incentive Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service.
- (8) Includes 23.885 phantom stock units acquired through the reinvestment of dividends on January 4, 2006, at a price of \$75.17 per phantom unit.
- (9) The restricted stock units were accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock Grant.
- (10) Includes 46.878 phantom stock units acquired through the reinvestment of dividends on January 4, 2006, at a price of \$75.17 per phantom unit.
- (11) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

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Reporting Owners 3