Whang Scott Yoon-suk Form 5 February 14, 2019

FORM 5

#### **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Number: Expires:

**OMB** 

3235-0362 January 31,

2005

1.0

no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box if

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per

may continue. *See* Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response...

Reported Form 4 30(h) of the Investment Company Act of 1940

Transactions Reported

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Whang Scott Yoon-suk Symbol HOPE BANCORP INC [HOPE] (Check all applicable) (Last) (First) (Middle) 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) \_X\_ Director 10% Owner Officer (give title Other (specify 12/31/2018 below) below) 3200 WILSHIRE BLVD., SUITE 1400 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line)

#### LOS ANGELES, CAÂ 90010

(State)

(Zip)

(City)

\_X\_ Form Filed by One Reporting Person \_\_\_ Form Filed by More than One Reporting Person

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(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							ficially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	e 2A. Deemed 3. Execution Date, if any Code (Month/Day/Year) (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common				Amount	(D)	Price	,			
Common Stock	12/31/2018(1)	Â	G <u>(1)</u>	3,000	D	\$0	46,702 (2)	D	Â	
Common Stock	12/31/2018(1)	Â	G <u>(1)</u>	3,000	A	\$0	14,700	I	By wife as UGMA custodian for grandchildren	
Common Stock	12/31/2018(3)	Â	G(3)	2,000	D	\$ 0	44,702	D	Â	

Stock Reminder: Rep	12/31/2018  port on a separate line efficially owned direct		contained	in this f	orm a	o the o	43,702 collection of i required to i valid OMB co	respond unle	
Common Stock	12/31/2018(3)	Â	G(3)	2,000	A	\$0	9,800 (4)	I	person as UGMA custodian for grandchildren

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

of

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting owner name, names	Director	10% Owner	Officer	Other			
Whang Scott Yoon-suk 3200 WILSHIRE BLVD., SUITE 1400 LOS ANGELES, CA 90010	ÂΧ	Â	Â	Â			

### **Signatures**

/s/ Claire Hur as attorney-in-fact for Scott Yoon-Suk
Whang

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transfer from the Scott Whang & Lydia Whang Trust Under Agreement 07/19/2001 S&O Revocable Trust to reporting person's wife as custodian of three of six grandchildren under Uniform Gifts to Minors Act. On 12/31/2018, reporting person gifted to three of six grandchildren common stock in the amount of 1,000 shares each. Reporting person disclaims beneficial ownership of all securities held

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by his grandchildren, and this report should not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Exchange Act of 1934 or for any other purpose.

- (2) This amount reflects the corrected number of securities beneficially owned after the vesting of 4,500 restricted stock units on Form 4 filed on 9/5/2018.
  - Transfer from the Scott Whang & Lydia Whang Trust Under Agreement 07/19/2001 S&O Revocable Trust to reporting person as custodian of two of six grandchildren under Uniform Gifts to Minors Act. On 12/31/2018, reporting person gifted to two of six
- (3) grandchildren common stock in the amount of 1,000 shares each. Reporting person disclaims beneficial ownership of all securities held by his grandchildren, and this report should not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Exchange Act of 1934 or for any other purpose.
- (4) This amount reflects the transfer of 3,900 shares of common stock from the custodian to a beneficiary grandchild who reached the age of majority on 11/5/2017.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.