KIM JAMES J Form 4

February 20, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

KIM JAMES J

(Last) (First) (Middle)

2045 EAST INNOVATION **CIRCLE** 

(Street)

(State)

(Zip)

**TEMPE, AZ 85284** 

(City)

Common

Stock

2. Issuer Name and Ticker or Trading

Symbol

AMKOR TECHNOLOGY, INC. [AMKR]

3. Date of Earliest Transaction (Month/Day/Year)

02/15/2019

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

\_X\_\_ Director

Applicable Line)

Officer (give title

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

4. Securities

(A) or Code V Amount (D) Price Beneficially Owned Following Reported

5. Amount of

Securities

(Instr. 4) Transaction(s) (Instr. 3 and 4)

54,716,203 (1) D

Stock Common 14,394,497 <sup>(2)</sup> I

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Exhibit 99.1

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

X\_\_ 10% Owner \_X\_ Other (specify

6. Ownership 7. Nature of

Indirect

Beneficial

Ownership

By Self as

Trustee

(Instr. 4)

Form: Direct

(D) or

Indirect (I)

Estimated average

burden hours per

#### Edgar Filing: KIM JAMES J - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Employee Stock Option (Right-to-Buy)	\$ 9.48	02/15/2019		A	250,000	02/15/2020(3)	02/15/2029	Amkor Technolog Inc., Commo

Stock

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
KIM JAMES J						
2045 EAST INNOVATION CIRCLE	X	X		Exhibit 99.1		
TEMPE, AZ 85284						

## **Signatures**

Jerry C. Allison, Attorney-in-Fact for James
J. Kim
02/20/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 49,594,980 shares held by 915 Investments, LP, in which the reporting person is the general partner.
- The reporting person disclaims beneficial ownership of these securities, except to the extent of the reporting person's pecuniary interest therein, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities, except to the extent of the reporting person's pecuniary interest therein, for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
- (3) This option grant vests as follows: 25% of the shares vest on the first anniversary of the grant date and 1/16th of the option vests each quarter thereafter, such that 100% of the option will be vested on the fourth anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2