ODYSSEY MARINE EXPLORATION INC

Form 4

September 09, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

2005

0.5

Check this box

if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires:

subject to Section 16. Form 4 or Form 5

SECURITIES

Estimated average burden hours per response...

OMB APPROVAL

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Barton Laura Lionetti

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

ODYSSEY MARINE EXPLORATION INC [OMEX]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director 10% Owner X_ Officer (give title Other (specify

5215 WEST LAUREL STREET

(Street)

(Month/Day/Year) 09/08/2016

EVP, Secretary, Corp Comm

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

(Instr. 8)

X Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

Person

below)

TAMPA, FL 33607

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D)

5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (T)

(Instr. 4)

(A)

(Instr. 3, 4 and 5)

Following Reported Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. 5. Number of 6. Date Exercisable and 7. Title and Amount Derivative Conversion (Month/Day/Year) Execution Date, if **Transaction**Derivative **Expiration Date** Underlying Securities Security or Exercise any Code Securities (Month/Day/Year) (Instr. 3 and 4)

Edgar Filing: ODYSSEY MARINE EXPLORATION INC - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shar
Restricted Stock Unit (RSU)	(1)	09/08/2016		A		37,439		(2)	12/20/2018	Common Stock	37,43
Performance Restricted Stock Unit (PRSU)	(1)	09/08/2016		A		4,160		(3)	<u>(4)</u>	Common Stock	4,160

Reporting Owners

Relationships Reporting Owner Name / Address Other Director 10% Owner Officer

Barton Laura Lionetti 5215 WEST LAUREL STREET **TAMPA, FL 33607**

EVP, Secretary, Corp Comm

Signatures

Reporting Person

/s/ Laura L. 09/09/2016 Barton **Signature of Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each RSU and PRSU represents a contingent right to receive one share of OMEX common stock.
- (2) RSU vests in three equal annual installments on December 20, 2016, 2017 and 2018.
- Each PRSU vests upon achievement of annual performance criteria for the period ending December 15, 2016 in one-third of the units **(3)** granted on each of December 20, 2016, 2017 and 2018.
- (4) The PRSU expires on December 20, 2018, but will terminate on December 15, 2016, if the performance criteria are not met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2