#### GENERAL ELECTRIC CO

Form 4 July 26, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average burden hours per

response...

January 31,

0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person ** Comstock Elizabeth J |            |          | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>GENERAL ELECTRIC CO [GE] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                      |  |  |  |
|---|------------|----------|---|---|--|--|--|
| (Last)  | (First)    | (Middle) | 3. Date of Earliest Transaction   | (Check an applicable)   |  |  |  |
|   |            |          | (Month/Day/Year)  | Director 10% Owner  |  |  |  |
| GENERAL ELECTRIC  |            |          | 07/25/2016  | _X_ Officer (give title Other (specify  |  |  |  |
| COMPANY, 3135 EASTON<br>TURNPIKE                                |            | ΓΟΝ      |   | below) below)  Vice Chairman  |  |  |  |
|   | (Street)   |          | 4. If Amendment, Date Original  | 6. Individual or Joint/Group Filing(Check   |  |  |  |
| FAIRFIELD   | . CT 06828 |          | Filed(Month/Day/Year)   | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting |  |  |  |
|   | ,          |          |   | Person  |  |  |  |

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-E   | Derivative : | Securi           | ities Acqu  | iired, Disposed of   | f, or Beneficial                              | ly Owned  |
|--------------------------------------|---|---|--|--------------|------------------|-------------|--|---|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. 4. Securities A<br>Transaction(A) or Dispose<br>Code (Instr. 3, 4 and<br>(Instr. 8) |              |                  | of (D)      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|                                      |   |   | Code V   | Amount       | (A)<br>or<br>(D) | Price       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   | (Instr. 4)                                    |   |
| Common<br>Stock                      | 07/25/2016                              |   | M  | 15,000       | A                | \$ 0        | 174,123  | D   |   |
| Common<br>Stock                      | 07/25/2016                              |   | F  | 8,207        | D                | \$<br>31.73 | 165,916  | D   |   |
| Common<br>Stock                      |   |   |  |              |                  |             | 26,343   | I   | 401(k)<br>plan  |
| Common<br>Stock                      |   |   |  |              |                  |             | 1,267  | I   | by family   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: GENERAL ELECTRIC CO - Form 4

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5. Number of 6. Date Exercisable and

SEC 1474 (9-02)

7. Title and Amount of

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| Derivative<br>Security<br>(Instr. 3) | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | (Month/Day/Year) | Execution Date, if<br>any<br>(Month/Day/Year) | Transactio<br>Code<br>(Instr. 8) | Securities |        | Expiration Date<br>(Month/Day/Year) |                    | Underlying Securities (Instr. 3 and 4) |                                     |
|--------------------------------------|---|------------------|---|----------------------------------|------------|--------|-------------------------------------|--------------------|--|-------------------------------------|
|                                      |   |                  |   | Code V                           | (A)        | (D)    | Date<br>Exercisable                 | Expiration<br>Date | Title                                  | Amount<br>or<br>Number<br>of Shares |
| Restricted<br>Stock<br>Units         | <u>(1)</u> <u>(2)</u>   | 07/25/2016       |   | M                                |            | 15,000 | 07/25/2016                          | 07/25/2016         | Common<br>Stock                        | 15,000                              |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

3. Transaction Date 3A. Deemed

Director 10% Owner Officer Other

Comstock Elizabeth J

1. Title of

GENERAL ELECTRIC COMPANY
Vice
3135 EASTON TURNPIKE
Chairman
FAIRFIELD, CT 06828

## **Signatures**

Brian Sandstrom on behalf of Elizabeth Comstock

07/26/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- **(1)** 1 for 1
- (2) All transactions reported on this Form 4 were conducted outside a trading venue.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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