#### LANSING WILLIAM J

Form 4

December 11, 2017

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * LANSING WILLIAM J |         |          | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>FAIR ISAAC CORP [FICO] | 5. Relationship of Reporting Person(s) to Issuer   |  |  |
|---|---------|----------|---|--|--|--|
| (Last)  | (First) | (Middle) | 3. Date of Earliest Transaction   | (Check all applicable)   |  |  |
| 181 METRO   | O DRIVE |          | (Month/Day/Year)<br>12/08/2017  | Director 10% Owner Officer (give title Other (specify below)  President and CEO                      |  |  |
| (Street) SAN JOSE, CA 95110                                 |         |          | 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(C            |  |  |  |
|   |         |          | Filed(Month/Day/Year)   | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |
| (City)  | (State) | (Zip)    | Table I - Non-Derivative Securities Ac  | quired, Disposed of, or Beneficially Owned   |  |  |

|                                      |   | Tuble 1 1 for Berraut & Securities Required, Disposed of, or Beneficially 6 when              |        |               |  |              |   |  |  |  |
|--------------------------------------|---|---|--------|---------------|--|--------------|---|--|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | Transaction Date 2A. Deemed onth/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) |        |               | 3. 4. Securities Acquired (A) Transactionr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) |              |   | Securities Ownership Indirect<br>Beneficially Form: Benefici | 7. Nature of Indirect Beneficial Ownership |  |
|                                      |   |   | Code V | Amount        | (A)<br>or<br>(D)   | Price        | Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | or Indirect (I) (Instr. 4)                                   | (Instr. 4)                                 |  |
| Common<br>Stock                      | 12/08/2017                              |   | M      | 80,443        | A  | \$ 0         | 257,057   | D  |  |  |
| Common<br>Stock                      | 12/08/2017                              |   | F      | 41,979<br>(1) | D  | \$<br>157.31 | 215,078   | D  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and A Underlying So (Instr. 3 and 4 |
|---|---|---|---|--|--|--------|--|--------------------|--|
|   |   |   |   | Code V                                 | (A)  | (D)    | Date Exercisable   | Expiration<br>Date | Title  |
| Market Share<br>Units                               | (2)   | 12/08/2017                              |   | A                                      | 15,440<br>(3)  |        | 12/08/2017   | <u>(4)</u>         | Common<br>Stock                              |
| Market Share<br>Units                               | <u>(2)</u>  | 12/08/2017                              |   | M                                      |  | 15,440 | 12/08/2017   | <u>(4)</u>         | Common<br>Stock                              |
| Market Share<br>Units                               | <u>(2)</u>  | 12/08/2017                              |   | A                                      | 13,656<br>(5)  |        | 12/08/2017   | <u>(4)</u>         | Common<br>Stock                              |
| Market Share<br>Units                               | <u>(2)</u>  | 12/08/2017                              |   | M                                      |  | 13,656 | 12/08/2017   | <u>(4)</u>         | Common<br>Stock                              |
| Market Share<br>Units                               | <u>(2)</u>  | 12/08/2017                              |   | A                                      | 8,874<br>(6)   |        | 12/08/2017   | <u>(4)</u>         | Common<br>Stock                              |
| Market Share<br>Units                               | <u>(2)</u>  | 12/08/2017                              |   | M                                      |  | 8,874  | 12/08/2017   | <u>(4)</u>         | Common<br>Stock                              |
| Performance<br>Share Units                          | <u>(7)</u>  | 12/08/2017                              |   | M                                      |  | 7,115  | 12/08/2015(8)  | <u>(4)</u>         | Common<br>Stock                              |
| Performance<br>Share Units                          | <u>(7)</u>  | 12/08/2017                              |   | M                                      |  | 11,388 | 12/08/2016 <sup>(8)</sup>                                | <u>(4)</u>         | Common<br>Stock                              |
| Performance<br>Share Units                          | <u>(7)</u>  | 12/08/2017                              |   | M                                      |  | 13,208 | 12/08/2017(8)  | <u>(4)</u>         | Common<br>Stock                              |
| Restricted<br>Stock Units                           | <u>(9)</u>  | 12/08/2017                              |   | M                                      |  | 5,121  | 12/08/2016(10)   | <u>(4)</u>         | Common<br>Stock                              |
| Restricted<br>Stock Units                           | <u>(9)</u>  | 12/08/2017                              |   | M                                      |  | 5,641  | 12/08/2017(10)   | <u>(4)</u>         | Common<br>Stock                              |
| Restricted<br>Stock Units                           | <u>(9)</u>  | 12/08/2017                              |   | A                                      | 20,147   |        | 12/08/2018(10)   | <u>(4)</u>         | Common<br>Stock                              |

# **Reporting Owners**

| Reporting Owner Name / Address       | Relationships |           |                   |       |  |  |  |  |
|--------------------------------------|---------------|-----------|-------------------|-------|--|--|--|--|
| <b>Fg</b>                            | Director      | 10% Owner | Officer           | Other |  |  |  |  |
| LANSING WILLIAM J<br>181 METRO DRIVE |               |           | President and CEO |       |  |  |  |  |
| SAN JOSE, CA 95110                   |               |           |                   |       |  |  |  |  |

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### **Signatures**

/s/Nancy E. Fraser, Attorney-in-fact

12/11/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld by Company for payment of taxes due at vesting from earned performance share units, earned market share units, and restricted stock units.
- (2) Each earned market share unit represents a right to receive one share of Fair Isaac common stock contingent upon continued employment.
- On December 8, 2014, the reporting person was granted a target award of 23,160 market share units. The award vests in three equal annual installments from the grant date based on the Company's satisfaction of certain performance criteria for each of the performance periods ending November 30, 2015, 2016, 2017. The performance criteria for 2017 were met, resulting in the award of market share units being reported herein.
- (4) No expiration date.
- On December 8, 2015, the reporting person was granted a target award of 20,485 market share units. The award vests in three equal annual installments from the grant date based on the Company's satisfaction of certain performance criteria for each of the performance periods ending November 30, 2016, 2017, 2018. The performance criteria for 2017 were met, resulting in the award of market share units being reported herein.
- On December 8, 2016, the reporting person was granted a target award of 22,561 market share units. The award vests in three equal annual installments from the grant date based on the Company's satisfaction of certain performance criteria for each of the performance periods ending November 30, 2017, 2018, 2019. The performance criteria for 2017 were met, resulting in the award of market share units being reported herein.
- (7) Each earned performance share unit represents a right to receive one share of Fair Isaac common stock contingent upon continued employment.
- (8) The performance share units vest in three equal annual installments commencing on this date and one share will be delivered to the reporting person for each vested unit as soon as practicable thereafter.
- (9) Each restricted stock unit represents a right to receive one share of Fair Isaac common stock contingent upon continued employment.
- (10) The restricted stock units vest in four equal annual installments commencing on this date and vested shares will be delivered to the reporting person as soon as practicable thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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