OWEN JOSEPH J

Form 5 May 13, 2009

Common

Stock

Â

Â

#### OMB APPROVAL

FURIN	1 0							· · · · · · · · · · · · · · · · · · ·		
		TATES SECUR				GE CO	OMMISSION	OMB Number:	3235-0362	
Check this										
no longer subject to Section 16. Form 4 or Form 5 obligations may continue.  ANNUAL S			TATEMENT OF CHANGES IN BEN OWNERSHIP OF SECURITIES				FICIAL	Expires: Estimated a burden hou response	rs per	
See Instruct 1(b). Form 3 Ho Reported Form 4 Transactio Reported	Filed purs sldings Section 17(a	uant to Section 10 ) of the Public Ut 30(h) of the In	ility Holding	g Compa	ny A	ct of	1935 or Sectio	on		
1. Name and A OWEN JOS	ddress of Reporting P EPH J	Symbol	Name <b>and</b> Tick  IBUS MCK  ]			]	5. Relationship of Issuer (Chec	f Reporting Pers		
(Last)	(First) (M	(Month/D	(1/1011dill Buj/ 1 call)				Director X Officer (given below)	e title Other	Owner er (specify	
140 JOHN J PARKWAY	AMES AUDUBC						VP and .	Hoist Group Le	ader	
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Reporting  (check applicable line)			
AMHERST,	NY 14228-11	97					_X_ Form Filed by Form Filed by Person	One Reporting P More than One R		
(City)	(State)	Zip) Table	e I - Non-Deri	vative Sec	uritie	s Acqu	ired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end	6. Ownership Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership	
				Amount	(A) or (D)	Price	of Issuer's Fiscal Year (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)	
Common Stock	Â	Â	Â	Â	Â	Â	4,174 <u>(1)</u>	D	Â	
Common Stock	03/31/2009	Â	A	121	A	\$0	1,836 (2)	D	Â	

Â

Â Â 1,327

I

By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Number		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securit (Instr. 3 and 4)	
					(A) (I	D)	Date Exercisable	Expiration Date	Title	Amor or Numl of Sh
Incentive Stock Options (Right to Buy)	\$ 10	Â	Â	Â	Â	À	(3)	08/19/2011	Common Stock	22,5
Incentive Stock Options (Right to Buy)	\$ 5.46	Â	Â	Â	Â	À	05/17/2005	05/16/2014	Common Stock	7,5
Non-Qualified Stock Options (Right to Buy)	\$ 28.45	Â	Â	Â	Â	À	05/19/2009	05/18/2018	Common Stock	1,6

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting owner runner returness	Director 10% Owner		Officer	Other			
OWEN JOSEPH J 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197	Â	Â	VP and Hoist Group Leader	Â			

## **Signatures**

Joseph J. Owen 05/12/2009

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 2,030 shares of restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; 876 shares become fully vested and non-forfeitable 33.33%

Reporting Owners 2

#### Edgar Filing: OWEN JOSEPH J - Form 5

on May 19, 2011, 33.33% on May 19, 2012 and 33.33% on May 19, 2013; if reporting person remains an employee of issuer and 1,154 shares become fully vested and non-forfeitable 33.33% on May 19, 2012, 33.33% on May 19, 2013 and 33.33% on May 19, 2014; if reporting person remains an employee of issuer and achieves performance targets.

- (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- Originally a 40,500 share stock option, reporting person exercised 10,500 options on 2/13/2006, 2,500 options on 4/11/2006 and 5,000 options on 4/20/2006. The remaining 22,500 options are fully exercisable, subject to IRS limitations.
- Originally a 30,000 share stock option, reporting person exercised 7,500 options on 7/28/2005, 7,500 options on 6/11/2007 and 7,500 options on 2/08/2008. The remaining 7,500 options are fully exercisable, subject to IRS limitations.
- (5) Exercisable 25% per year for four years, beginning 5/19/09, subject to IRS limitations.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.