

SVB FINANCIAL GROUP
Form 4
February 01, 2007

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Webb David

(Last) (First) (Middle)

3003 TASMAN DRIVE

(Street)

SANTA CLARA, CA 95054

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

SVB FINANCIAL GROUP [SIVB]

3. Date of Earliest Transaction (Month/Day/Year)

02/01/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)

Chief Information Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| Common Stock | 02/01/2007 | | M ⁽¹⁾ | 1,365 | A \$ 36.56 | 4,993 | D |
| Common Stock | 02/01/2007 | | S ⁽¹⁾ | 1,365 | D \$ 46.93 | 3,628 | D |
| Common Stock | 02/01/2007 | | M ⁽¹⁾ | 200 | A \$ 36.56 | 3,828 | D |
| Common Stock | 02/01/2007 | | S ⁽¹⁾ | 200 | D \$ 46.89 | 3,628 | D |
| Common Stock | 02/01/2007 | | M ⁽¹⁾ | 100 | A \$ 36.56 | 3,728 | D |

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| | | | | | | | | |
|--------------|------------|-------------------------|-----|---|----------|-------|---|--------------------|
| Common Stock | 02/01/2007 | <u>S</u> ⁽¹⁾ | 100 | D | \$ 46.85 | 3,628 | D | |
| Common Stock | 02/01/2007 | <u>M</u> ⁽¹⁾ | 170 | A | \$ 36.56 | 3,798 | D | |
| Common Stock | 02/01/2007 | <u>S</u> ⁽¹⁾ | 170 | D | \$ 46.8 | 3,628 | D | |
| Common Stock | 02/01/2007 | <u>M</u> ⁽¹⁾ | 200 | A | \$ 36.56 | 3,828 | D | |
| Common Stock | 02/01/2007 | <u>S</u> ⁽¹⁾ | 200 | D | \$ 46.94 | 3,628 | D | |
| Common Stock | 02/01/2007 | <u>M</u> ⁽¹⁾ | 300 | A | \$ 36.56 | 3,928 | D | |
| Common Stock | 02/01/2007 | <u>S</u> ⁽¹⁾ | 300 | D | \$ 46.95 | 3,628 | D | |
| Common Stock | 02/01/2007 | <u>M</u> ⁽¹⁾ | 200 | A | \$ 36.56 | 3,828 | D | |
| Common Stock | 02/01/2007 | <u>S</u> ⁽¹⁾ | 200 | D | \$ 46.83 | 3,628 | D | |
| Common Stock | 02/01/2007 | <u>M</u> ⁽¹⁾ | 100 | A | \$ 36.56 | 3,728 | D | |
| Common Stock | 02/01/2007 | <u>S</u> ⁽¹⁾ | 100 | D | \$ 46.88 | 3,628 | D | |
| Common Stock | 02/01/2007 | <u>M</u> ⁽¹⁾ | 100 | A | \$ 36.56 | 3,728 | D | |
| Common Stock | 02/01/2007 | <u>S</u> ⁽¹⁾ | 100 | D | \$ 46.86 | 3,628 | D | |
| Common Stock | | | | | | 2,000 | I | By self restricted |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|
|--|--|--------------------------------------|--|--------------------------------|--|--|---|

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(Instr. 3, 4,
and 5)

| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
|---|----------|------------|--|------------------|---|-----|-------|---------------------|--------------------|-----------------|--|
| Incentive Stock Option (right to buy) | \$ 36.56 | 02/01/2007 | | M ⁽¹⁾ | | | 1,365 | 07/27/2005 | 07/27/2011 | Common Stock | 1,365 |
| Incentive Stock Option (right to buy) | \$ 36.56 | 02/01/2007 | | M ⁽¹⁾ | | | 200 | 07/27/2005 | 07/27/2011 | Common Stock | 200 |
| Incentive Stock Option (right to buy) | \$ 36.56 | 02/01/2007 | | M ⁽¹⁾ | | | 100 | 07/27/2005 | 07/27/2011 | Common Stock | 100 |
| Incentive Stock Option (right to buy) | \$ 36.56 | 02/01/2007 | | M ⁽¹⁾ | | | 170 | 07/27/2005 | 07/27/2011 | Common Stock | 170 |
| Incentive Stock Option (right to buy) | \$ 36.56 | 02/01/2007 | | M ⁽¹⁾ | | | 200 | 07/27/2005 | 07/27/2011 | Common Stock | 200 |
| Incentive Stock Option (right to buy) | \$ 36.56 | 02/01/2007 | | M ⁽¹⁾ | | | 300 | 07/27/2005 | 07/27/2011 | Common Stock | 300 |
| Incentive Stock Option (right to buy) | \$ 36.56 | 02/01/2007 | | M ⁽¹⁾ | | | 200 | 07/27/2005 | 07/27/2011 | Common Stock | 200 |
| Incentive Stock Option (right to buy) | \$ 36.56 | 02/01/2007 | | M ⁽¹⁾ | | | 100 | 07/27/2005 | 07/27/2011 | Common Stock | 100 |

| | | | | | | | | |
|---|----------|------------|------------------|-----|------------|------------|-----------------|-----|
| Incentive Stock Option (right to buy) | \$ 36.56 | 02/01/2007 | M ⁽¹⁾ | 100 | 07/27/2005 | 07/27/2011 | Common Stock | 100 |
|---|----------|------------|------------------|-----|------------|------------|-----------------|-----|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Webb David 3003 TASMAN DRIVE SANTA CLARA, CA 95054 | | | Chief Information Officer | |

Signatures

| | |
|---|---------------------|
| By: Lisa Bertolet as attorney in fact For: David C. Webb | 02/01/2007 |
| <small>**Signature of Reporting Person</small> | <small>Date</small> |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan entered into by the reporting person as of November 15, 2006.

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