S&W Seed Co Form 4 October 10, 2012

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* YELLOWJACKET, LP (Last) (First) (Middle)

430 CAMBRIDGE AVENUE, SUITE 100

(Street)

S&W Seed Co [SANW] 3. Date of Earliest Transaction

Symbol

(Month/Day/Year) 10/08/2012

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

Director \_X\_\_ 10% Owner Officer (give title \_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

PALO ALTO, CA 94306

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				
Common Stock, \$0.01 par valu	10/08/2012		S	999	D	\$ 7.41	1,872,042	D			
Common Stock, \$0.01 par value	10/08/2012		S	802	D	\$ 7.42	1,871,240	D			
Common Stock, \$0.01 par value	10/08/2012		S	99	D	\$ 7.45	1,871,141	D			

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Common Stock, \$0.01 par value	10/08/2012	S	1,395	D	\$ 7.5	1,869,746	D
Common Stock, \$0.01 par value	10/08/2012	S	100	D	\$ 7.52	1,869,646	D
Common Stock, \$0.01 par value	10/08/2012	S	600	D	\$ 7.55	1,869,046	D
Common Stock, \$0.01 par value	10/08/2012	S	850	D	\$ 7.65	1,868,196	D
Common Stock, \$0.01 par value	10/08/2012	S	100	D	\$ 7.67	1,868,096	D
Common Stock, \$0.01 par valu	10/09/2012	S	200	D	\$ 7.5	1,668,096	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr Edgar Filing: S&W Seed Co - Form 4

# **Reporting Owners**

### **Signatures**

PALO ALTO, CA 94306

Robert W. Lishman, Jr., General Partner 10/10/2012

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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