

CONKLIN BRET A
Form 4
March 08, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CONKLIN BRET A

2. Issuer Name and Ticker or Trading Symbol
HORACE MANN EDUCATORS CORP /DE/ [HMN]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
1 HORACE MANN PLAZA
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
03/06/2018

____ Director
____ Officer (give title below) _____ 10% Owner
____ Other (specify below)
EVP & CFO

SPRINGFIELD, IL 62715

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	03/06/2018		M		7,100 A \$ 17.01	D	
Common Stock	03/06/2018		S		2,938 D \$ 42.807	D	
Common Stock ⁽⁴⁾	03/06/2018		A		1,515 A \$ 0	D	
Common Stock ⁽⁵⁾	03/06/2018		A		3,477.714 A \$ 0	D	
Common Stock						I	401K ⁽⁷⁾

Edgar Filing: CONKLIN BRET A - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
Employee Stock Option (right to buy)	\$ 17.01	03/06/2018		M	7,100	03/09/2015 03/09/2018	Common Stock
Employee Stock Option (right to buy)	\$ 42.95	03/06/2018		A	13,676	08/08/1988 ⁽⁸⁾ 03/06/2028	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CONKLIN BRET A 1 HORACE MANN PLAZA SPRINGFIELD, IL 62715			EVP & CFO	

Signatures

Linea K. Michael, Attorney in Fact for Bret A. Conklin
Date: 03/08/2018

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Represents 29,469.007 vested restricted stock units, 9,101.591 deferred restricted stock units and 7,230.131 shares of Common Stock.
- (2)

Edgar Filing: CONKLIN BRET A - Form 4

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$42.75 to \$42.85.

- (3) Represents 29,469.007 vested restricted stock units, 9,101.591 deferred restricted stock units and 4,292.131 shares of Common Stock.
- (4) The acquisition of Common Stock reported hereby is in the form of restricted stock units which will vest in three equal annual installments beginning March 6, 2019.
- (5) The acquisition of Common Stock reported hereby is in the form of restricted stock units which are fully vested.
- (6) Represents 32,946.721 vested restricted stock units, 9,101.591 deferred restricted stock units and 4,292.131 shares of Common Stock.
- (7) Held by the Horace Mann Supplemental Retirement & Savings Trust (401(K)).
- (8) The option vests in four equal annual installments beginning on March 6, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.