

AMTECH SYSTEMS INC
Form 4
February 13, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Anderson Bradley Clark

(Last) (First) (Middle)
131 SOUTH CLARK DRIVE
(Street)

TEMPE, AZ 85281

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

AMTECH SYSTEMS INC [ASYS]

3. Date of Earliest Transaction (Month/Day/Year)

02/11/2014

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)

Chief Financial Officer

6. Individual or Joint/Group Filing (Check Applicable Line)

X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount | (D) | Price |
| Amtech Systems, Inc. \$.01 par value common stock | 02/11/2014 | | M | | 15,637 | A | \$ 2.95 |
| Amtech Systems, Inc. \$.01 par value common stock | 02/11/2014 | | S | | 15,637 | D | \$ 9.4127 |

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| | | | | | | | |
|---|------------|---|-------|---|-----------|--------|---|
| Amtech Systems, Inc. \$.01 par value common stock | 02/11/2014 | M | 7,500 | A | \$ 3.8 | 47,016 | D |
| Amtech Systems, Inc. \$.01 par value common stock | 02/11/2014 | S | 7,500 | D | \$ 9.4127 | 39,516 | D |
| Amtech Systems, Inc. \$.01 par value common stock | 02/12/2014 | M | 9,361 | A | \$ 2.95 | 48,877 | D |
| Amtech Systems, Inc. \$.01 par value common stock | 02/12/2014 | S | 9,361 | D | \$ 9.3862 | 39,516 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Amtech Systems, Inc. Employee | \$ 2.95 | 02/11/2014 | | M | 15,637 | 07/01/2013 12/11/2022 | Amtech Systems, Inc. \$.01 par value 15,637 |

| Stock Option | Price | Grant Date | Exercise | Quantity | Expiration | Company | Par Value | Quantity |
|--|---------|------------|----------|----------|------------|----------------------|-----------|----------|
| Amtech Systems, Inc. Employee Stock Option | \$ 3.8 | 02/11/2014 | M | 7,500 | 12/09/2018 | Amtech Systems, Inc. | \$.01 | 7,500 |
| Amtech Systems, Inc. Employee Stock Option | \$ 2.95 | 02/12/2014 | M | 9,361 | 12/11/2022 | Amtech Systems, Inc. | \$.01 | 9,361 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Anderson Bradley Clark 131 SOUTH CLARK DRIVE TEMPE, AZ 85281 | | | Chief Financial Officer | |

Signatures

Robert T. Hass on behalf of Bradley Anderson by Power of Attorney

 **Signature of Reporting Person

02/13/2014

 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Price omitted because the transaction is a grant of a stock option.
- (2) This is the number of derivative securities, with the same terms and conditions, following the reported transaction.
- (3) This is the number of derivative securities, with the same terms and conditions, following the reported transaction. Total of all derivative securities, with different terms and conditions, held following the reported transaction is 117,075, net of options cancelled.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.