

GENERAL ELECTRIC CO

Form 3

November 16, 2015

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â DIMITRIEF ALEXANDER

(Last) (First) (Middle)

GENERAL ELECTRIC
COMPANY,Â 3135 EASTON
TURNPIKE

(Street)

FAIRFIELD,Â CTÂ 06828

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

11/06/2015

3. Issuer Name and Ticker or Trading Symbol
GENERAL ELECTRIC CO [GE]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

Senior Vice President

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

17,896

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	Â (2)	Â (2)	Common Stock	5,000	\$ (1)	D	Â
Restricted Stock Units	Â (3)	Â (3)	Common Stock	20,000	\$ (1)	D	Â
Restricted Stock Units	Â (4)	Â (4)	Common Stock	30,000	\$ (1)	D	Â
Restricted Stock Units	Â (5)	Â (5)	Common Stock	20,000	\$ (1)	D	Â
Employee Stock Options (right to buy) (6)	02/09/2008	02/09/2017	Common Stock	25,000	\$ 35.53	D	Â
Employee Stock Options (right to buy) (6)	09/07/2008	09/07/2017	Common Stock	17,500	\$ 38.75	D	Â
Employee Stock Options (right to buy) (6)	09/09/2009	09/09/2018	Common Stock	25,000	\$ 28.12	D	Â
Employee Stock Options (right to buy) (6)	06/10/2011	06/10/2020	Common Stock	175,000	\$ 15.68	D	Â
Employee Stock Options (right to buy) (6)	06/09/2012	06/09/2021	Common Stock	250,000	\$ 18.58	D	Â
Employee Stock Options (right to buy) (6)	09/07/2013	09/07/2022	Common Stock	250,000	\$ 21.59	D	Â
Employee Stock Options (right to buy) (6)	09/13/2014	09/13/2023	Common Stock	225,000	\$ 23.78	D	Â
Employee Stock Options (right to buy) (6)	09/05/2015	09/05/2024	Common Stock	225,000	\$ 26.1	D	Â
Employee Stock Options (right to buy) (6)	09/11/2016	09/11/2025	Common Stock	210,000	\$ 24.95	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DIMITRIEF ALEXANDER GENERAL ELECTRIC COMPANY 3135 EASTON TURNPIKE FAIRFIELD,Â CTÂ 06828	Â	Â	Â Senior Vice President	Â

Signatures

Brian Sandstrom on behalf of Alexander
Dimitrief

11/16/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1-for-1
- (2) 20,000 units granted 02/09/2007; 5,000 units vested on 02/09/2010 ; 5,000 units vested on 02/09/2012 ; 5,000 vested on 02/09/2014; and 5,000 are scheduled to vest on 02/09/2017.
- (3) 50,000 units granted 07/27/2012; 10,000 units vested on 07/27/2013 ; 10,000 units vested on 07/27/2014 ; 10,000 vested on 07/29/2015; 10,000 are scheduled to vest on 07/27/2016 and 10,000 are scheduled to vest on 07/27/2017.
- (4) 50,000 units granted 07/25/2013; 10,000 units vested on 07/25/2014 ; 10,000 units vested on 07/25/2015 ; 10,000 are scheduled to vest on 07/25/2016; 10,000 are scheduled to vest on 07/25/2017 and 10,000 are scheduled to vest on 07/25/2018.
- (5) 25,000 units granted 07/24/2014; 5,000 units vested on 7/24/2015; 5,000 are scheduled to vest on 07/24/2016; 5,000 are scheduled to vest on 07/24/2017; 5,000 are scheduled to vest on 07/24/2018; 5,000 are scheduled to vest on 07/24/2019.
- (6) The options become exercisable in five equal installments of 20% each beginning on the "Date Exercisable" shown to the right, and another 20% each year thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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