CareDx, Inc. Form 8-K May 12, 2015

#### **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (date of earliest event reported): May 12, 2015

## CAREDX, INC.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

**001-36536** (Commission

94-3316839 (IRS Employer

of incorporation)

File No.) 3260 Bayshore Boulevard

**Identification Number**)

## Edgar Filing: CareDx, Inc. - Form 8-K

## Brisbane, California 94005

(Address of principal executive offices)

(415) 287-2300

(Registrant s telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- "Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

## ITEM 2.02. Results of Operations and Financial Conditions

On May 12, 2015, CareDx, Inc. (the Company) issued a press release announcing its financial results for the quarter ended March 31, 2015. In the press release, the Company also announced that it would be holding a conference call on May 12, 2015 to discuss its financial results for the quarter ended March 31, 2015. The full text of the press release is attached hereto as Exhibit 99.1 and is incorporated herein by reference.

This information is intended to be furnished under Item 2.02 and Item 9.01 of Form 8-K, Results of Operations and Financial Condition and shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Exchange Act ), or otherwise subject to the liabilities of that Section, nor shall be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as shall be expressly set forth by specific reference in such a filing.

#### ITEM 9.01 Financial Statements and Exhibits

(d) Exhibits

#### **Exhibit**

No. Description

99.1 Press release issued by CareDx, Inc. dated May 12, 2015

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CAREDX, INC.

Date: May 12, 2015

By: /s/ Ken Ludlum

Ken Ludlum

Chief Financial Officer

#### **EXHIBIT INDEX**

**Exhibit** 

No. Description

99.1 Press release issued by CareDx, Inc. dated May 12, 2015

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Dallas H James 710 MEDTRONIC PKWY MS LC310 MINNEAPOLIS, MN 55432

SrVP Quality & Operations

# **Signatures**

James N. Spolar, Attorney-in-fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options become exercisable at the rate of 25% of the shares granted per year beginning on the first anniversary of grant.
- The restrictions on these restricted stock units shall lapse 100% on the third anniversary of the grant date if the Company?s cumulative
  (2) diluted earnings per share growth during the 36 month period ending on the last day of the Company?s fiscal year 2011 equals or exceeds a 5% compound annual growth rate, as determined by the Compensation Committee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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