Edgar Filing: FORMFACTOR INC - Form 4

| FORMFACT | OR INC | | | | | | | | | | | |
|---|---|---|--|----------------------------|----------|---------------|---|---|------------------------------|--------------------------|--|--|
| Form 4 | | | | | | | | | | | | |
| August 08, 20 | 014 | | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION | | | | | | | | PPROVAL | | | | |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | ONID | 3235-0287 | | | | |
| Washi | | | | | D.C. 205 | 549 | | | Number: | | | |
| Check this box if no longer | | | | | | CT 1 1 | | | Expires: | January 31, 2005 | | |
| subject to | SIAII | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF | | | | | | Estimated a | Estimated average | | | |
| Section 10 | | SECURITIES | | | | | | burden hours per | | | | |
| Form 4 or Form 5 | | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, | | | | | | | response | 0.5 | | |
| obligation | · · | | | | | | | of 1935 or Sectio | m | | | |
| may conti | nue. | | of the Inv | • | • | - · | | | /11 | | | |
| See Instru 1(b). | ction | 50(11) | or the m | vestment v | compan. | y 1100 | . 01 17 | 10 | | | | |
| 1(0). | | | | | | | | | | | | |
| (Print or Type R | esponses) | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | ddress of Reporting | ng Person [*] | | Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to | | | | |
| ROGAS EDWARD JR Symbol FORMF | | | | | | | | Issuer | | | | |
| | | | | ACTOR 1 | INC [FO | RM] | | (Check all applicable) | | | | |
| (Last) | (First) | Earliest Transaction | | | | | | | | | | |
| (Month/Da | | | | - | | | | X_ Director10% Owner | | | | |
| 7005 SOUTHFRONT ROAD 08/06/2 | | | 08/06/20 | 2014 | | | | Officer (give titleOther (specifybelow)below) | | | | |
| (Street) 4. If Amer | | | endment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | | | |
| | | | | nth/Day/Year) | | | | Applicable Line) | | | | |
| | | | | • | | | | _X_ Form filed by | | | | |
| LIVERMOR | E, CA 94551 | | | | | | | Person | More than One Re | eporting | | |
| (City) | (State) | (Zip) | | | • .• .• | | | | | | | |
| | | - | | | | | ties Ac | quired, Disposed o | | - | | |
| 1.Title of Security | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if | | | 3. 4. Securities | | | | 5. Amount of Securities | 6. Ownership Form: Direct | 7. Nature of Indirect | | |
| (Instr. 3) | (Monun/Day/ i e | any | TransactionAcquired (A) or Code Disposed of (D) | | | | Beneficially | | Beneficial | | | |
| (| | (Month/Day) | | | | | | | | Ownership | | |
| | | | | | | | | Following | (Instr. 4) | (Instr. 4) | | |
| | | | | | | (A) | | Reported Transaction(s) | | | | |
| | | | | Code V | Amount | or | Drigo | (Instr. 3 and 4) | | | | |
| Common | | | | Code V | 4,000 | (D) | Price | | | | | |
| Stock | 08/06/2014 | | | М | (1) | А | \$0 | 30,000 | D | | | |
| 2.0001 | | | | | _ | | | | | | | |
| | | | | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | Code | Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Pr Deriv Secu (Inst |
|---|---|---|--------|--|-----------|--|--------------------|---|--|---------------------------------|
| | | | Code V | and 5 | 5) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | \$ 0 | 08/06/2014 | М | | 4,000 | (2) | (3) | Common Stock | 4,000 | \$ |

Reporting Owners

| Reporting Owner Name / Address | | Relationsh | | | |
|--|------------|------------|---------|-------|--|
| 1 | Director | 10% Owner | Officer | Other | |
| ROGAS EDWARD JR 7005 SOUTHFRONT ROAD LIVERMORE, CA 94551 | Х | | | | |
| Signatures | | | | | |
| By: /s/ Stuart L Merkadeau, At Rogas, Jr. | 08/08/2014 | | | | |
| **Signature of | Date | | | | |

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents vested shares of common stock issued pursuant to the conversion of 100% of the restricted stock units granted on August 6, (1)2013.

Restricted Stock Units vest and become exercisable in 12 equal monthly installments with the vesting dates beginning on September 6, 2013 and ending on August 6, 2014. Settlement of vested Units into common stock will occur on the earlier of August 6, 2014 and the

- (2) date the reporting person ceases to provide services to the Issuer, or thereafter, on the first market trading day in an open trading window under Issuer's insider trading policy if the applicable date is not a market trading day in an open trading window.
- (3) The restricted stock units released were settled in shares of common stock and were immediately cancelled upon settlement.

Remarks:

THE CONFIRMING STATEMENT GRANTING THE ATTORNEY-IN-FACT THE AUTHORITY TO EXECUTE AND FI

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.