Edgar Filing: Sachleben Mark - Form 4

| Sachleben M Form 4 February 20 | | | | | | | | | | | | |
|--|--|--------------|-------------------|--|--|--------------------------|----------------------|---|--|---|--|--|
| FORM | ЛЛ | | | | | | | | OMB AP | PROVAL | | |
| FURI | UNITED | STATES | | RITIES A | | | NGE CO | OMMISSION | OMB Number: | 3235-0287 | | |
| Check this box if no longer subject to Section 16. Form 4 or | | | | U | Expires: Estimated av burden hour | 0 | | | | | | |
| Form 5 obligati may con <i>See</i> Inst 1(b). | Filed put ons ntinue. ruction | (a) of the H | Public U | | lding Co | mpan | y Act of | Act of 1934, 1935 or Section | response | 0.5 | | |
| | Address of Reporting | Derson * | . . | N | 1 75. 1 | T 1 | | 5 Delationship of P | Paparting Parso | n(s) to | | |
| Sachleben | | | Symbol | er Name an RELIC, II | | | | lssuer | | | | |
| (Last) | (First) (| Middle) | | of Earliest 7 | - | - | | (Check | (Check all applicable) | | | |
| | RELIC, INC., 18 REET, STE. 120 | | (Month/ 02/15/ | Day/Year) 2019 | | | | Director X Officer (give the pelow) Chief Fi | | Owner · (specify r | | |
| | (Street) | 05 | | nendment, D onth/Day/Yea | - | al | | 6. Individual or Join Applicable Line) _X_ Form filed by Or Form filed by Mo | e Reporting Per | son | | |
| | NCISCO, CA 941 | | | | | | | Person | - | - | | |
| (City) | (State) | (Zip) | | | | | - | ired, Disposed of, | | | | |
| 1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year) | | | | 3. Transactio Code (Instr. 8) | 4. Securit: mDisposed (Instr. 3, 4 | of (D) 4 and 5 (A) | | or 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | | | |
| Common Stock | 02/15/2019 | | | М | 589 | А | \$0 | 834 <u>(1)</u> | D | | | |
| Common Stock | 02/15/2019 | | | М | 3,433 | А | \$0 | 4,267 | D | | | |
| Common Stock | 02/15/2019 | | | М | 1,834 | А | \$0 | 6,101 | D | | | |
| Common Stock | 02/15/2019 | | | М | 1,540 | A | \$0 | 7,641 | D | | | |
| Common Stock | 02/19/2019 | | | S | 2,582 (2) | D | \$ 106.733 (3) | 1 5,059 | D | | | |

(3)

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| Common Stock | 02/19/2019 | G | V | 5,059 | D | \$ 0 | 0 | D | |
|-----------------|------------|---|---|---------------|---|-----------------------|---------|---|----------------|
| Common Stock | 02/19/2019 | G | v | 5,059 | A | \$ 0 | 223,222 | Ι | By Trust (4) |
| Common Stock | 02/19/2019 | S | | 245 | D | \$ 106.86 | 222,977 | Ι | By Trust (4) |
| Common Stock | 02/19/2019 | S | | 15,000 (5) | D | \$ 106.7754 (6) | 207,977 | I | By Trust |
| Common Stock | 02/20/2019 | S | | 4,814 (5) | D | \$ 105.65 | 203,163 | Ι | By Trust (4) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Derivative Expiration Date urities (Month/Day/Year) urited or posed of str. 3, 4, | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Pr Deriv Secu (Inst |
|---|---|---|---|---------------------------------------|---|--|--------------------|---|--|---------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | \$ 0 | 02/15/2019 | | М | 589 | (7) | (7) | Common Stock | 589 | \$ |
| Restricted Stock Units | \$ 0 | 02/15/2019 | | М | 3,433 | (8) | (8) | Common Stock | 3,433 | \$ |
| Restricted Stock Units | \$ 0 | 02/15/2019 | | М | 1,834 | <u>(9)</u> | <u>(9)</u> | Common Stock | 1,834 | \$ |
| Restricted Stock Units | \$ 0 | 02/15/2019 | | М | 1,540 | (10) | (10) | Common Stock | 1,540 | \$ |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|-------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Sachleben Mark C/O NEW RELIC, INC. 188 SPEAR STREET, STE. 1200 SAN FRANCISCO, CA 94105 | | | Chief Financial Officer | | | | |
| Signatures | | | | | | | |
| Mark Sachleben, by /s/ Ron A. Met Attorney-in-Fact | zger, | | 02/20/2019 | | | | |

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 245 shares acquired on February 14, 2019 under the Issuer's Employee Stock Purchase Plan.
- (2) The Reporting Person made a prior election to sell only the number of shares of common stock necessary to cover applicable tax withholding obligations realized upon the vesting of restricted stock units, as well as any related brokerage commission fees.
- (3) The shares were sold at prices ranging from \$106.57 to \$106.97. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (4) Shares are beneficially owned directly by Mark J. Sachleben & Lynda F. Sullivan, Trustees of the Sachleben Sullivan Living Trust dated August 22, 2012, of which the Reporting Person is a Trustee.
- (5) Shares sold pursuant to a 10b5-1 plan.
- (6) The shares were sold at prices ranging from \$106.5859 to \$106.86. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

Represents Restricted Stock Units ("RSUs"). The RSUs will vest in equal quarterly installments from August 15, 2018 (the "2018
 (7) Vesting Start Date") until the fourth anniversary of the 2018 Vesting Start Date, in each case subject to the Reporting Person's Continuous Service (as defined in the 2014 Equity Incentive Plan) on such vesting date.

Represents Restricted Stock Units ("RSUs"). The RSUs will vest as follows: 10% of the RSUs shall vest on the first anniversary of May 15, 2015 (the "2015 Vesting Start Date"); 3.75% of the RSUs shall vest in equal quarterly installments thereafter until the second

(8) anniversary of the 2015 Vesting Start Date; 5.00% of the RSUs shall vest in equal quarterly installments thereafter until the third anniversary of the 2015 Vesting Start Date; and 13.75% of the RSUs shall vest in equal quarterly installments thereafter until the fourth anniversary of the 2015 Vesting Start Date, in each case subject to the Reporting Person's Continuous Service (as defined in the 2014 Equity Incentive Plan) on such vesting date.

The RSUs will vest in equal quarterly installments from May 15, 2016 (the "2016 Vesting Start Date") until the fourth anniversary of the
 2016 Vesting Start Date, in each case subject to the Reporting Person's Continuous Service (as defined in the 2014 Equity Incentive Plan) on such vesting date.

The RSUs will vest in equal quarterly installments from May 15, 2017 (the "2017 Vesting Start Date") until the fourth anniversary of the (10) 2017 Vesting Start Date, in each case subject to the Reporting Person's Continuous Service (as defined in the 2014 Equity Incentive

Plan) on such vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.