

McCarthy John P.
Form 4
September 10, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
McCarthy John P.

2. Issuer Name **and** Ticker or Trading
Symbol
HORACE MANN EDUCATORS
CORP /DE/ [HMN]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
1 HORACE MANN PLAZA
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
09/06/2018

____ Director ____ 10% Owner
____ Officer (give title below) ____ Other (specify below)
Senior Vice President

SPRINGFIELD, IL 62715

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/06/2018		M	V Amount (A) or (D) Price 5,000 A \$ 31.01	29,697.788 (1)	D	
Common Stock	09/06/2018		S	5,000 D \$ 46.5794 (2)	24,697.788 (3)	D	
Common Stock	09/07/2018		M	3,992 A \$ 31.01	28,689.788 (4)	D	
Common Stock	09/07/2018		S	1,975 D \$ 46.5	26,714.788 (5)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 31.01	09/06/2018		M		5,000		03/09/2018	03/09/2026	Common Stock	5,000
Employee Stock Option (right to buy)	\$ 31.01	09/07/2018		M		3,992		03/09/2018	03/09/2026	Common Stock	3,992

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
McCarthy John P. 1 HORACE MANN PLAZA SPRINGFIELD, IL 62715	Senior Vice President

Signatures

Linea K. Michael, Attorney in Fact for John P.
McCarthy 09/10/2018

____Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents 14,542.788 vested restricted stock units and 15,155 shares of Common Stock.

(2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$46.57 to \$46.60.

(3) Represents 14,542.788 vested restricted stock units and 10,155 shares of Common Stock.

(4) Represents 14,542.788 vested restricted stock units and 14,147 shares of Common Stock.

(5) Represents 14,542.788 vested restricted stock units and 12,172 shares of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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