

JAGEMAN ROY W  
Form 4  
September 08, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
JAGEMAN ROY W

2. Issuer Name and Ticker or Trading Symbol  
HOUSTON AMERICAN ENERGY CORP [HUSA]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
801 TRAVIS STREET, SUITE 1425  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
09/06/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)

HOUSTON, TX 77002  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |                                   |
|                                 |                                      |  |                                | Code  | V   | Amount   |                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | 4. Transaction Code | 5. Number of Derivative Securities | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying Security (Instr. 3 and 4) |
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|

Edgar Filing: JAGEMAN ROY W - Form 4

| (Instr. 3)                               | Price of Derivative Security | (Month/Day/Year) | (Instr. 8) | Acquired (A) or Disposed of (D)<br>(Instr. 3, 4, and 5) | Code | V | (A)    | (D) | Date Exercisable          | Expiration Date           | Title        |
|--|------------------------------|------------------|------------|---|------|---|--------|-----|---------------------------|---------------------------|--------------|
| Stock Option (Right to Buy)              | \$ 0.2158                    |                  |            |   |      |   |        |     | 10/23/2015                | 04/23/2025                | Common Stock |
| Stock Option (Right to Buy)              | \$ 0.2028                    |                  |            |   |      |   |        |     | 12/09/2015                | 06/09/2025                | Common Stock |
| Stock Option (Right to Buy)              | \$ 0.1982                    |                  |            |   |      |   |        |     | 09/15/2016                | 03/15/2026                | Common Stock |
| Stock Option (Right to Buy)              | \$ 0.2201                    |                  |            |   |      |   |        |     | 12/07/2016                | 06/07/2026                | Common Stock |
| Stock Option (Right to Buy)              | \$ 0.2201                    |                  |            |   |      |   |        |     | 06/07/2017                | 06/07/2026                | Common Stock |
| 12% Series A Convertible Preferred Stock | \$ 0.2                       |                  |            |   |      |   |        |     | 01/31/2017                | 12/31/2050 <sup>(1)</sup> | Common Stock |
| Stock Option (Right to Buy)              | \$ 0.485                     | 09/06/2017       |            | A   |      |   | 50,000 |     | 03/06/2018 <sup>(2)</sup> | 09/06/2027                | Common Stock |

## Reporting Owners

| Reporting Owner Name / Address                                      | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| JAGEMAN ROY W<br>801 TRAVIS STREET, SUITE 1425<br>HOUSTON, TX 77002 | X             |           |         |       |

## Signatures

Roy Jageman

09/08/2017

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Convertible preferred stock has no expiration date.
  - (2) The options were granted on September 6, 2017 and are exercisable six months from the date of grant, subject to vesting. The options vest 20% on the date of grant and 80% nine months from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.