**Thomas Martin** Form 4 February 22, 2019

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* **Thomas Martin** 

2. Issuer Name and Ticker or Trading Symbol

Issuer

Fortune Brands Home & Security,

(Check all applicable)

SVP Ops & Supply Chain Strategy

5. Relationship of Reporting Person(s) to

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director 10% Owner X\_ Officer (give title Other (specify below)

FORTUNE BRANDS HOME & SECURITY, INC., 520 LAKE

COOK ROAD

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

Inc. [FBHS]

02/21/2019

(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

DEERFIELD, IL 60015

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Indirect Form: Direct (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

Code V Amount

Reported (A)

Price

Transaction(s) (Instr. 3 and 4)

Common

Value \$0.01

Stock, Par 02/21/2019  $A^{(1)}$ 

3,139 Α \$0

(D)

9,369 (2)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Edgar Filing: Thomas Martin - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options (Right to Buy)	\$ 47.99	02/21/2019		A(3)	13,169	<u>(4)</u>	02/21/2029	Common Stock	13,169

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Strategy

SVP Ops & Supply Chain

Thomas Martin

FORTUNE BRANDS HOME & SECURITY, INC.

520 LAKE COOK ROAD

DEERFIELD, IL 60015

**Signatures** 

/s/ Angela M. Pla, Attorney-in-Fact for Marty

Thomas

\*\*Signature of Reporting Person

02/22/2019

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reflects the grant of restricted stock units awarded to the reporting person that vest in three equal annual installments, subject to

- (1) continued employment through such vesting dates. Each restricted stock until represents a contingent right to receive one share of the issuer's common stock.
- (2) Includes a total of 8,629 restricted stock units that have not yet vested.
- (3) Reflects the grant of options under the issuer's Long-Term Incentive Plan.
- (4) The options vest in three equal annual installments beginning on February 28, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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