Edgar Filing: TEVENS TIMOTHY T - Form 5

TEVENS TIMOTHY T

Form 5 May 12, 2009

Common

Stock

Â

Â

OMB APPROVAL FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer **TEVENS TIMOTHY T** Symbol **COLUMBUS MCKINNON CORP** (Check all applicable) [CMCO] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) _X_ Director 10% Owner _X__ Officer (give title Other (specify (Month/Day/Year) below) below) 03/31/2009 President & CEO 140 JOHN JAMES AUDUBON **PARKWAY** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) AMHERST, Â NYÂ 14228-1197 _X_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 5. Amount of 6. Ownership 7. Nature of 4. Securities Security (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end Indirect (I) Ownership of Issuer's (Instr. 4) (Instr. 4) (A) Fiscal Year or (Instr. 3 and 4) (D) Price Amount Common Â Â Â Â Â Â Â 54,183 (1) D Stock Common Â Â 03/31/2009 Α 121 A \$0 5,469 (2) D Stock

Â

Â

Â

7,000

Ι

Â

By spouse

Edgar Filing: TEVENS TIMOTHY T - Form 5

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		;		7. Title and Amoun Underlying Securit (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amor Numl Share
Incentive Stock Options (Right to Buy)	\$ 10	Â	Â	Â	Â	Â	08/20/2005	08/19/2011	Common Stock	38,
Non-Qualified Stock Options (Right to Buy)	\$ 10	Â	Â	Â	Â	Â	08/20/2003	08/19/2011	Common Stock	21,
Incentive Stock Options (Right to Buy)	\$ 5.46	Â	Â	Â	Â	Â	05/17/2005	05/16/2014	Common Stock	125
Non-Qualified Stock Options (Right to Buy)	\$ 28.45	Â	Â	Â	Â	Â	05/19/2009	05/18/2018	Common Stock	8,7

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
TEVENS TIMOTHY T							
140 JOHN JAMES AUDUBON PARKWAY	ÂΧ	Â	President & CEO	Â			
AMHERST Â NYÂ 14228-1197							

Signatures

Reporting Person

Timothy T.	05/12/2009		
Tevens	03/12/2009		
**Signature of	Date		

Reporting Owners 2

Edgar Filing: TEVENS TIMOTHY T - Form 5

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Includes 10,857 shares of restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; 4,629 shares become fully vested and non-forfeitable
- (1) 33.33% on May 19, 2011, 33.33% on May 19, 2012 and 33.33% on May 19, 2013; if reporting person remains an employee of issuer and 6,228 shares become fully vested and non-forfeitable 33.33% on May 19, 2012, 33.33% on May 19, 2013 and 33.33% on May 19, 2014; if reporting person remains an employee of issuer and achieves performance targets.
- (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (3) All exercisable, subject to IRS limitations.
 - Represents non-qualified stock options (originally reported as incentive stock options) issued to reporting person under the Columbus
- (4) McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006; options become exercisable 25% per year, beginning 5/19/09 for the next 4 years.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.