

HALE ALAN P
Form 3
February 12, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

HALE ALAN P
(Last) (First) (Middle)

120 SAN GABRIEL DRIVE
(Street)

SUNNYVALE, CA 94086
(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
01/31/2007

3. Issuer Name and Ticker or Trading Symbol

MAXIM INTEGRATED PRODUCTS INC [MXIM]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
VICE PRESIDENT & CFO

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

54,066 ⁽¹⁾

D

HALE

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title Amount or
Number of

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Incentive Stock Option (right to buy)	01/01/2008	12/31/2012	Common Stock	3,026	\$ 33.04	D	Â
Incentive Stock Option (right to buy)	Â <u>(2)</u>	09/27/2011	Common Stock	2,969	\$ 33.68	D	Â
Incentive Stock Option (right to buy)	01/01/2009	09/17/2014	Common Stock	2,358	\$ 42.4	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(2)</u>	10/05/2008	Common Stock	31,566	\$ 18.23	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(3)</u>	12/31/2012	Common Stock	56,974	\$ 33.04	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(4)</u>	09/27/2011	Common Stock	57,031	\$ 33.68	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(5)</u>	09/17/2014	Common Stock	47,642	\$ 42.4	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HALE ALAN P 120 SAN GABRIEL DRIVE SUNNYVALE, CA 94086	Â	Â	Â VICE PRESIDENT & CFO	Â

Signatures

By: Sheila M Raymond For: Alan
P Hale 02/12/2007

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes 39,319 Restricted Stock Units that vest quarterly from 11/15/2006 to 2/15/2011, subject to the individual's continuous status as an employee, director or consultant through such dates.
- (2) Fully vested
- (3) Vesting 7/1/07 to 7/1/08
- (4) Vesting 7/2005 to 9/2011
- (5) Vest from 7/1/08 - 7/1/09

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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