Edgar Filing: ROCKWELL AUTOMATION INC - Form 4

ROCKWELL Form 4 February 09, 2	AUTOMATION	N INC									
FORM	Л		an ann								PPROVAL
	UNITED	STATES		ITIES A hington				IGE (COMMISSION	OMB Number:	3235-0287
Check this if no longe subject to Section 16 Form 4 or	T STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES									Expires: Estimated burden hou response	urs per
Form 5 obligation: may contin <i>See</i> Instruct 1(b).	s Section 17(a	a) of the I		lity Hol	ding Co	mpa	any	Act o	ge Act of 1934, f 1935 or Sectic 40	on	
(Print or Type Ro	esponses)										
ALEWINE BETTY C Symbol			Name and Ticker or Trading					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 1201 SOUTH	(First) (M	liddle) EET	3. Date of (Month/Da 02/07/20	ay/Year)	ransactic	n			Director Difficer (give below)		6 Owner er (specify
	Filed(Month/Day/Year) Applicable Line) _X_ Form filed by Or				One Reporting P						
MILWAUKI	EE, WI 53204								Form filed by I Person	More than One R	eporting
(City)	(State)	(Zip)	Table	I - Non-l	Derivativ	e Sec	curit	ies Ac	quired, Disposed o	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any	ned n Date, if Day/Year)	3. Transact Code (Instr. 8) Code	Dispo (Instr	red (4 sed o 3, 4 a	A) or f (D))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial
Common Stock	02/07/2007			A <u>(1)</u>	500	ŀ	4	\$0	11,159	D (2)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (Right to Buy)	\$ 63.17	02/07/2007		A	1,500	02/07/2008 <u>(3)</u>	02/07/2017	Common Stock	1,500

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Reporting Owners

Reporting Owner Name / Address	Relationships							
F 8	Director	10% Owner	Officer	Other				
ALEWINE BETTY C 1201 SOUTH SECOND STREET MILWAUKEE, WI 53204								
Signatures								
Karen A. Balisteri, Attorney-in-Fac Alewine	t for Bett	у	02/09	/2007				
**Signature of Reporting Perso	n		D	ate				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares delivered as compensation for service as a director under the 2003 Directors Stock Plan.
- (2) 8,684 shares are held by Company to implement restrictions on transfer unless and until certain conditions are met.
- (3) The option vests in three substantially equal annual installments beginning on the date exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.